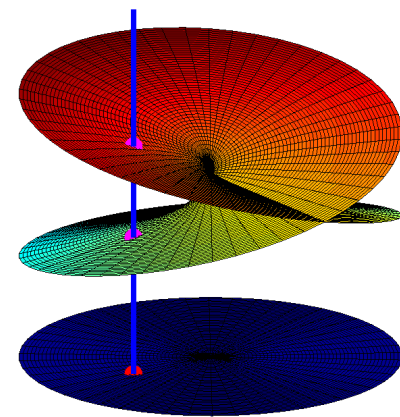
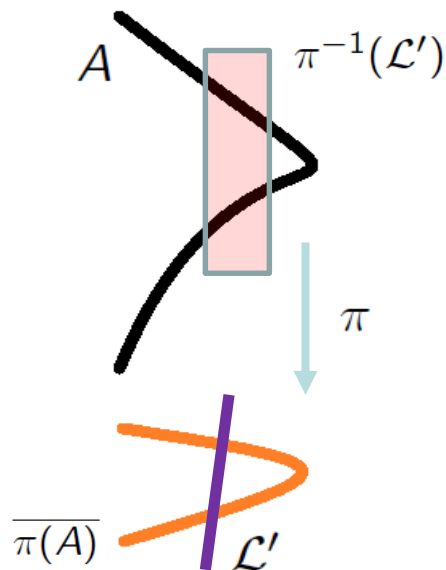
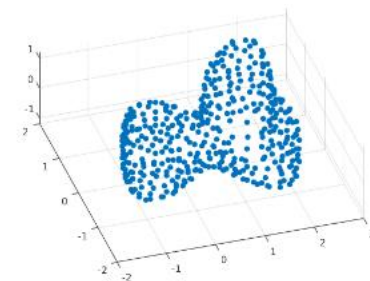
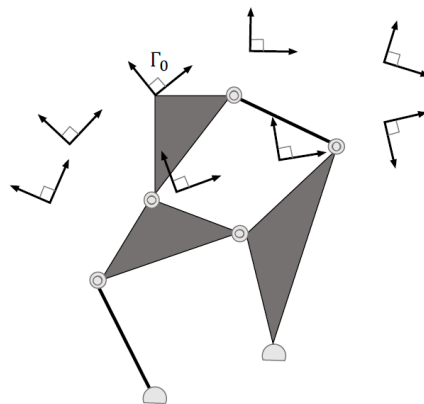
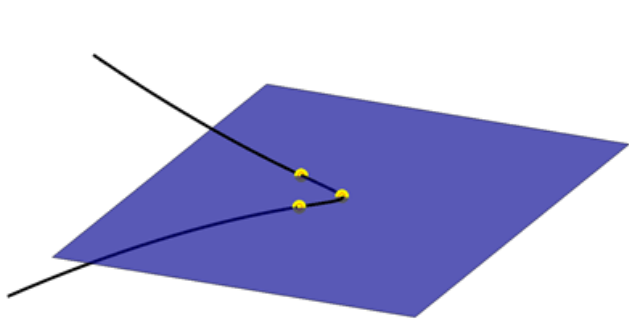
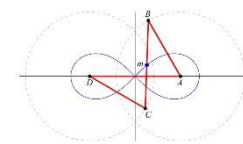


# Applications of Sampling in Numerical Algebraic Geometry



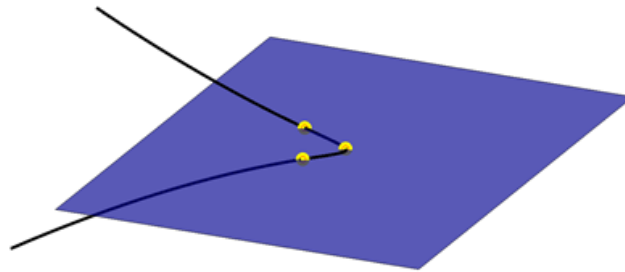
Jonathan Hauenstein  
 Applications of Polynomial Systems  
 NSF CBMS TCU  
 June 5, 2018



