

# Volume Renormalization for Singular Yamabe Metrics in Higher Codimension

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# CCE Metrics

Suppose  $M^{n+1}$  is a compact manifold with boundary  $\partial M = \Sigma^n$ .

A **defining function** for  $\Sigma$  in  $M$  is a smooth function  $r$  on  $M$  such that

$$\begin{aligned}r &> 0 && \text{in } \mathring{M}, \\r &= 0 && \text{on } \Sigma, \\dr &\neq 0 && \text{on } \Sigma.\end{aligned}$$

A Riemannian metric  $g^+$  on  $\mathring{M}$  is called **conformally compact** if  $(M, r^2 g^+)$  is a compact Riemannian manifold with boundary.

Let  $g = r^2 g^+$  and  $g|_{\Sigma} = h$ .

The conformally compact manifold  $(\mathring{M}, g^+)$  induces a well defined conformal structure  $[h]$  on  $\Sigma$ .

$(\Sigma, [h])$  is called the **conformal infinity** of the conformally compact manifold  $(\mathring{M}, g^+)$ .

A conformally compact metric with

$$\text{Ric}(g^+) = -ng^+$$

is called a **conformally compact Einstein (CCE)** metric.

## Theorem (Graham-Lee '91, Graham '00)

Let  $(\mathring{M}, g^+)$  be a CCE manifold with conformal infinity  $(\Sigma, [h])$ . Then

- 1 For any  $h \in [h]$ , there is a unique defining function  $r$  such that

$$|dr|_g = 1$$

in a neighborhood  $[0, \delta) \times \Sigma$  of  $\Sigma$ , and  $r^2 g^+|_{\Sigma} = h$ .

- 2 With this defining function,  $g^+$  can be written as

$$g^+ = \frac{1}{r^2}(dr^2 + h_r),$$

where  $h$  is a 1-parameter family of metrics with parameter  $r$ .

Also,  $h$  expands in  $r$  as

$$h_r = h + r^2 h_2 + \cdots (\text{even powers}) \cdots r^{n-1} h_{n-1} r^n h_n + o(r^n).$$

The volume of  $(\mathring{M}, g^+)$  is infinite.

One considers the volume of the region  $\{r > \epsilon\}$  in  $M$  to find:

**Theorem (Henningson-Skenderis '98, Graham '00)**

① *The volume of  $\{r > \epsilon\}$  in  $g^+$  expands as*

$$\text{Vol}_{g^+}\{r > \epsilon\} = c_0\epsilon^{-n} + c_1\epsilon^{-n+1} + \dots + c_{n-1}\epsilon^{-1} + \mathcal{E} \log\left(\frac{1}{\epsilon}\right) + V + o(1),$$

*where  $c_j = 0$  for each odd  $j$ .*

② *If  $n$  is odd,  $\mathcal{E}$  is zero and  $V$  is independent of  $h \in [h]$ ; and if  $n$  is even,  $\mathcal{E}$  is independent of  $h \in [h]$ .*

We call  $V$  the **renormalized volume** of  $(\mathring{M}, g^+)$ ,  
and call  $\mathcal{E}$  the **energy** of  $\Sigma$  in  $M$ .

# Boundary Singular Yamabe Metrics



# Boundary Singular Yamabe Metrics

Let  $(M^{n+1}, g)$  be a compact Riemannian manifold with boundary  $\partial M = \Sigma^n$ . Write  $g|_{\Sigma} = h$ .

A complete metric  $g^+$  on  $\overset{\circ}{M}$  is called *the boundary singular Yamabe* ( $\partial SY$ ) metric for  $(M, g)$  if there is a function  $u$  with

$$u > 0 \quad \text{on} \quad \overset{\circ}{M},$$

$$u = 0 \quad \text{on} \quad \Sigma,$$

such that  $g^+ = u^{-2}g$  has

$$R_{g^+} = -n(n+1).$$

The scalar curvature condition can be seen as a PDE in  $u$ :

$$L[u] := 1 - |du|_g^2 + \frac{2}{n+1} u \Delta_g u + \frac{1}{n(n+1)} u^2 R_g = 0.$$

# Boundary Singular Yamabe Metrics

## Theorem (Aviles-McOwen '88)

*The problem*

$$L[u] = 0 \text{ on } \overset{\circ}{M}, \quad u|_{\Sigma} = 0$$

*has a unique solution.*

Under the identification of a neighborhood of  $\Sigma$  in  $M$  with  $[0, \delta) \times \Sigma$ ,  $g$  takes the form

$$g = dr^2 + h_r$$

where  $r$  is the distance to  $\Sigma$  w.r.t.  $g$ , and  $h_r$  is a 1-parameter family of metrics on  $\Sigma$  with parameter  $r$  such that  $h_0 = h$ .

