

# On Curve Sections of Rank Two Reflexive Sheaves

Scott Nollet  
Texas Christian University  
TCU Box 298900  
Fort Worth, TX 76129

Margherita Roggero  
Dip. di Mat. dell' Università  
Via Carlo Alberto 10  
10123 Torino, Italy

Paolo Valabrega  
Dipartimento di Matematica Politecnico  
Corso Duca degli Abruzzi 24  
10129 Torino, Italy

## Abstract

Let  $\mathcal{F}$  be a normalized rank 2 reflexive sheaf on  $\mathbf{P}^3$  with Chern classes  $c_1, c_2, c_3$ . Let  $\alpha$  be the least integer such that  $0 \neq H^0\mathcal{F}(\alpha)$  and  $\beta$  be the smallest integer such that  $H^0\mathcal{F}(n)$  has sections whose zero scheme is a curve for all  $n \geq \beta$ . We show that if  $T_0$  is the largest root of the cubic polynomial

$$P(T) = T^3 - (6c_2 + 6\alpha c_1 + 6\alpha^2 + 1)T + 3(2\alpha + c_1)(c_2 + c_1\alpha + \alpha^2)$$

then  $\beta \leq [T_0] - \alpha - c_1 - 1$ . There are applications to the smallest degree of a surface containing a curves which are the zero schemes of sections of  $H^0\mathcal{F}(\alpha)$ .

## 1 Introduction

In this paper we consider rank 2 reflexive sheaves  $\mathcal{F}$  on  $\mathbf{P} = \mathbf{P}_k^3$ , where  $k$  is an algebraically closed field of characteristic zero. Suppose that  $\mathcal{F}$  has Chern

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classes  $c_1, c_2, c_3$  and let  $\alpha$  be the smallest integer such that  $H^0\mathcal{F}(\alpha) \neq 0$ . Then we have the following bound (see [H2], theorem 0.1):

**Theorem 1.1** (*Hartshorne*) *If  $\mathcal{F}$  is a normalized (i.e.  $c_1 = 0$  or  $-1$ ) stable rank two reflexive sheaf on  $\mathbf{P}^3$ , then*

$$\alpha \leq \sqrt{3c_2 + 1 + \frac{3}{4}c_1} - 1 - \frac{1}{2}c_1.$$

Moreover, this bound is sharp for all pairs  $(c_1, c_2)$  (except for  $(-1, 2)$  and  $(-1, 4)$ ), equality being realized by bundles with natural cohomology. In this paper we are interested in which twists of  $\mathcal{F}$  have sections which are not multiples of some  $0 \neq s \in H^0\mathcal{F}(\alpha)$ .

In the earlier paper [RV1], the second and third author introduce the invariant  $\beta = \min\{n : h^0(\mathcal{F}(n)) > h^0(\mathcal{O}_{\mathbf{P}}(n - \alpha))\}$ , the twist of the so called “second relevant section of  $\mathcal{F}$ ”. It is easy to see that the general non-zero section of  $\mathcal{F}(n)$  gives rise to a curve if and only if either  $n = \alpha$  or  $n \geq \beta$  (see [GRV], theorem 0.1). In the case  $n = \alpha$ , we call this curve (which need be neither reducible nor reduced) a *minimal* curve for  $\mathcal{F}$ . If a curve  $C$  is the scheme of zeros of a non-zero section of  $\mathcal{F}(n)$ , then the smallest degree  $r$  of a surface containing  $C$  is  $n + \alpha + c_1$  if  $n > \alpha$  and  $\beta + \alpha + c_1$  if  $n = \alpha$ . Thus  $\beta$  determines the minimal degree of a surface containing a minimal curve for  $\mathcal{F}$  as well as determining which twists of  $\mathcal{F}$  give rise to curves which are not minimal.