# Overview

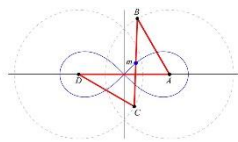
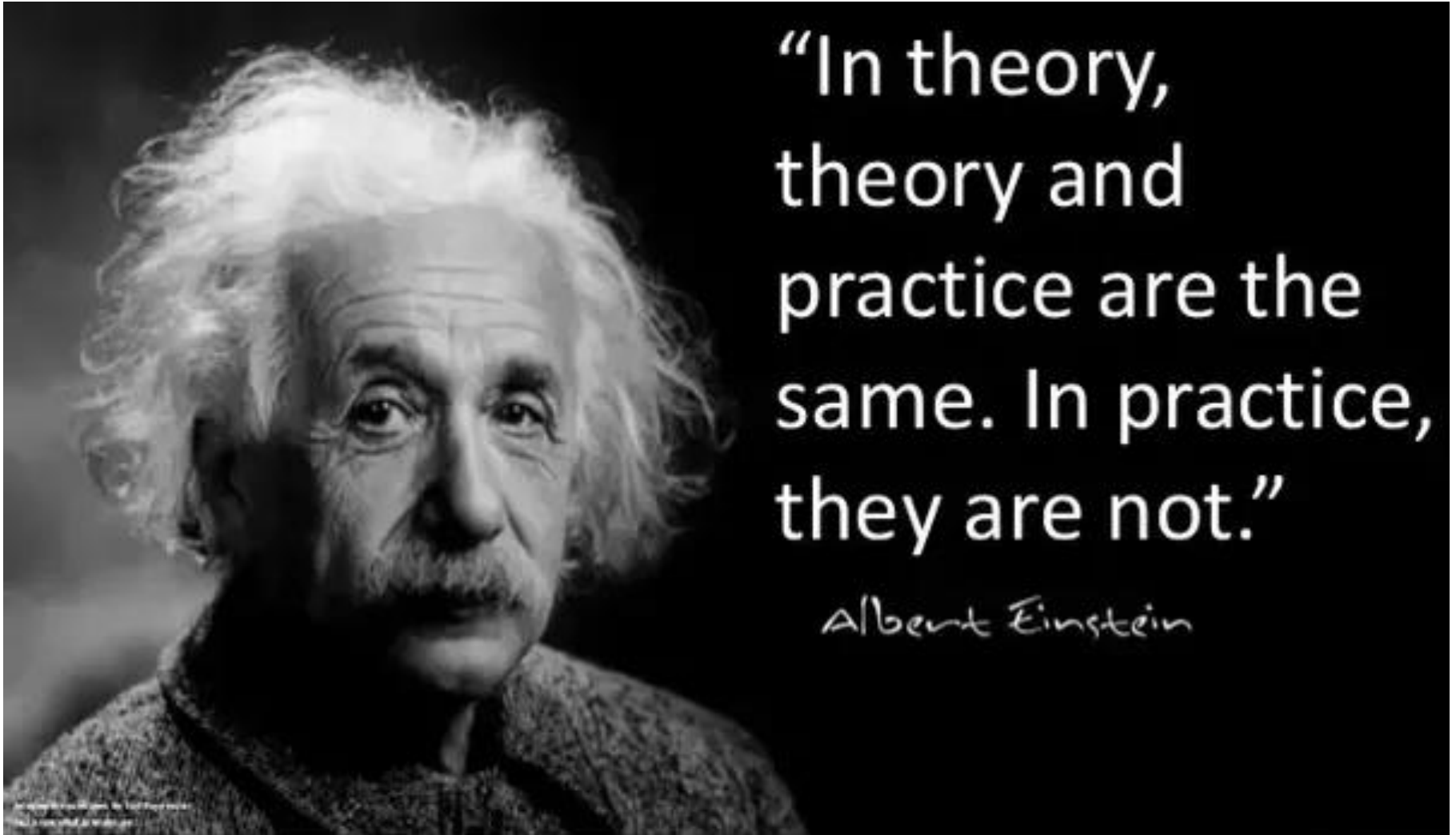
- ▶ Musings on numerical algebraic geometry

- ▶ Witness sets



- ▶ Applications of sampling

# Theory vs. Practice



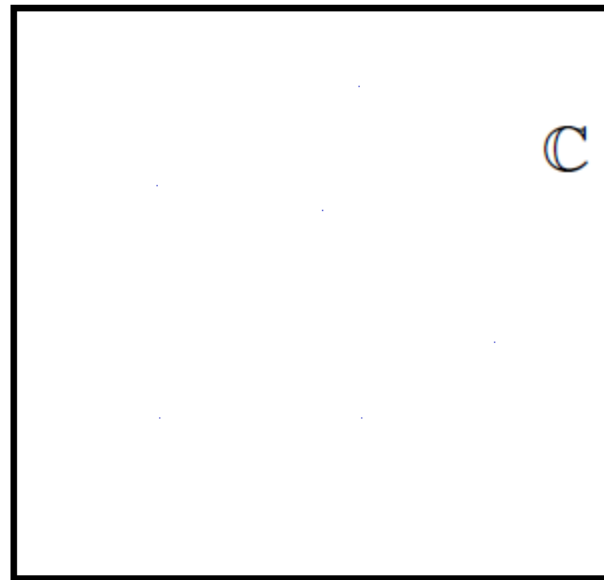
# Theory vs. Practice

Let  $f \in \mathbb{C}[x]$  be a univariate polynomial.

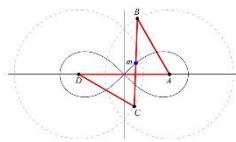
Theory:

- ▶  $f \equiv 0$  if and only if  $f(x^*) = 0$  for general  $x^* \in \mathbb{C}$ .

If  $f \not\equiv 0$ , then  $\mathcal{V}(f) \subset \mathbb{C}$  has finitely many points. Hence,  $\mathbb{C} \setminus \mathcal{V}(f)$  is a Zariski open dense subset of  $\mathbb{C}$ .



$$\#\mathcal{V}(f) = 6$$



# Theory vs. Practice

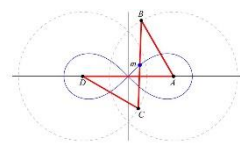
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Theory:

- ▶  $f \equiv 0$  if and only if  $f(x^*) = 0$  for general  $x^* \in \mathbb{C}$ .
- ▶  $f \equiv 0$  if and only if  $f(x^*) = 0$  for random  $x^* \in \mathbb{C}$  *with probability 1*.



$$\#\mathcal{V}(f) = 6$$

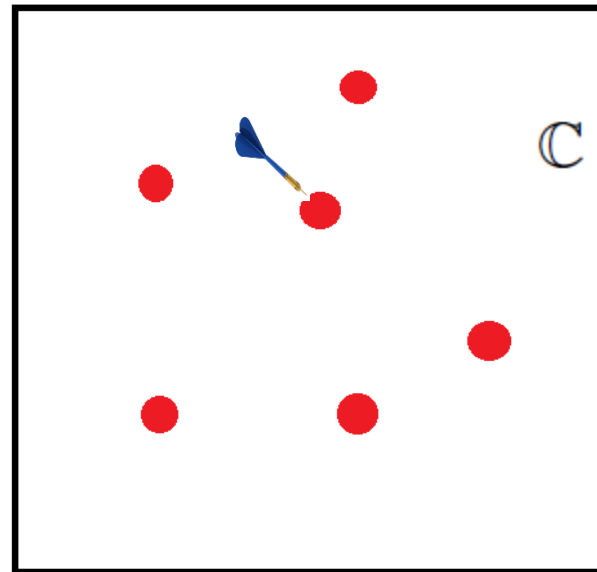


# Theory vs. Practice

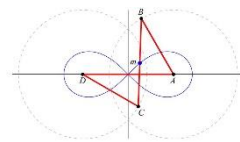
Practice:

- ▶ Is  $f$  known exactly or only approximately?
- ▶ What is the scaling of  $f$ ?
  - ▶  $\mathcal{V}(f) = \mathcal{V}(10^{-1000000} \cdot f)$
- ▶ How to select a random point in  $x^* \in \mathbb{C}$ ?
- ▶ How much error in evaluating  $f(x^*)$ ?
- ▶ In the presence of error, what does it mean to be equal to 0?
  - ▶ Floating-point arithmetic: select from a finite subset of  $\mathbb{C}$

Prob(failure)  $> 0$



$$\#\mathcal{V}(f) = 6$$



# Theory vs. Practice

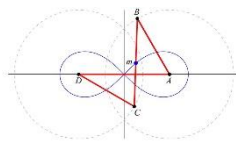
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$\text{Prob}(\text{failure}) > 0$

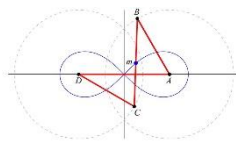
Reduce failure rate by:

- ▶ using higher precision
- ▶ rescale
- ▶ reformulate (different geometric description?)
- ▶ take advantage of structure
- ▶ develop a different numerical approach (Simon Telen's poster)



# Theory vs. Practice

Is  $\mathcal{V}(xy - \epsilon)$  reducible or irreducible?



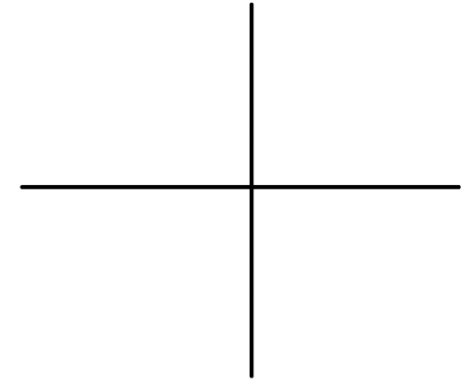


# Theory vs. Practice

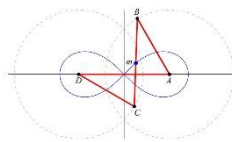
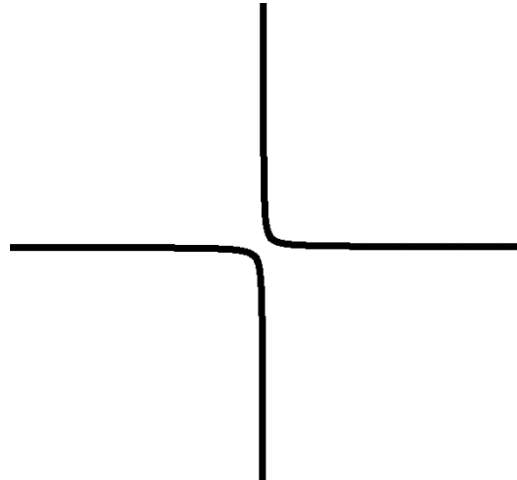
Is  $\mathcal{V}(xy - \epsilon)$  reducible or irreducible?

Theory:

▶ Reducible if  $\epsilon = 0$ :  $\mathcal{V}(xy) = \mathcal{V}(x) \cup \mathcal{V}(y)$



▶ Irreducible if  $\epsilon \neq 0$

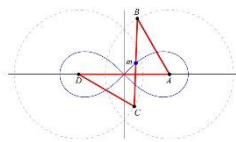


# Theory vs. Practice

Is  $\mathcal{V}(xy - \epsilon)$  reducible or irreducible?

Practice:

- ▶ Problem is *ill-posed*
  - ▶ answer does not depend continuously on  $\epsilon$



# Theory vs. Practice

Is  $\mathcal{V}(xy - \epsilon)$  reducible or irreducible?

Practice:

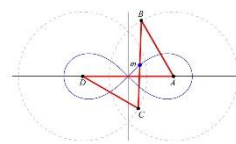
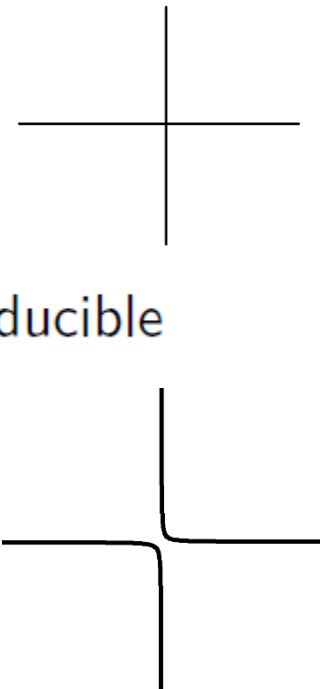
- ▶ Is  $\epsilon \neq 0$  due to numerical noise or truly nonzero

- ▶ If numerical noise: set to 0 – reducible

- ▶ If nonzero: solve a rescaled version – irreducible

$$f(x, y) = xy - \epsilon$$

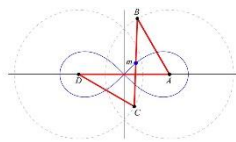
$$g(\hat{x}, \hat{y}) = \frac{1}{\epsilon} f(\hat{x}\sqrt{\epsilon}, \hat{y}\sqrt{\epsilon}) = \hat{x}\hat{y} - 1$$



# Theory vs. Practice

For numerical methods:

- ▶ Solve well-posed, well-conditioned, and num. stable problems!

