

Holomorphic injectivity and the Hopf map

Problem: Given a map $f : X \rightarrow \mathbb{R}^n$ that is a local diffeomorphism, or a map $f : X \rightarrow \mathbb{C}^n$ that is a local biholomorphism, or a map $f : X \rightarrow \mathbb{A}_{\mathbb{C}}^n$ that is an étale morphism, how do we recognize when f is (globally) injective?

Examples: In the early 1900's, Bieberbach and Fatou studied univalent maps from the open unit disk D in the complex plane to \mathbb{C} , by looking at the coefficients of the Taylor series (assume $f(0) = 0, f'(0) = 1$). The Bieberbach conjecture is that if the map is globally $1 - 1$, then $|f^{(k)}(0)| \leq (k!)k$. This was proved by de Branges in 1984, and the converse is known to be false.

The **asymptotic stability conjecture** (Marcus Yamabe Conjecture). Let X be a smooth vector field on \mathbb{R}^2 , $X(0) = 0$. If the eigenvalues of DX have negative real part for $z \in \mathbb{R}^2$, then the origin is a global attractor. This was partially proved by Olech in 1963: If $X : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ is injective, then the conjecture holds. Gutierrez proved in 1995, if $F : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ is a local diffeomorphism and $[0, \infty) \cap \text{Spec } DF(z) = \emptyset$ for all $z \in \mathbb{R}^2$, then F is injective.

The **Jacobian conjecture** (Keller, 1939): if $f : \mathbb{C}^n \rightarrow \mathbb{C}^n$ is a polynomial map and if $\det(Df) = 1$, then f is injective (and thus bijective with polynomial inverse).

The **Hopf map** is defined as follows. $H : S^{2n-1} \rightarrow \mathbb{C}\mathbb{P}^{n-1}$ ($u \mapsto$ complex line through 0 and u). Can also look at $S^{2n-1} \rightarrow \mathbb{R}\mathbb{P}^{2n-1} \xrightarrow{\pi} \mathbb{C}\mathbb{P}^{n-1}$. Fact: Neither H nor π have continuous sections for $n \geq 2$. The proof: if there were such a section s of H , then $H^2(\mathbb{C}\mathbb{P}^{n-1}) \rightarrow H^2(S^{2n-1}) = 0 \rightarrow H^2(\mathbb{C}\mathbb{P}^{n-1})$ can't be the identity.

Toy example: If $F : \mathbb{C}^n \rightarrow \mathbb{C}^n$ is a local biholomorphism for $n \geq 2$, such that for each complex line $\uparrow \in \mathbb{C}^n$, $F^{-1}(\uparrow)$ is connected and simply connected. Then F is injective.

Sketch of proof: Suppose $F(p) = F(q) = 0$ with $p \neq q$. Then the preimage of a line \uparrow through the origin is a connected and simply connected set containing p and q . It is a complete, simply-connected real surface of nonpositive curvature in the standard metric (Griffiths-Harris p. 79). Hadamard proved that there exists a unique geodesic on $F^{-1}(\uparrow)$ that connects p and q , say starting from p at unit speed. Let $w(\uparrow)$ be the initial vector of this unique unit speed path. It pushes to a point $v(\uparrow) = dF(p)w(\uparrow)$. Then $v(\uparrow)/|v(\uparrow)|$ gives a continuous section of the Hopf map. Contradiction.

Theorem: (Nollet and Xavier). Let X be a connected, complex manifold with $\dim n \geq 2$, $F : X \rightarrow \mathbb{C}^n$ a local biholomorphism. If each nonempty preimage of a complex line \uparrow is a connected rational curve (punctured genus zero surface, locally conformal to \mathbb{C}), then F is injective.

Cor: If F is étale or algebraic, this is automatic. So F is injective iff each $F^{-1}(\uparrow)$ is a connected rational curve.

Sketch of proof: similar picture as in the above. Consider the preimage of a line \uparrow through the origin is a connected and simply connected set containing p and q and r . Then there is a unique fractional linear transformation such that $p \mapsto 0, q \mapsto 1, r \mapsto \infty$. Now fix τ a unit vector in \mathbb{C} at 0, and then push back to a vector in \uparrow . Again this gives a continuous section of the Hopf map. Contradiction. Proving this map is continuous is the bulk of the proof.

Next time: Birationality of étale morphism via surgery.