In the papers [RV1] and [RV2], the second and third authors give some upper bounds for  $\beta$  in terms of  $\alpha, c_1$  and  $c_2$ . In particular they show that if  $\mathcal{F}$  is unstable (we say that  $\mathcal{F}$  is stable if  $\alpha > 0$ , semistable if  $\alpha + c_1 \geq 0$ , unstable otherwise) and  $T_0$  is the largest root of the cubic polynomial

$$P(T) = T^3 - (6c_2 + 6\alpha c_1 + 6\alpha^2 + 1)T + 3(2\alpha + c_1)(c_2 + c_1\alpha + \alpha^2),$$

then  $\beta \leq T_0 - \alpha - c_1 - 1$ . For semistable sheaves other and higher bounds have been proved in the same papers. The aim of the present paper is to show that the bound above ( $\beta \leq \lfloor T_0 \rfloor - \alpha - c_1 - 1$ ) also holds in the semistable case.

We emphasize that the cubic polynomial  $P(T)$  above arises naturally if one looks at the Euler characteristic of  $\mathcal{F}$ . Indeed, we have that  $P(T) = 6\chi(\mathcal{F}/\mathcal{O}(-\alpha)(T - \alpha - c_1 - 2)) - 3c_3$  and in the case that  $\mathcal{F}$  is a vector bundle ( $c_3 = 0$ ) our theorem states that  $H^0(\mathcal{F}/\mathcal{O}(-\alpha)(t)) \neq 0$  for  $t$  greater than

the last root of  $\chi(\mathcal{F}/\mathcal{O}(-\alpha)(t))$ . For vector bundles  $\mathcal{F}$ , Hartshorne's original theorem can be phrased this way as well: it states that for  $t$  greater than the last root of  $\chi(\mathcal{F}(t))$ , we have  $H^0(\mathcal{F}(t)) \neq 0$ .

In section two we prove the main theorem of the paper. The principal tool of our proof is a reduction step which allows us to induct on  $c_2$ . This reduction step was introduced in [H2], although we use the strengthened version found in [RV2]. In section three we give an application to the minimal degree of surfaces containing a curve and some examples in which our result is sharp.

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## 2 The Main Theorem

In this section, we adopt the following conventions. The invariants  $\alpha$  and  $\beta$  have already been defined in the introduction. We let  $a$  and  $b$  denote the analogous notions for the restriction  $\mathcal{F}_H$  of  $\mathcal{F}$  to a general plane  $H \subset \mathbf{P}^3$ , that is  $a = \min\{t : H^0\mathcal{F}_H(t) \neq 0\}$  and  $b = \min\{t : H^0\mathcal{F}_H/\mathcal{O}_H(-a)(t) \neq 0\}$ . The symbol  $*$  denotes the  $k$ -vector space dual. We further set  $\delta = c_2(\mathcal{F}(\alpha)) = c_2 + c_1\alpha + \alpha^2$ , the degree of a minimal curve for  $\mathcal{F}$ . In terms of  $\delta$ , the cubic polynomial mentioned in the introduction takes on the simpler form

$$P(T) = T^3 - (6\delta + 1)T + 3(2\alpha + c_1)\delta.$$

We begin with some lemmas that allow us to make a reduction step in the proof of the main theorem. The first two of these lemmas can essentially be found in [RV2].

**Lemma 2.1** *Let  $\mathcal{F}$  be a semistable rank 2 reflexive sheaf on  $\mathbf{P}^3$ ,  $0 \neq x \in H^2\mathcal{F}(t)^*$  and  $f \in H^0\mathcal{O}(d)$  an annihilator for  $x$  of minimal degree. If  $r = t + 4 - d > 0$ , then the surface  $X$  defined by  $f = 0$  is an unstable surface for  $\mathcal{F}$  of order  $r$  and there is an exact sequence*

$$0 \longrightarrow G(-\epsilon) \longrightarrow \mathcal{F} \longrightarrow I_{Z,X}(-r) \longrightarrow 0$$

where  $G$  is a normalized rank 2 reflexive sheaf and  $Z$  has codimension  $\geq 1$  in  $X$ . Moreover, if  $G$  has Chern classes  $c'_1, c'_2, c'_3$ , and  $k$  is the degree of the curve part of  $Z$ , then

$$2\epsilon = d - c_1 + c'_1$$

$$\begin{aligned}
c'_2 &= c_2 - d(t + 4 + c_1 - d) - \frac{1}{4}(d - c_1)^2 - \frac{1}{4}c'_1 - k < c_2 \\
2\alpha + c_1 - d &\leq 2\alpha' + c'_1 \leq 2\alpha + c_1 + d \text{ where } \alpha' = \alpha(G) \\
2\beta + c_1 - d &\leq 2\beta' + c'_1 \text{ where } \beta' = \beta(G)
\end{aligned}$$

Proof: The first part is proved in [H2], example 1.0.5 and proposition 1.1. The proof of the inequalities is found in [RV2], lemma 2.1.