The solution  $u$  expands in  $r$  as

$$u \sim r + u_2 r^2 + \cdots + u_{n+1} r^{n+1} + \mathcal{L} r^{n+2} \log r + u_{n+2} r^{n+2} o(r^{n+2}),$$

where  $\mathcal{L}$  and  $u_m$  for  $m < n+2$  are locally formally determined, and  $u_{n+2}$  is formally undetermined.

# Boundary Singular Yamabe Metrics

The volume form for  $(\mathring{M}, g^+)$  is

$$dV_{g^+} = u^{-n-1} dV_g.$$

Again, the volume is infinite.

The volume of the region  $\{r > \epsilon\}$  is

$$\text{Vol}_{g^+}\{r > \epsilon\} = c_{-n}\epsilon^{-n} + c_{-n+1}\epsilon^{-n+1} + \cdots + c_{-1}\epsilon^{-1} + \mathcal{E} \log\left(\frac{1}{\epsilon}\right) + V + o(1).$$

**Theorem (Graham '17)**

*$\mathcal{E}$  is independent of choice of  $g \in [g]$ .*

Generically,  $V$  is not a conformal invariant in this setting.

# Singular Yamabe Metrics

# Singular Yamabe Metrics

Let  $(M^{n+k}, g)$  be a closed Riemannian manifold.

Suppose  $\Sigma^n$  is an embedded submanifold of  $M$ .

Write  $g|_{\Sigma} = h$ .

A complete metric  $g^+$  on  $M \setminus \Sigma$  is called a **singular Yamabe (SY)** metric for  $(M, \Sigma, g)$  if there is a function  $u$  with

$$u > 0 \quad \text{on} \quad M \setminus \Sigma,$$

$$u = 0 \quad \text{on} \quad \Sigma,$$

such that  $g^+ = u^{-2}g$  has

$$R_{g^+} = -(n - k + 2)(n + k - 1).$$

# Singular Yamabe Metrics

Writing the scalar curvature condition as

$$2L[u] = (n + 2 - k) - (n + k)|du|_g^2 + 2u\Delta_g u + \frac{1}{n + k - 1}R_g u^2 = 0,$$

we have that

## Theorem (Aviles-McOwen '88)

*The problem*

$$\begin{aligned}L[u] &= 0 \quad \text{on } M \setminus \Sigma, \\ u|_{\Sigma} &= 0\end{aligned}$$

*has a unique solution if and only if  $k < n + 2$ .*

[Mazzeo '91, Mazzeo-Pacard '96] When  $k \geq n + 2$ , a solution need not exist, need not be unique if it does exist, and need not be polyhomogeneous.

# Singular Yamabe Metrics

Assume  $k < n + 2$  throughout.

Let  $t$  be the  $g$ -distance to  $\Sigma$ .

## Theorem (Mazzeo '91)

*The solution  $u$  to the singular Yamabe problem expands in  $t$  as*

$$u \sim t + u_2 t^2 + \cdots + u_{n+1} t^{n+1} + \mathcal{L} t^{n+2} \log t + u_{n+2} t^{n+2} + o(t^{n+2}),$$

*where the function  $\mathcal{L}$ , and each  $u_\ell$  for  $\ell < n + 2$ , is a smooth function on  $\mathbb{S}^{k-1} \times \Sigma$  formally determined by  $g$ .  $u_{n+2}$  is formally undetermined.*

## Theorem (K-McKeown)

*The solution to the singular Yamabe problem  $u$  of the form*

*$u = t\bar{v} + O(t^{n+2} \log t)$ , with  $\bar{v}|_\Sigma = 1$ , and  $\bar{v} \in C^\infty(M)$ .*

# Singular Yamabe Metrics

We may identify a neighborhood of  $\Sigma$  in  $M$  with  $[0, \delta) \times \mathbb{S}^{k-1} \times \Sigma$ .

Any point  $q$  in this neighborhood may be written as  $(t, \omega, p)$ .

