

# Index theory and quantum field theory: the chiral anomaly

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# Multi-Particle Quantum Theory (Non-Relativistic)

- $\mathcal{H}$ : one-particle Hilbert space (space of wave functions);
- $\text{CAR}(\mathcal{H})$ :  $C^*$ -algebra generated by symbols  $a(v)$  and relations
  - \*  $\{a(v), a(w)\} = 0$
  - \*  $\{a(v), a^*(w)\} = \langle v, w \rangle$
  - \*  $v \mapsto a^*(v)$  linear
- $k$  fermionic particles described by vectors in  $\mathcal{F}_k := \wedge^k \mathcal{H}$ ;
- **Fock space**:  $\mathcal{F} = \bigoplus_{k=0}^{\infty} \mathcal{F}_k$ ;
- **Vacuum vector**:  $\Omega := 1 \in \wedge^0 \mathcal{H} = \mathbb{C}$ .

Representation of  $a$  and  $a^*$  as operators on  $\mathcal{F}$  given by

$$\begin{aligned}a^*(v)(w_1 \wedge \dots \wedge w_k) &= v \wedge w_1 \wedge \dots \wedge w_k, \\a(v)(w_1 \wedge \dots \wedge w_k) &= \iota_v(w_1 \wedge \dots \wedge w_k).\end{aligned}$$

# Relativistic Quantum Field Theory

- $P : \mathcal{H} \rightarrow \mathcal{H}$  projection onto “states of positive energy”;
- orthogonal decomposition  $\mathcal{H} = \mathcal{H}_+ \oplus \mathcal{H}_-$  where  $\mathcal{H}_+ = P\mathcal{H}$ ;
- $(p, q)$ -particle subspaces:  $\wedge^p \mathcal{H}_+ \otimes \wedge^q \mathcal{H}_-$  in  $\mathcal{F}(\mathcal{H}_+) \otimes \mathcal{F}(\mathcal{H}_-^*)$ ;
- **number operator**  $N$  has eigenvalue  $p + q$ ;
- **charge operator**  $Q$  has eigenvalue  $p - q$ .

$P$  induces representation of  $\text{CAR}(\mathcal{H})$  on  $\mathcal{F}(\mathcal{H}_+) \otimes \mathcal{F}(\mathcal{H}_-^*)$  by

$$a(v) = b(Pv) + b^+(\overline{(1 - P)v})$$

Here  $b^+$  and  $b$  are creation and annihilation operators on  $\mathcal{F}(\mathcal{H}_-^*)$  and  $\mathcal{F}(\mathcal{H}_+)$ , resp.

# Geometric Setup

- $X$  globally hyperbolic Lorentzian spin manifold, even-dimensional, spatially compact;
- $S = S_L \oplus S_R \rightarrow X$  spinor bundle;
- $E \rightarrow X$  Hermitian vector bundle with connection  $\nabla^E$ ;
- $D : C^\infty(X, S_L \otimes E) \rightarrow C^\infty(X, S_R \otimes E)$  Dirac operator;
- advanced/retarded Green's operator  
 $G_\pm : C_0^\infty(X, S_R \otimes E) \rightarrow C^\infty(X, S_L \otimes E)$
- one-particle space Hilbert space  $\mathcal{H} =$  completion of  $\{u \in C^\infty(X, S_L^* \otimes E^*) \mid D^*u = 0\}$ ;
- well-posedness of Cauchy problem:  $\mathcal{H} \xrightarrow{\cong} L^2(\Sigma, S_L^* \otimes E^*)$  for any smooth spacelike Cauchy hypersurface  $\Sigma$ .

# The Dirac Quantum Field

Define

$$\Psi : C_0^\infty(X; S_R^* X \otimes E^*) \rightarrow \text{CAR}(\mathcal{H}),$$

$$u \mapsto a^*(Gu);$$

$$\bar{\Psi} : C_0^\infty(X; S_L X \otimes E) \rightarrow \text{CAR}(\mathcal{H}),$$

$$v \mapsto a(G\bar{v}).$$

where  $G = G_+ - G_-$ .

Then  $\Psi$  and  $\bar{\Psi}$  are  $\mathbb{C}$ -linear and

$$\{\Psi(u_1), \Psi(u_2)\} = 0, \quad \{\bar{\Psi}(v_1), \bar{\Psi}(v_2)\} = 0;$$

$$\{\bar{\Psi}(v), \Psi(u)\} = -i \int_X \langle v, Gu \rangle dV;$$

$$\Psi(u)^* = \bar{\Psi}(\bar{u});$$

$$D\Psi = 0, \quad D^*\bar{\Psi} = 0.$$

## States Associated to a Cauchy Hypersurface

- $\Sigma \subset X$  smooth spacelike Cauchy hypersurface;
- spatial Dirac operator  $D_\Sigma$ ;
- spectral projector  $P_\Sigma = \chi_{[0,\infty)}(D_\Sigma)$ ;
- $\mathcal{H}_\Sigma = L^2(\Sigma, \mathcal{S}_L^* \otimes E^*)$
- wave propagator  $U_{\Sigma',\Sigma} : \mathcal{H}_\Sigma \rightarrow \mathcal{H}_{\Sigma'}$ .