**Lemma 2.2** *Let  $\mathcal{F}$  be a semistable rank 2 normalized reflexive sheaf and assume that  $H^2\mathcal{F}(t) \neq 0$  for some integer  $t$ . Then*

(a) *There is  $x \in H^2\mathcal{F}(t)^*$  whose image  $x_H \in H^1\mathcal{F}_H(-t - c_1 - 4)$  is  $\neq 0$  for a general plane  $H$ .*

(b) *Taking  $x$  as in part (a), let  $d$  be the smallest integer such that  $x_H$  is annihilated by an element of  $H^0\mathcal{O}_H(d)$  for all  $H$ . If we further have*

(i)  *$t + 3 > a + d$  and*

(ii)  *$t + 4 > 2d - a - c_1$ ,*

*then  $x$  has only one (up to a unit) annihilator  $f$  in  $H^0\mathcal{O}_{\mathbf{P}^3}(d)$ .*

Proof: Part (a) is [RV2], proposition 3.3. For part (b), we first add the inequalities of (i) and (ii) and divide by 2 to obtain  $-t - c_1 - 4 + \frac{1}{2}(3d + c_1 + 1) < 0$  and (ii) can be written  $-t - c_1 - 4 + 2d - a < 0$ . Taking  $l = -t - c_1 - 4$ , the hypotheses of [H2], proposition 3.1 are met and we deduce conclusion (b).

**Lemma 2.3** *Let  $t$  be an integer such that  $t + \alpha + c_1 + 2$  is greater than the largest root of  $P(T)$  and assume that  $H^2\mathcal{F}(t) \neq 0$ . Let  $0 \neq s \in H^2\mathcal{F}(t)^*$  whose image  $s_H \in H^1\mathcal{F}_H(-t - c_1 - 4)$  is  $\neq 0$  for a general plane  $H$  and let  $d$  be the smallest degree of an annihilator of  $s_H$ . Then we have:*

(1)  *$d \leq a + b + c_1$  and  $d \leq 2a + c_1 + 1$  if  $a < \alpha$ ;*

(2)  *$t + 3 > d + a$*

(3)  *$d \leq \sqrt{2c_2 - 2a^2}$*

(4)  *$t + 4 > 2d - a - c_1$ .*

Proof: Statement (1) is [RV1], lemma 2.1 (ii) and [H2], corollary 4.2. For (2) it is sufficient to prove that  $t + \alpha + c_1 + 2 \geq \sqrt{3c_2 + 1 + \frac{3}{4}c_1 + \alpha + \frac{1}{2}c_1}$  by [H2], proposition 4.3. Substituting  $T = \sqrt{3c_2 + 1 + \frac{3}{4}c_1 + \alpha + \frac{1}{2}c_1}$  into  $P(T)$ , we check that the value obtained is not positive:

$$P\left(\sqrt{3c_2 + 1 + \frac{3}{4}c_1 + \alpha + \frac{1}{2}c_1}\right) =$$

$$= -(\sqrt{3c_2 + 1 + \frac{3}{4}c_1 - \alpha - \frac{1}{2}c_1})((\sqrt{3c_2 + 1 + \frac{3}{4}c_1 - \alpha - \frac{1}{2}c_1})^2 - 1) \leq 0$$

by theorem 1.1.

With the inequality of part (2) proved, part (3) follows immediately from [RV2], lemma 2.4. In the case  $a < \alpha$  part (4) follows from the second inequality of part (1) and the inequality of part (2):  $t + 4 > a + d + 1 \geq 2d - a - c_1$ . Thus we may assume that  $a = \alpha$ .