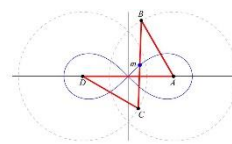


# Theory vs. Practice

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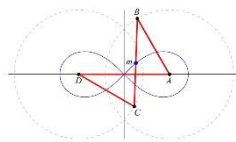
$$\begin{aligned} & 22070179871476654215734436981460373192064947078797748209t^6 \\ & + 5585831392725719195345163470516310362705889042844010328t^5 \\ & + 14175569812724447393500233789877848531491265t^4 W \\ & - 447718078603500717216424896040737869157828321607704039864t^4 \\ & - 86567655386571901223236593151698362962027440t^3 W \\ & + 57114529769698357624742306475t^2 W^2 \\ & + 474302309016648096934423520799618219755274954155075926592t^3 \\ & + 192856342071229007723481356183461213738057680t^2 W \\ & - 194302706043604453258752959400t W^2 - 26371599148125W^3 \\ & + 2341397816853864817617847981162945070584483528261510775184t^2 \\ & - 183528856281941126263893376861009344326329920t W \\ & + 164969244105921949388612135400W^2 \\ & - 5390258693970772695117811943833419754488807920338145746560t \\ & + 61550499069700173478724063089387654812308400W \\ & + 3193966974265623365398753846860968247266969720956505401600. \end{aligned}$$



# Theory vs. Practice

What is the difference locally at the origin between

$$f(x, y) = \begin{bmatrix} y - x^2 \\ y^{100} \end{bmatrix} \quad \text{and} \quad g(x, y) = y - x^2?$$



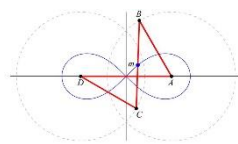
# Theory vs. Practice

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$$f(x, y) = \begin{bmatrix} y - x^2 \\ y^{100} \end{bmatrix} \quad \text{and} \quad g(x, y) = y - x^2?$$

Theory:

- ▶  $f$ : origin is isolated of multiplicity 200
- ▶  $g$ : origin lies on a positive-dimensional component



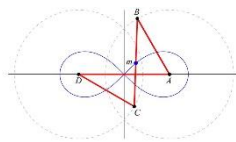
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Practice:

- ▶ For  $C = \{(x, x^2) \mid |x| < 1/2\}$ :
  - ▶  $g = 0$  on  $C$
  - ▶  $\|f\| \leq 10^{-60}$  on  $C$





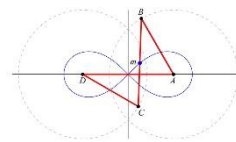
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  - ▶  $g = 0$  on  $C$
  - ▶  $\|f\| \leq 10^{-60}$  on  $C$
- ▶ Difference is some WD-40



# Theory vs. Practice

At 2001 Computational Kinematics Workshop:

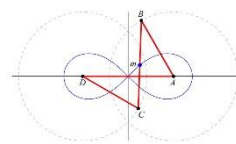
- ▶ Demonstrated this was a highly accurate machine

Theory: isolated solution of multiplicity 4

- ▶ It should not move but does due to multiplicity, joint tolerances, and link elasticity



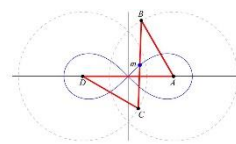
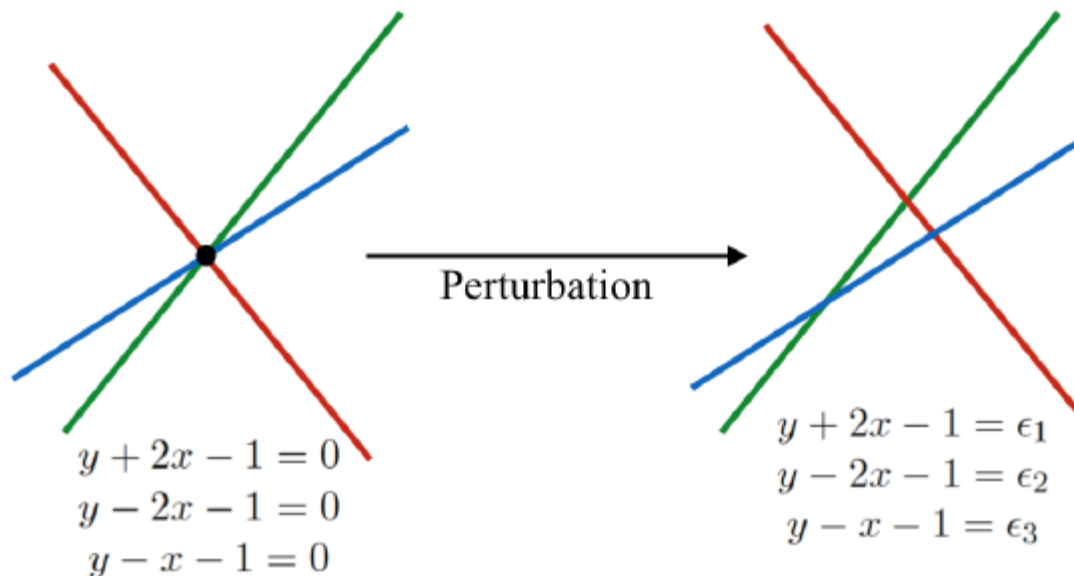
F. Park et al.  
Seoul National University



# Symbolic vs. Numeric

Generally speaking:

- ▶ Numerical methods prefer well-constrained systems of low degrees with coefficients of roughly unit magnitude
  - ▶ codimension = # equations
  - ▶ stable under perturbations



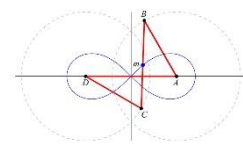
# Symbolic vs. Numeric

Generally speaking:

- ▶ Numerical methods prefer well-constrained systems of low degrees with coefficients of roughly unit magnitude
  - ▶ codimension =  $\neq$  equations
  - ▶ stable under perturbations
- ▶ Gröbner basis methods prefer vastly over-determined systems
  - ▶ fewer “new” polynomials to compute
  - ▶ Bardet-Faugere-Salvy (2004)

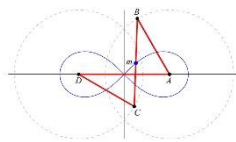
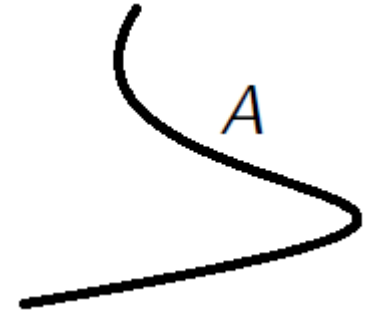
The result of an exact Gröbner basis computation is a proof.