For  $p \in \Sigma$ , define

$$\mathcal{Y}_j(p) = \begin{cases} \mathcal{H}_0 \oplus \mathcal{H}_2 \oplus \cdots \oplus \mathcal{H}_j & j \text{ even,} \\ \mathcal{H}_1 \oplus \mathcal{H}_3 \oplus \cdots \oplus \mathcal{H}_j & j \text{ odd,} \end{cases}$$

where  $\mathcal{H}_j$  is the space of eigenfunctions of  $\mathbb{S}^{k-1}$  corresponding to the eigenvalue  $-j(j+k-2)$ .

Any smooth function  $f$  on  $M$  in a neighborhood of  $\Sigma$  can be written as  $f(t, \omega, p)$  on  $[0, \delta)_t \times \mathbb{S}^{k-1} \times \Sigma^n$ .

In these coordinates, any smooth  $f$  expands in powers of  $t$  locally as

$$f = f_0 + tf_1 + \cdots + t^\ell f_\ell + \cdots,$$

with  $f_\ell$  smooth on  $\mathbb{S}^{k-1} \times \Sigma$ , and  $f_\ell(-, p) \in \mathcal{Y}_\ell(p)$  for each  $\ell$ .

When  $\ell$  is odd,  $f_\ell$  integrates to zero over  $\mathbb{S}^{k-1}$ .



# Singular Yamabe Metrics

For a smooth function  $f$  written as

$$f = f_0 + tf_1 + \cdots + t^\ell f_\ell + \cdots ,$$

we may think of the coefficients of odd powers of  $t$  as odd functions on the  $(k-1)$ -sphere and the coefficients of even powers of  $t$  as even functions on the  $(k-1)$ -sphere under the antipodal action.

In particular, at each  $p \in \Sigma$ , the coefficient of  $t^\ell$  in the expansion of the solution to the singular Yamabe problem  $u$  satisfies

$$u_\ell(-, p) \in \mathcal{Y}_{\ell-1}(p) \quad \text{for } \ell < n + 2.$$

Also,  $\mathcal{L}(-, p) \in \mathcal{Y}_1(p)$ .

# Singular Yamabe Metrics

With

$$u \sim t + u_2 t^2 + \cdots + u_{n+1} t^{n+1} + \mathcal{L} t^{n+2} \log t + u_{n+2} t^{n+2} + o(t^{n+2}),$$

the volume form of  $g^+ = u^{-2}g$  has the form

$$dV_{g^+} = \vartheta dt dV_h dV_b,$$

where

$$\vartheta = t^{-n-1} (\vartheta_0 + \vartheta_1 t + \cdots + \vartheta_n t^n + o(t^n))$$

is such that for each  $p \in \Sigma$ ,  $\vartheta_\ell \in \mathcal{Y}_\ell(p)$ .

So,  $\vartheta_\ell$  for odd  $\ell$  all integrate to zero over  $\mathbb{S}^{k-1}$ .

# Singular Yamabe Metrics

The volume of  $(M \setminus \Sigma, g^+)$  is infinite.  
Considering the region  $\{t > \epsilon\}$ , we have

## Theorem (K-McKeown)

*The volume of the region  $\{t > \epsilon\}$  expands as*

$$\text{Vol}_{g^+} \{t > \epsilon\} = c_0 \epsilon^{-n} + c_1 \epsilon^{-n+1} + \dots + c_{n-1} \epsilon^{-1} + \mathcal{E}_{n,k} \log \left( \frac{1}{\epsilon} \right) + V_{n,k} + o(1),$$

*where  $c_j = 0$  for each odd  $j$ .*

*If  $n$  is odd,  $\mathcal{E}_{n,k}$  is zero and  $V_{n,k}$  is independent of  $g \in [g]$ .*

*If  $n$  is even,  $\mathcal{E}_{n,k}$  is independent of  $g \in [g]$ .*

$\mathcal{E}_{n,k}$  is a conformal invariant in terms of local Riemannian invariants.

$V_{n,k}$  is an absolute conformal invariant.

Observe the reintroduction of parity to the invariance!

# Examples

For  $n = 1$  and  $k = 2$ , if  $M = \mathbb{S}^3$ ,  $V_{1,2}$  is a conformal invariant associated with knot embeddings.

Suppose  $(M, g) = (\mathbb{S}^{n+k}, \hat{g})$ , and let  $\Sigma = \mathbb{S}^n$  be an equatorial sphere.