First suppose  $\alpha \geq \sqrt{\frac{4}{5}c_2}$ . From part (3) we have  $d \leq \sqrt{\frac{2}{5}c_2}$  and hence  $t + \alpha + c_1 + 2 \geq \sqrt{3\delta + 1} > \sqrt{\frac{8}{5}c_2} > 2d - 2$ . (To see that  $t + \alpha + c_1 + 2 \geq \sqrt{3\delta + 1}$  it suffices that  $P(\sqrt{3\delta + 1}) \leq 0$  and this is the case:

$$P(\sqrt{3\delta + 1}) = \sqrt{3\delta + 1}(-3\delta) + 3(2\alpha + c_1)\delta = 3\delta(2\alpha + c_1 - \sqrt{3\delta + 1}) \leq 0).$$

We can obtain (4) in the remaining case ( $a = \alpha < \sqrt{\frac{4}{5}c_2}$ ) by proving that  $t + 4 > b + d$ , since  $d + b \geq 2d - a - c_1$  by part (1).

If not, then  $t + 3 - b < d$  and hence (as in [RV1], Prop. 2.3)

$$\frac{(t + 4 - b)(t + 5 - b)}{2} \leq h^1 E(-b - c_1 - 1) = \delta - \frac{(a + b + c_1)^2}{2} + \frac{a + b + c_1}{2}.$$

By easy calculations this may be rewritten

$$\frac{1}{2}(X^2 - 4\delta) + \frac{1}{2}(X - 2Y)^2 + 3(X - 2Y) + 2X + 6 \leq 0$$

where  $X = t + a + c_1 + 2$  and  $Y = a + b + c_1$ . This last inequality is clearly false because  $X \geq \sqrt{4\delta}$  and  $\frac{1}{2}(X - 2Y)^2 + 3(X - 2Y) \geq -\frac{9}{2}$  (To see that  $X \geq \sqrt{4\delta}$ , it suffices that  $P(\sqrt{4\delta + 1}) = (\sqrt{4\delta + 1} - 3\alpha - \frac{3}{2}c_1)(-2\delta) \leq 0$ , but this is easily checked using the hypothesis that  $\alpha < \sqrt{\frac{4}{5}c_2}$ ).

**Corollary 2.4** *If  $t + \alpha + c_1 + 2$  is larger than the largest root of  $P(T)$  and  $H^2\mathcal{F}(t) \neq 0$ , then there is a reduction step for  $\mathcal{F}$  as described in lemma 2.1.*

**Theorem 2.5** *Let  $\mathcal{F}$  be a semistable rank 2 normalized reflexive sheaf and  $T_0$  the largest root of the polynomial  $P(T)$ . Then  $\beta \leq [T_0] - \alpha - c_1 - 1$ .*

Proof: We induct on  $c_2$ . By [RV1], theorem 4.3(1), the theorem holds for unstable reflexive sheaves, which proves the theorem when  $c_2 < 0$ . Thus we may assume that  $c_2 > 0$  and proceed to the induction step.

Let  $t$  be an integer such that  $T_1 = t + \alpha + c_1 + 2$  is greater than  $T_0$ ; Riemann-Roch gives:

$$\chi\mathcal{F}(t) = 2\binom{t+3}{3} - c_1\binom{t+2}{2} - c_2(t+2) + \frac{1}{2}(c_3 - c_1c_2).$$

A calculation shows that  $P(T_1) = 6\chi\mathcal{F}/\mathcal{O}(-\alpha)(t) - 3c_3$ . In particular, we have that  $\chi\mathcal{F}(t) > 0$ . If  $H^2\mathcal{F}(t) = 0$ , then we conclude immediately that  $H^0\mathcal{F}(t) > 0$ .

So assume that  $H^2\mathcal{F}(t) \neq 0$ . By induction hypothesis we assume that the statement is true for every rank 2 semistable normalized reflexive sheaf with second Chern class  $< c_2$ . By corollary 2.4 there is a reduction step giving a new sheaf  $G$  with  $c'_2 = c_2(G) < c_2$  (see lemma 2.1). We will prove that  $t' = t - \frac{1}{2}(d - c_1 + c'_1)$  satisfies the same conditions relative to  $G$ . This will imply the claim for  $\mathcal{F}$ , because the claim is true for  $G$  and moreover ([RV2] lemma 2.1)  $t = t' + \frac{1}{2}(d - c_1 + c'_1) \geq \beta' + \frac{1}{2}(d - c_1 + c'_1) \geq \beta$ .