- ▶ Num. alg. geom. replaces certainty with “probability 1”



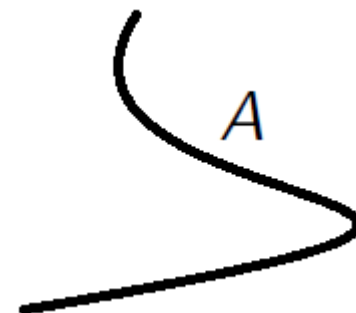
# Symbolic vs. Numeric

How to represent an irreducible algebraic variety  $A$  on a computer?



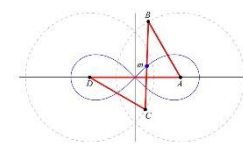
# Symbolic vs. Numeric

How to represent an irreducible algebraic variety  $A$  on a computer?



- ▶ algebraic: prime ideal  $I(A) = \{g \mid g(a) = 0 \text{ for all } a \in A\}$ 
  - ▶ Hilbert Basis Theorem (1890): there exists  $f_1, \dots, f_k$  such that

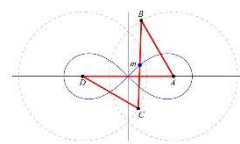
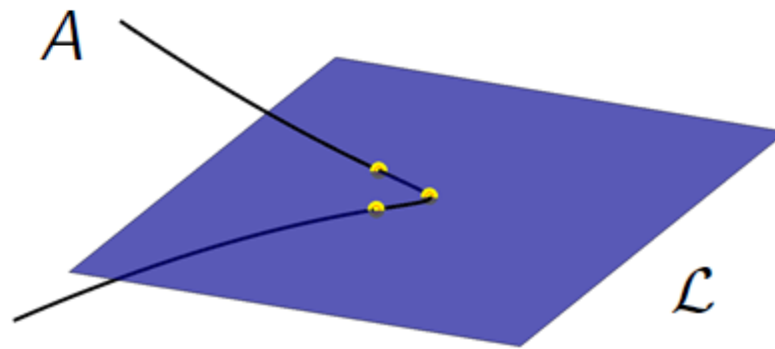
$$I(A) = \langle f_1, \dots, f_k \rangle$$



# Witness Set

How to represent an irreducible algebraic variety  $A$  on a computer?

- ▶ geometric: witness set  $\{f, \mathcal{L}, W\}$  where
  - ▶  $f$  is polynomial system where  $A$  is an irred. component of  $\mathcal{V}(f)$
  - ▶  $\mathcal{L}$  is a linear space with  $\text{codim } \mathcal{L} = \dim A$
  - ▶  $W = \mathcal{L} \cap A$  where  $\#W = \deg A$

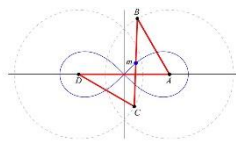
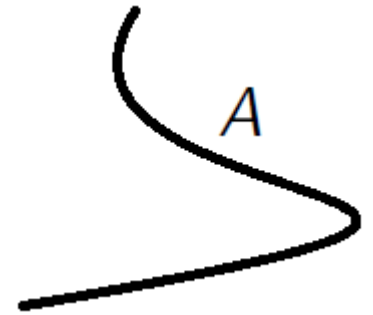


# Witness Set

## Example

$A = \{[s^3, s^2t, st^2, t^3] \mid [s, t] \in \mathbb{P}^1\} \subset \mathbb{P}^3$  – twisted cubic curve

►  $I(A) = \langle x_1^2 - x_0x_2, x_1x_2 - x_0x_3, x_2^2 - x_1x_3 \rangle$





# Witness Set

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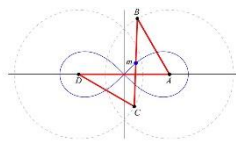
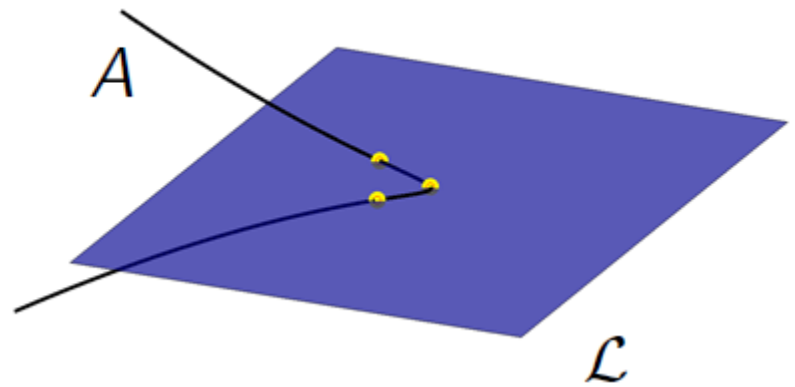
▶  $f = \begin{bmatrix} x_1^2 - x_0x_2 \\ x_1x_2 - x_0x_3 \end{bmatrix}$

▶  $\mathcal{L} = \{[x_0, x_1, x_2, x_3] \in \mathbb{P}^3 \mid 6x_0 - 6x_1 - 2x_2 + x_3 = 0\} \subset \mathbb{P}^3$

▶  $\text{codim } \mathcal{L} = \dim A = 1$

▶  $W = \left\{ \begin{array}{l} [1, 3.2731, 10.7130, 35.0644], \\ [1, 0.8596, 0.7389, 0.6351], \\ [1, -2.1326, 4.5481, -9.6995] \end{array} \right\}$