We have

$$\mathcal{E}_{n,k} = (-1)^{\frac{n}{2}} \frac{4\pi^{\frac{n+k}{2}}}{\left(\frac{n}{2}\right)! \Gamma\left(\frac{k}{2}\right)} \text{ for } n \text{ even,}$$

$$V_{n,k} = (-1)^{\frac{n+1}{2}} \frac{2\pi^{1+\frac{n+k}{2}}}{\Gamma\left(\frac{n+2}{2}\right) \Gamma\left(\frac{k}{2}\right)} \text{ for } n \text{ odd.}$$

In particular,  $\mathcal{E}_{2,2} = -4\pi^2$  and  $V_{1,2} = -4\pi^2$ .

The latter is the renormalized volume associated with the equatorial unknot in  $\mathbb{S}^3$ .

# Examples

Let  $(M^{2+k}, g)$  be a closed Riemannian manifold with  $1 \leq k \leq 3$ .  
Suppose  $\Sigma^2$  is a closed surface embedded in  $M$ .

We have

$$\mathcal{E}_{2,k} = \frac{\text{Vol}_{\dot{b}}(\mathbb{S}^{k-1})}{8(4-k)} \int_{\Sigma} \left( k(|\mathfrak{H}|^2 + 4 \text{tr}_h(P|_{T\Sigma})) + 4|\mathring{\mathfrak{L}}|^2 - 8R_h \right) dV_h.$$

where

$$h = g|_{T\Sigma},$$

$\dot{b}$  is the standard metric on  $\mathbb{S}^{k-1}$ ,

$P$  is the Schouten tensor,

$\mathfrak{L}$  is the second fundamental form of  $\Sigma$  in  $M$ , and

$\mathfrak{H}$  is its mean curvature.

When  $k = 1$ , this is

$$\mathcal{E}_{2,1} = \frac{1}{2} \int_{\Sigma} \left( |\mathring{\mathfrak{L}}|^2 - R_h \right) dV_h$$

# Variational Formulae

Recall that

$$u \sim t + u_2 t^2 + \cdots + u_{n+1} t^{n+1} + \mathcal{L} t^{n+2} \log t + u_{n+2} t^{n+2} + o(t^{n+2}).$$

The *log*-coefficient  $\mathcal{L}$  in  $u$  is the restriction to the unit normal bundle of a *linear* function on the entire normal bundle, i.e., of a one-form. Also,  $\mathcal{L}$  is a conformal invariant of weight  $-n$ .

The formally undetermined term  $u_{n+2}$  is *not* the restriction to the normal bundle of a linear function.

Still, when  $n$  is odd, it has a conformally invariant linear *part* (not locally determined), which thus determines a conformally invariant one-form of weight  $-n$  on  $N\Sigma$ :

$$(u_{n+2})_p(X) = \frac{k}{\text{Vol}_b(\mathbb{S}^{k-1})} \int_{SN_p\Sigma} u_{n+2}(Y) \langle X, Y \rangle dV_b(Y),$$

where  $SN_p\Sigma$  is the unit normal sphere at  $p \in \Sigma$ .

## Theorem (K-McKeown)

If  $n$  is odd and  $k > 1$ , then  $\mathcal{L} = 0$ .

Let  $\mathcal{F} : (-\delta, \delta) \times \Sigma \hookrightarrow M$  be a smooth variation of  $\Sigma$  with  $\mathcal{F}(0, \cdot) = \text{id}_\Sigma$ . Suppose  $X = \frac{d}{ds}\mathcal{F}(s, \cdot)|_{s=0} \in \Gamma(\Sigma, N\Sigma)$  is its variation field.

For each  $s$ , let  $\Sigma_s = \mathcal{F}(s, \Sigma)$ , and let  $\mathcal{E}_{n,k}(s)$  and  $V_{n,k}(s)$  be the energy and volume corresponding to  $(M, \Sigma_s)$ .

$$\text{If } n \text{ is even, then } \frac{d}{ds}\Big|_{s=0} \mathcal{E}_{n,k}(s) = C_{n,k} \int_{\Sigma} \mathcal{L}(X) dV_h.$$

$$\text{If } n \text{ is odd, then } \frac{d}{ds}\Big|_{s=0} V_{n,k}(s) = C_{n,k} \int_{\Sigma} u_{n+2}(X) dV_h.$$

Here  $C_{n,k} = (-1)^n \frac{(n+k)(n^2+n+2k-4)}{k(n-k+2)} \text{Vol}_b(\mathbb{S}^{k-1})$ .