We have to prove that  $P'(T) = T^3 - (6\delta' + 1)T + 3(2\alpha' + c'_1)\delta'$  is positive when  $T = T_2 = t' + \alpha' + c'_1 + 2 = T_1 + \alpha' - \alpha + \frac{1}{2}(d - c_1 + \frac{1}{2}c'_1) - d$ .

The derivative of  $Q(T_2) = P'(T_2) + T_2$  with respect to  $\alpha'$  is:

$$3T_2^2 - 6T_2(2\alpha' + c'_1) + 3(2\alpha' + c'_1)^2 = 3(T_2 - 2\alpha' - c'_1)^2$$

and so  $Q(T_2)$  always increases as a function of  $\alpha'$ . Moreover, the coefficient of  $c'_2$  in  $Q(T)$  is  $-3(2t + 4 - d + c_1)$ , which is negative by lemma 2.3.

So we have  $Q(T_2) \geq R$  where  $R$  is obtained by replacing  $\alpha'$  with  $\alpha - \frac{1}{2}(d - c_1 + c'_1)$  (recall that  $\alpha - \frac{1}{2}(d - c_1 + c'_1) \leq \alpha' \leq d + \alpha - \frac{1}{2}(d - c_1 + c'_1)$  by lemma 2.1) and  $c'_2$  with  $c_2 - d(T_1 + 2 - \alpha - d) - \frac{1}{4}(d - c_1)^2 - \frac{1}{4}c'_1$  (see lemma 2.1) in  $Q(T_2)$ .

Thus

$$\begin{aligned} P'(T_2) &= Q(T_2) - T_2 \geq R - T_1 = \\ &P(T_1) + 3d(t + 4 - d + \frac{1}{2}c_1)^2 + d(3c_2 - d^2 + 6d + \frac{3}{4}c_1 - 12) \end{aligned}$$

is positive, because of the hypothesis on  $T_1$  and lemma 2.3.

### 3 Applications to Curves and Examples

Let  $C$  be a locally Cohen-Macaulay generic complete intersection curve and  $e'_C$  be the largest integer such that  $\omega_C(-e'_C)$  has a section which generates

the sheaf almost everywhere. This is not to be confused with  $e_C$ , the largest integer such that  $\omega_C(-e_C)$  has a nonzero section, however note that  $e' \leq e$  and they are equal when, for example,  $C$  is an integral curve. By Serre's correspondence (see [H1], theorem 4.1)  $C$  is the scheme of zeros of a non-vanishing section of a reflexive sheaf  $\mathcal{F}$  with first Chern class  $c_1 = 4 + e'_C$ . Normalizing  $\mathcal{F}$  and applying theorem 2.5 we obtain the following result on the minimal degree of a surface containing  $C$ .

**Theorem 3.1** *Let  $C$  be a locally Cohen-Macaulay generic complete intersection curve on  $\mathbf{P}^3$  of degree  $d$  and assume that the minimal degree  $s_0(C)$  of a surface containing  $C$  satisfies  $s_0(C) \geq e'_C + 4$ . Then  $s_0(C)$  is less than or equal to  $1 +$  the largest root of the polynomial*

$$p(z) = \binom{z+3}{3} - d\left(z - \frac{e'_C}{2}\right).$$

Proof. A section of  $\omega_C(-e'_C)$  which generates this sheaf almost everywhere gives rise to a rank two reflexive sheaf  $\mathcal{E}$  with Chern classes  $c_1 = c_1(\mathcal{E}) = e'_C + 4, c_2 = d, c_3 = 2p_a(C) - 2 + d(4 - c_1)$ , a nonzero section  $s \in H^0\mathcal{E}$  and an exact sequence

$$0 \rightarrow \mathcal{O}(-c_1) \rightarrow \mathcal{E}(-c_1) \rightarrow \mathcal{I}_C \rightarrow 0.$$