Get induced representation of  $\text{CAR}(\mathcal{H})$  on

$$\mathcal{F}(\mathcal{H}) \cong \mathcal{F}(\mathcal{H}_\Sigma) = \mathcal{F}(\mathcal{H}_+) \otimes \mathcal{F}(\mathcal{H}_-^*)$$

where  $\mathcal{H}_+ = P_\Sigma \mathcal{H}_\Sigma$ .

Associated state:

$$\omega_\Sigma : \text{CAR}(\mathcal{H}) \rightarrow \mathbb{C}, \quad x \mapsto \langle \Omega, \pi_\Sigma(x) \Omega \rangle$$

**Example:** two-point function

$$\omega_\Sigma^{(2)}(v, u) := \omega_\Sigma(\bar{\Psi}(v)\Psi(u)) = \langle \Omega, \pi_\Sigma(\bar{\Psi}(v)\Psi(u)) \Omega \rangle$$

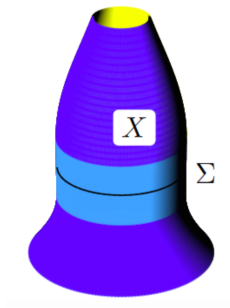
distributional bi-solution of Dirac equation



# States Associated to a Cauchy Hypersurface

$$\Sigma \rightsquigarrow D_\Sigma \rightsquigarrow P_\Sigma \rightsquigarrow \pi_\Sigma \rightsquigarrow \omega_\Sigma$$

Two-point function is Hadamard (has specified singular part) if  $X$  has product structure near  $\Sigma$ .



# Quantized Dirac Current

Want to quantize classical Dirac current

$$J(X) = \langle \psi, X \cdot \psi \rangle$$

Fix a state  $\omega$  and try

$$J_{\mu}^{\omega}(x) = \omega(\bar{\Psi} \overset{A}{\dot{\gamma}}_{\mu}(x) (\gamma_{\mu})_{\dot{A}}^B \Psi_B(x))$$

**Problem:** singularities of two-point function. Need regularization procedure (renormalization).

**But:** relative current

$$J_{\mu}^{\omega_1, \omega_2}(x) = \lim_{y \rightarrow x} \left( \omega_1(\bar{\Psi} \overset{A}{\dot{\gamma}}_{\mu}(x) (\gamma_{\mu})_{\dot{A}}^B \Psi_B(y)) - \omega_2(\bar{\Psi} \overset{A}{\dot{\gamma}}_{\mu}(x) (\gamma_{\mu})_{\dot{A}}^B \Psi_B(y)) \right)$$

does exist and is smooth if  $\omega_j$  are Hadamard!



# Charge Creation and Index

## Theorem

For any two Cauchy hypersurfaces with product structure near them, the relative current  $J^{\omega_1, \omega_2}$  is conserved (divergence free) and its integral over any Cauchy surface equals

$$\text{ind}((U_{\Sigma', \Sigma})_{++}) = -\text{ind}(D_{\text{APS}}).$$

Hence

$$Q_L = - \int_M \hat{A} \wedge \text{ch}(\nabla^E) + \frac{h(D_{\Sigma_1}) - h(D_{\Sigma_2}) + \eta(D_{\Sigma_1}) - \eta(D_{\Sigma_2})}{2}.$$

Similarly

$$Q_R = \int_M \hat{A} \wedge \text{ch}(\nabla^E) - \frac{h(D_{\Sigma_1}) - h(D_{\Sigma_2}) + \eta(D_{\Sigma_1}) - \eta(D_{\Sigma_2})}{2}.$$

Total charge  $Q = Q_R + Q_L$  is zero.

Chiral charge  $Q_{\text{chiral}} = Q_R - Q_L$  charge is not!



# Examples

**Example 1:**  $X = \mathbb{R} \times S^3$  with metric  $-dt^2 + g_t$  where  $g_t$  is a suitable family of *Berger metrics*.  
Nontrivial chiral anomaly.

**Example 2:** (Bianchi-type I spacetimes)  $X = \mathbb{R} \times T^3$  with metric  $-dt^2 + g_t$  where  $g_t$  is any family of flat metrics.  
Trivial chiral anomaly.

**Example 3:** (Bianchi-type II spacetimes)  $X = \mathbb{R} \times He(3)$  with metric  $-dt^2 + g_t$  where  $g_t$  is a suitable family of left-invariant metrics.  
Nontrivial chiral anomaly.

## References:

C. Bär and A. Strohmaier: *An index theorem for Lorentzian manifolds with compact spacelike Cauchy boundary*

arXiv:1506.00959

C. Bär and A. Strohmaier: *A rigorous geometric derivation of the chiral anomaly in curved backgrounds*

arXiv:1508.05345

Thank you for your attention!

