

# Holomorphic injectivity and the Hopf map

**Problem:** Given a map  $f : X \rightarrow \mathbb{R}^n$  that is a local diffeomorphism, or a map  $f : X \rightarrow \mathbb{C}^n$  that is a local biholomorphism, or a map  $f : X \rightarrow \mathbb{A}_{\mathbb{C}}^n$  that is an étale morphism, how do we recognize when  $f$  is (globally) injective?

**Examples:** In the early 1900's, Bieberbach and Fatou studied univalent maps from the open unit disk  $D$  in the complex plane to  $\mathbb{C}$ , by looking at the coefficients of the Taylor series (assume  $f(0) = 0, f'(0) = 1$ ). The Bieberbach conjecture is that if the map is globally  $1-1$ , then  $|f^{(k)}(0)| \leq (k!)k$ . This was proved by de Branges in 1984, and the converse is known to be false.

The **asymptotic stability conjecture** (Marcus Yamabe Conjecture). Let  $X$  be a smooth vector field on  $\mathbb{R}^2$ ,  $X(0) = 0$ . If the eigenvalues of  $DX$  have negative real part for  $z \in \mathbb{R}^2$ , then the origin is a global attractor. This was partially proved by Olech in 1963: If  $X : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is injective, then the conjecture holds. Gutierrez proved in 1995, if  $F : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is a local diffeomorphism and  $[0, \infty) \cap \text{Spec } DF(z) = \emptyset$  for all  $z \in \mathbb{R}^2$ , then  $F$  is injective.

The **Jacobian conjecture** (Keller, 1939): if  $f : \mathbb{C}^n \rightarrow \mathbb{C}^n$  is a polynomial map and if  $\det(Df) = 1$ , then  $f$  is injective (and thus bijective with polynomial inverse).

The **Hopf map** is defined as follows.  $H : S^{2n-1} \rightarrow \mathbb{C}\mathbb{P}^{n-1}$  ( $u \mapsto$  complex line through 0 and  $u$ ). Can also look at  $S^{2n-1} \rightarrow \mathbb{R}\mathbb{P}^{2n-1} \xrightarrow{\pi} \mathbb{C}\mathbb{P}^{n-1}$ . Fact: Neither  $H$  nor  $\pi$  have continuous sections for  $n \geq 2$ . The proof: if there were such a section  $s$  of  $H$ , then  $H^2(\mathbb{C}\mathbb{P}^{n-1}) \rightarrow H^2(S^{2n-1}) = 0 \rightarrow H^2(\mathbb{C}\mathbb{P}^{n-1})$  can't be the identity.

**Toy example:** If  $F : \mathbb{C}^n \rightarrow \mathbb{C}^n$  is a local biholomorphism for  $n \geq 2$ , such that for each complex line  $\uparrow \in \mathbb{C}^n$ ,  $F^{-1}(\uparrow)$  is connected and simply connected. Then  $F$  is injective.

Sketch of proof: Suppose  $F(p) = F(q) = 0$  with  $p \neq q$ . Then the preimage of a line  $\uparrow$  through the origin is a connected and simply connected set containing  $p$  and  $q$ . It is a complete, simply-connected real surface of nonpositive curvature in the standard metric (Griffiths-Harris p. 79). Hadamard proved that there exists a unique geodesic on  $F^{-1}(\uparrow)$  that connects  $p$  and  $q$ , say starting from  $p$  at unit speed. Let  $w(\uparrow)$  be the initial vector of this unique unit speed path. It pushes to a point  $v(\uparrow) = dF(p)w(\uparrow)$ . Then  $v(\uparrow)/|v(\uparrow)|$  gives a continuous section of the Hopf map. Contradiction.

**Theorem:** (Nollet and Xavier). Let  $X$  be a connected, complex manifold with  $\dim n \geq 2$ ,  $F : X \rightarrow \mathbb{C}^n$  a local biholomorphism. If each nonempty preimage of a complex line  $\uparrow$  is a connected rational curve (punctured genus zero surface, locally conformal to  $\mathbb{C}$ ), then  $F$  is injective.

**Cor:** If  $F$  is étale or algebraic, this is automatic. So  $F$  is injective iff each  $F^{-1}(\uparrow)$  is a connected rational curve.

Sketch of proof: similar picture as in the above. Consider the preimage of a line  $\uparrow$  through the origin is a connected and simply connected set containing  $p$  and  $q$  and  $r$ . Then there is a unique fractional linear transformation such that  $p \mapsto 0, q \mapsto 1, r \mapsto \infty$ . Now fix  $\tau$  a unit vector in  $\mathbb{C}$  at 0, and then push back to a vector in  $\uparrow$ . Again this gives a continuous section of the Hopf map. Contradiction. Proving this map is continuous is the bulk of the proof.

Next time: Birationality of étale morphism via surgery.