The hypothesis  $e'_C + 4 \leq s_0(C)$  shows that  $C$  is a minimal curve for  $\mathcal{E}$ . Writing  $\mathcal{E} = \mathcal{F}(\alpha_{\mathcal{F}})$ , where  $\mathcal{F}$  is the normalization of  $\mathcal{E}$ , we see from the exact sequence that  $s_0(C) = \beta_{\mathcal{F}} + \alpha_{\mathcal{F}} + c_1(\mathcal{F})$ . By theorem 2.5, this last quantity is  $\leq [T_0] - 1$ , where  $T_0$  is the largest root of  $P(T)$ . Equivalently,  $s_0(C) \leq 1 +$  the largest root of  $P(z + 2)$ . However, interpreting this as an Euler characteristic gives  $P(z + 2) = 6\chi\mathcal{F}/\mathcal{O}(-\alpha)(z - \alpha - c_1(\mathcal{F})) - 3c_3 = 6\chi\mathcal{E}(-c_1)/\mathcal{O}(-c_1)(z) - 3c_3 = 6\chi\mathcal{I}_C(z) - 3c_3$ . Computing this last polynomial and dividing by 6 gives the theorem statement.

**Remark 3.2** In the case  $s_0(C) < e'_C + 4$ ,  $C$  is the scheme of zeros of a section of  $\mathcal{F}(n)$  for  $n \geq \beta$  and the exact sequence gives that  $s_0(C) = \alpha_{\mathcal{F}} + n + c_1$ . In this case bounds on  $s_0(C)$  can be found in [RV1], propositions 5.3 and 5.8 and [RV2], theorem 4.3.

**Remark 3.3** In the special case  $e'_C = e_C$  (e.g.  $C$  integral), theorem 3.1 can be easily proven by looking at the Euler characteristic of  $\mathcal{I}_C$  (in fact, one gets

a slightly stronger bound). Many examples of such integral curves are given in the paper [LR], where it is shown that a general high degree embedding of a smooth curve into  $\mathbf{P}^3$  satisfies  $e + 4 < s$  (here this condition implies both that  $C$  is a minimal curve section of a rank two reflexive sheaf *and* that  $C$  is minimal in its even liaison class).

On the other hand, this condition does *not* hold for minimal curve sections of a (stable) rank two reflexive sheaf  $\mathcal{E}$  with fixed Chern classes and many choices of the spectrum (see [H1], §7 for the definition). Indeed,  $e_C$  is determined by the spectrum of  $\mathcal{E}$ , which can vary linearly with  $c_2$ , while  $e'_C$  is determined by  $\alpha_{\mathcal{E}}$ , which can vary as a square root of  $c_2$  by theorem 1.1: thus for most spectra we expect that  $e'_C < e_C$ . For several examples of this behavior when  $\alpha = 1$ , see any of the examples constructed by Hartshorne and Rao in [HR], §2 with spectrum  $\neq \{0^{c_2}\}$ .

**Example 3.4** As an example in which  $e' \ll e$ , let  $C$  be the disjoint union of a plane curve of degree  $d$  and  $d$  lines in general position. Then we see that  $e'_C = -2$  while  $e_C = d - 3$ . A general section  $\xi \in H^0\omega_C(-2)$  generates the sheaf at all but  $d^2 - 5d$  points and exhibits  $C$  as a minimal curve for a stable rank 2 reflexive sheaf on  $\mathbf{P}^3$  with  $c_1 = 2, c_2 = 2d$  and  $c_3 = d^2 - 5d$ . Theorem 3.1 predicts that  $s_0(C) \leq \frac{1}{2}(-3 + \sqrt{25 + 48d})$  (the polynomial  $p(z)$  has  $z + 1$  as a factor). On the other hand, the  $d$  lines in general position are of maximal rank ([HH], theorem 0.1), and from this we see that the actual value is  $s_0(C) = \frac{1}{2}(-3 + \sqrt{25 + 24d})$ . For example, if  $d = 100$ , then the theorem predicts that  $s_0(C) \leq 33$ . The true value is  $s_0(C) = 23$ .

**Example 3.5** Let  $L$  be the skew union of  $r \geq 3$  smooth complete intersections of the same type  $(m, m)$  and assume that no surface of degree  $2m$  contains  $L$ . In this case  $\omega_L \cong \mathcal{O}_L(2m - 4)$ ,  $e'_L = e_L = 2m - 4$  and the unit section of  $\omega_L(4 - 2m)$  generates this sheaf. Thus  $L$  is a section of a stable rank two vector bundle  $\mathcal{E}(m)$  on  $\mathbf{P}^3$  with  $c_1 = 0$  and  $c_2 = (r - 1)m^2$ . The hypothesis  $s_0(L) > 2m$  shows that  $L$  is in fact a minimal section of  $\mathcal{E}$ .