▶  $\deg A = 3$



# Witness Set

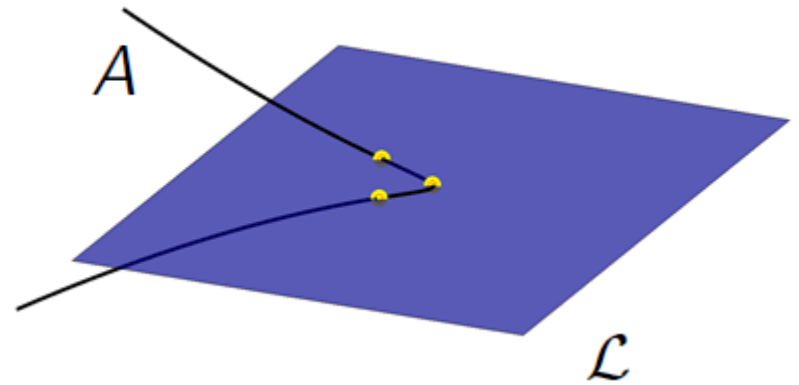
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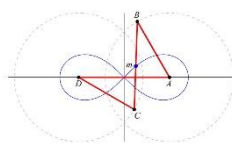
▶  $I(A) = \langle x_1^2 - x_0x_2, x_1x_2 - x_0x_3, x_2^2 - x_1x_3 \rangle$

▶  $f = \begin{bmatrix} x_1^2 - x_0x_2 \\ x_1x_2 - x_0x_3 \end{bmatrix}$

$\mathcal{V}(f) = A \cup \{x_0 = x_1 = 0\}$

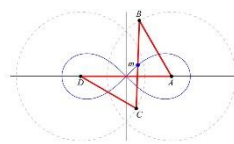
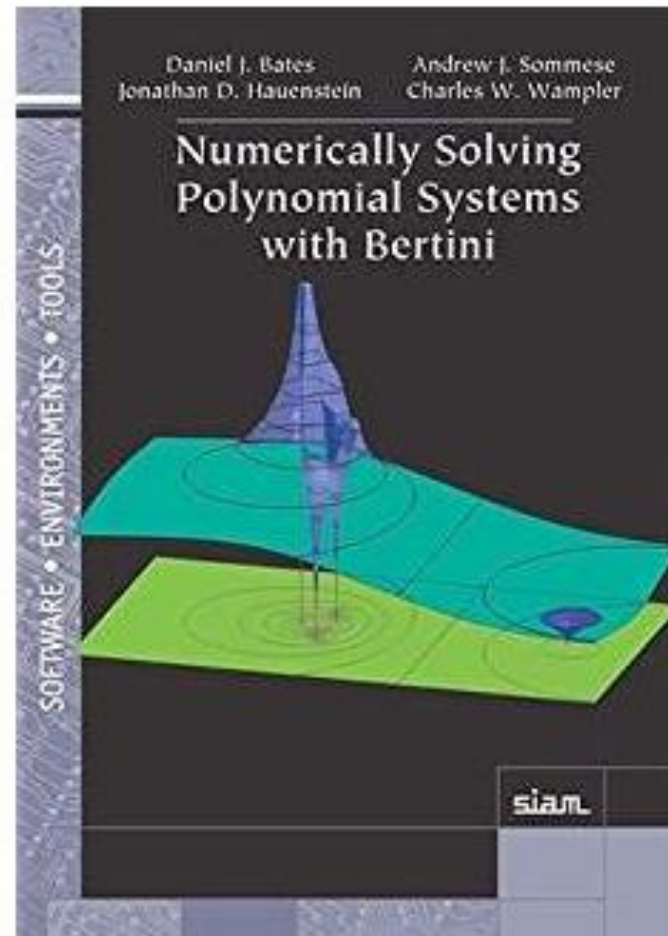
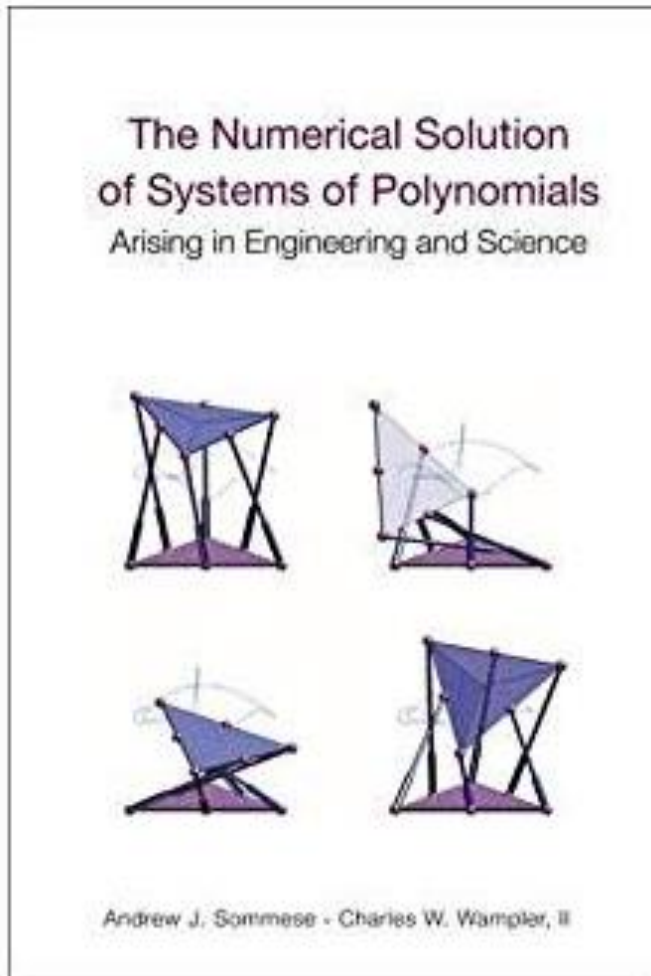


- ▶ Witness sets “localize” computations to  $A$  effectively ignoring the other irreducible components.
- ▶ Sample points from  $A$  by moving the linear slice  $\mathcal{L}$ .