# Variational Formulae

For  $(M, g) = (\mathbb{S}^{n+k}, \mathring{g})$ , the equatorial spheres  $\Sigma = \mathbb{S}^n$  are critical.



# Singular Yamabe Metrics

As mentioned, when  $k \geq n + 2$ , a solution need not exist, need not be unique if it does exist, and need not be polyhomogeneous.

We may still construct *formal* solutions to the singular Yamabe problem, with desired properties that allow us to run the renormalization process beyond  $k < n + 2$ .

For each  $n$ , there are finitely many exceptional codimensions  $k$  for which this process does not yield ideal (but still interesting) results.

Call this set  $S_n$ .

All the mentioned results of [K-McKeown] still hold for  $k \notin S_n$ .

The results pertaining to  $\mathcal{E}_{n,k}$  still hold geometric meaning, as it is an integral of Riemannian invariants.

The results about  $V_{n,k}$  for  $k \geq n + 2$  *do not*, since  $V_{n,k}$  is generally not a canonical quantity anymore.

Thank You.

# Singular Yamabe Metrics

Set

$$P_n(p) = n + 2 + 2np - 4p^2 \text{ and}$$

$$Q_n(p) = 2n + 1 + 2(n - 2)p - 4p^2.$$

If  $n$  is even, define

$$E_n = \left\{ P_n(p) : p = 0, \dots, \left\lfloor \frac{n}{4} \right\rfloor \right\}$$

$$O_n = \left\{ Q_n(p) : p = 0, \dots, \left\lfloor \frac{n}{4} \right\rfloor \right\}.$$

If  $n$  is odd, define

$$E_n = \left\{ P_n(p) : p = 1, \dots, \left\lfloor \frac{n}{2} \right\rfloor \right\}$$

$$O_n = E_n \cup \{n + 2\}.$$

Let  $S_n$  denote the set  $E_n \cup O_n$ .

# Singular Yamabe Metrics

For  $k \notin S_n$ , the previous results for  $\mathcal{E}_{n,k}$  and  $V_{n,k}$  hold as they are.  
Assume  $k \in S_n$ .

## Theorem (K-McKeown)

*If  $k \in O_n$ , then there exists  $u$  with the expansion*

$$u \sim t + u_2 t^2 + \cdots + u_{n+1} t^{n+1} + \mathcal{L} t^{n+2} \log t + u_{n+2} t^{n+2} + o(t^{n+2})$$

*satisfying  $R_{u^{-2}g} = -(n+2-k)(n+k-1) + O(t^{n+1})$ .*

*This  $u$  is unique given the additional constraint that, for each positive root  $\nu$  to  $Q_n(\nu) = k$ , the coefficient  $u_{\nu+1}$  integrates to zero on each fiber of the normal sphere bundle to  $\Sigma$ ; this is equivalent to requiring that  $\frac{u}{t}$  be smooth on  $M$ , and is thus a conformally invariant condition.*

The previous results for  $\mathcal{E}_{n,k}$  and  $V_{n,k}$  thus hold for  $k \in O_n$  too.

# Singular Yamabe Metrics

## Theorem (K-McKeown)

Suppose that  $k \in E_n$ . Let  $\nu$  be the smallest positive root to  $P_n(\nu) = k$ .

- i If  $\nu \neq \frac{n}{2}$ , a formal expansion  $u$  exists of the form

$$u = t + t^2 u_2 + \cdots + t^\nu u_\nu + t^{\nu+1} (\log t) A + t^{\nu+1} u_{\nu+1},$$

satisfying  $R_{u^{-2}g} = (k - n - 2)(n + k - 1) + o(t^{\nu+1})$ .

Such  $u$  is unique to order  $o(t^{\nu+1})$  subject to the requirement that the integral of  $u_{\nu+1}$  over each fiber of the normal sphere bundle to  $\Sigma$  vanish. This is not a conformally invariant condition.

- ii If  $\nu = \frac{n}{2}$ ,  $u$  has a formal expansion of the form

$$u = t + t^2 u_2 + \cdots + t^{\frac{n}{2}} u_{\frac{n}{2}} + t^{\frac{n}{2}+1} (\log t)^2 A + t^{\frac{n}{2}+1} u_{\frac{n}{2}+1},$$

satisfying  $R_{u^{-2}g} = (k - n - 2)(n + k - 1) + o(t^{\frac{n}{2}+1})$ . Uniqueness is the same as in the preceding case.

In either case,  $A$  is a pointwise conformal invariant of weight  $-\nu$ .