Of course  $L$  lies on a surface of degree  $rm$ , but theorem 3.1 shows that  $L$  lies on surfaces of degree  $\leq 1 +$  the largest root of the polynomial

$$p(z) = \binom{z + 3}{3} - rm^2(z - m + 2).$$

This is in general a much better bound, for example when  $r = m = 10$  we find that  $s_0(L) \leq 70$  instead of 100. When  $m = 1$  and  $L$  is a union of lines in



general position, then  $L$  is of maximal rank by the main theorem of [HH], and hence the bound given above is sharp. If we knew that the general union of  $r$  complete intersections of type  $(m, m)$  was of maximal rank, then we would obtain sharpness in theorem 2.5 for some mid-range values of  $\alpha$

Note that counting the surfaces of degree  $z$  containing  $zm^2 + 1$  points of each irreducible component of  $L$  does not yield a better bound: This estimate would show that  $s_0(L) \leq 1 +$  the last root of

$$q(z) = \binom{z+3}{3} - r(zm^2 + 1).$$

However it is easily checked that  $q(z) > p(z)$  for all  $m > 1$  (for  $m = 1$  we have  $p(z) = q(z)$ ). When  $r = m = 10$  this estimate shows that  $s_0(L) \leq 75$ .

**Example 3.6** For fixed  $c_1, c_2$  and  $\alpha$ , Hartshorne's theorem and the definition of stability give that  $0 < \alpha \leq \sqrt{3c_2 + 1 + \frac{3}{4}c_1} - 1 - \frac{1}{2}c_1$ . The sharpness of the bound given in theorem 2.5 has already been shown for the extreme cases  $\alpha = 1$  and  $\alpha = \sqrt{3c_2 + 1 + \frac{3}{4}c_1} - 1 - \frac{1}{2}c_1$  (see [RV1] and [RV2]: in the case  $\alpha = 1$ , it can be shown that the bound of theorem 2.5 and the bound in [RV2] agree). In this example we show that for  $c_1 = 0, c_2 > 4$  and  $\alpha = 2$  the bound of theorem 2.5 is sharp (when  $c_2 \leq 3$ , this already follows from the cases mentioned above).

For  $c_2 > 4$ , let  $C$  be a generic elliptic curve of degree  $\delta = c_2 + 2c_1 + 4$ . By the main theorem of [BE],  $C$  has maximal rank. Since it is evident that  $h^1\mathcal{O}_C(l) = 0$  for  $l > 0$ , the maximal rank condition implies that both  $h^1(I_C(l))$  and  $h^0(I_C(l))$  are determined by  $\chi(I_C(l))$  for  $l > 1$  (since one of the two is zero). In particular,  $h^0(I_C(l))$  becomes positive exactly when the Euler characteristic of  $I_C$  becomes positive for good.

Now we use the nowhere vanishing section  $1 \in \mathcal{O}_C$  to define a rank two bundle  $\mathcal{E}$  with corresponding exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbf{P}^3}(-4) \rightarrow \mathcal{E}(-2) \rightarrow I_C \rightarrow 0.$$

The cubic polynomial of theorem 4.1 is precisely 6 times

$$\chi(\mathcal{E}(t-2)/\mathcal{O}_{\mathbf{P}^3}(t-4))$$

which from the exact sequence is the same as  $\chi(I_C(t))$ . The same sequence (which is exact on global sections for every twist) shows that the second section of  $\mathcal{E}$  occurs precisely when the cubic polynomial of theorem 4.1 becomes positive for good.

**Question 3.7** While the above example shows that theorem 2.5 is sharp when  $c_1 = 0$  and  $\alpha = 2$ , we do not know about sharpness for when  $2 < \alpha < \lfloor \sqrt{3c_2 + 1 + \frac{3}{4}c_1} - 1 - \frac{1}{2}c_1 \rfloor$ . In fact, it is not immediate that every value of  $\alpha$  is possible: Given  $c_1 = -1$  or  $0$  and  $c_2 > 0$ , does there exist a (stable) rank two reflexive sheaf achieving each value of  $\alpha$  allowed by theorem 1.1?

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