# Witness Set

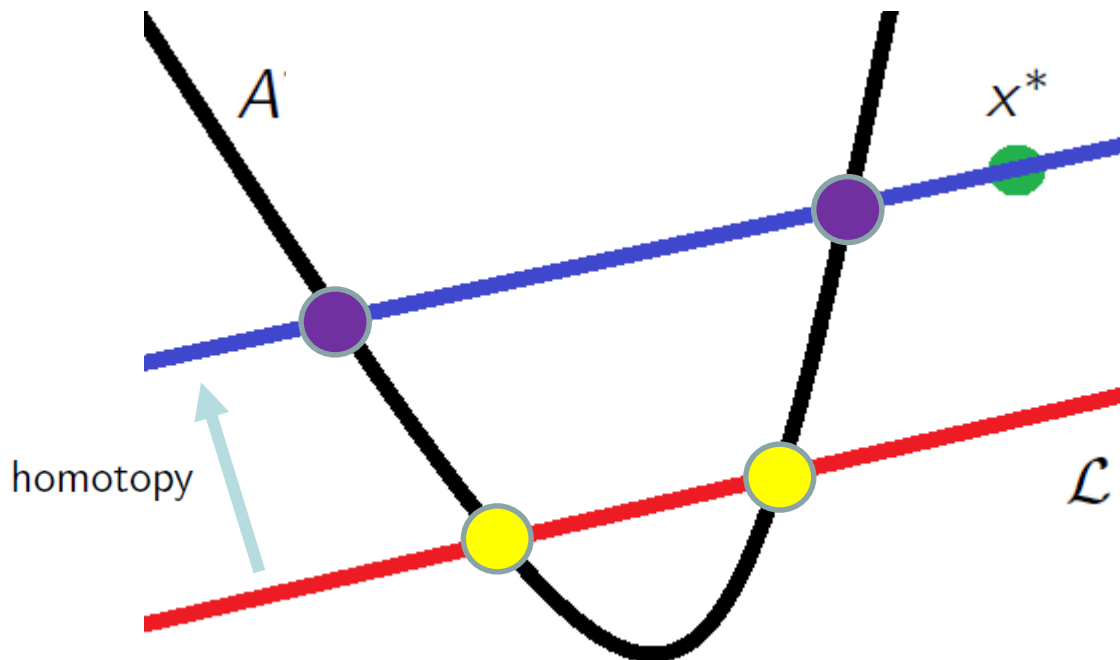
Many other numerical algebraic geometric computations can be performed starting from witness sets, such as:



# Witness Set

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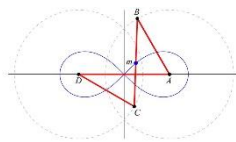
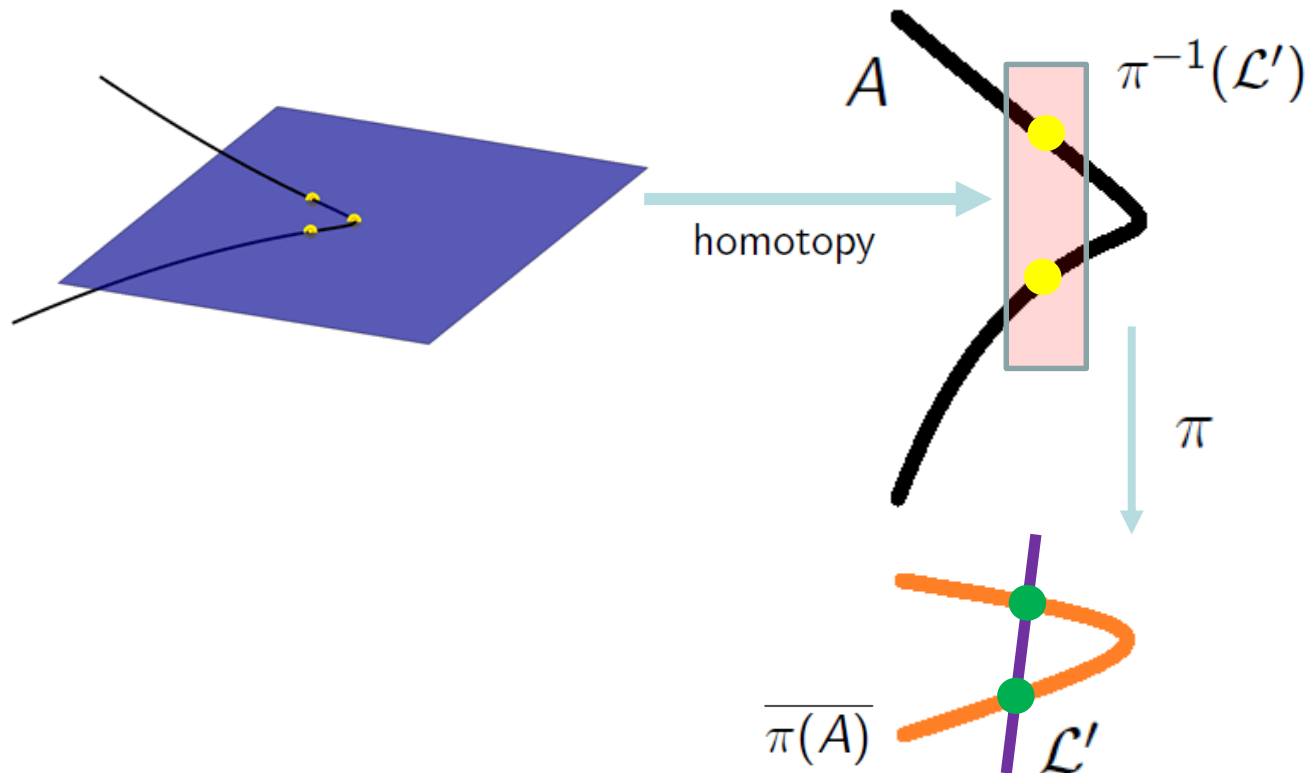
- ▶ membership testing: is  $x^* \in A$ ?
- ▶ decide if  $g(x^*) = 0$  for every  $g \in I(A)$  without knowing  $I(A)$



# Witness Set

Many other numerical algebraic geometric computations can be performed starting from witness sets, such as:

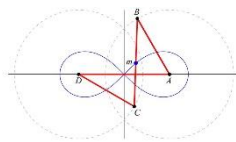
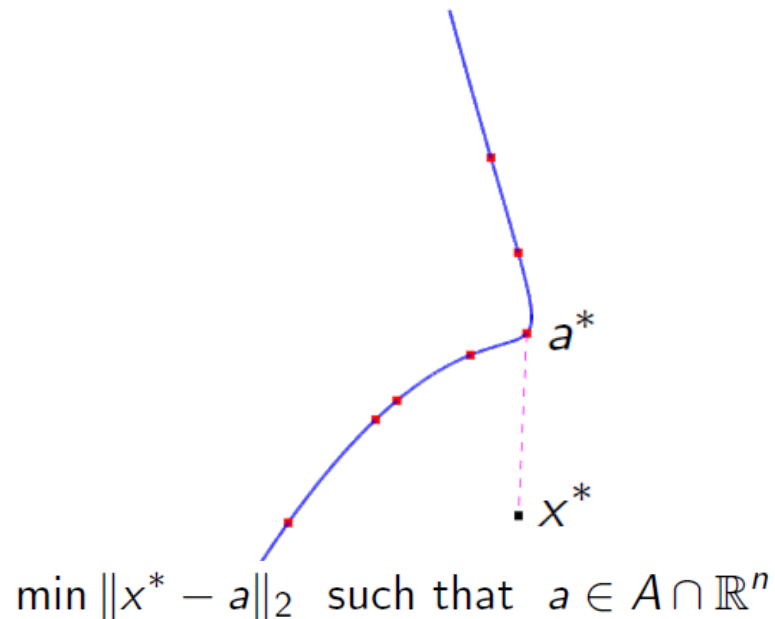
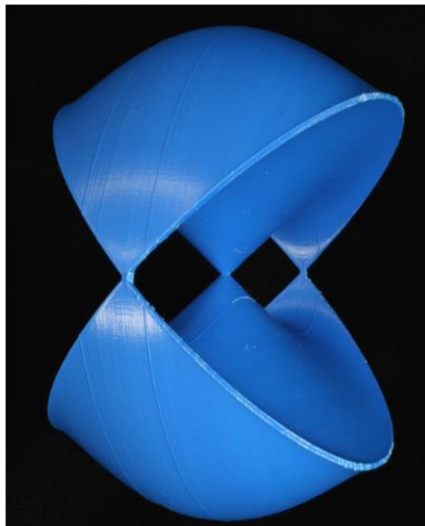
- ▶ projection:  $\overline{\pi(A)}$
- ▶ perform computations on  $\overline{\pi(A)}$  without knowing *any* polynomials that vanish on  $\overline{\pi(A)}$



# Witness Set

Many other numerical algebraic geometric computations can be performed starting from witness sets, such as:

- ▶ intersection:  $A \cap B$ 
  - ▶ special case is *regeneration*
    - ▶  $\mathcal{V}(f_1, \dots, f_k, f_{k+1}) = \mathcal{V}(f_1, \dots, f_k) \cap \mathcal{V}(f_{k+1})$  via witness sets
  - ▶ compute  $A_{\text{sing}}$
  - ▶ compute critical points of optimization problem

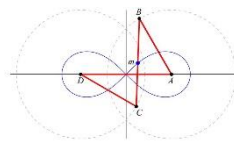
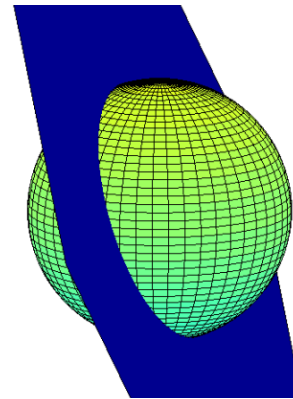
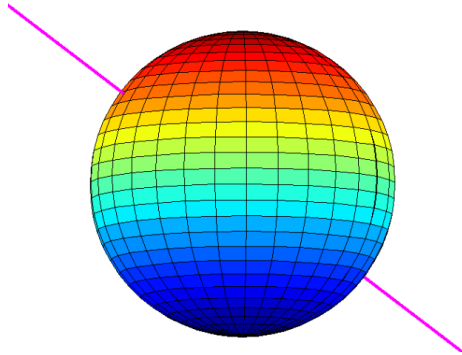


# Witness Set

Many other numerical algebraic geometric computations can be performed starting from witness sets, such as:

Test other algebraic properties of  $A$

- ▶ is  $A$  arithmetically Cohen Macaulay?
- ▶ is  $A$  arithmetically Gorenstein?
- ▶ is  $A$  a complete intersection?

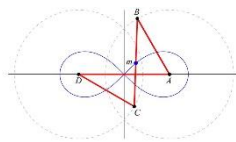


# Algebraic properties

## Example

$$A = \sigma_4(\mathbb{C}^3 \times \mathbb{C}^3 \times \mathbb{C}^4) \subset \mathbb{P}^{35}$$

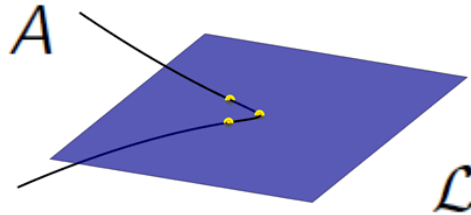
- ▶  $\dim A = 31$
- ▶  $\deg A = 345$
  
- ▶  $I(A)$  contains 10 poly. of degree 6 and 20 poly. of degree 9
  - ▶ Bates-Oeding (2011), Friedland-Gross (2012)
  
- ▶ used sampling to show that  $A$  was aCM and that these polynomials generate  $I(A)$





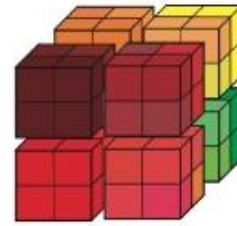
# Sampling

Sample points from  $A$  by moving the linear slice  $\mathcal{L}$ .



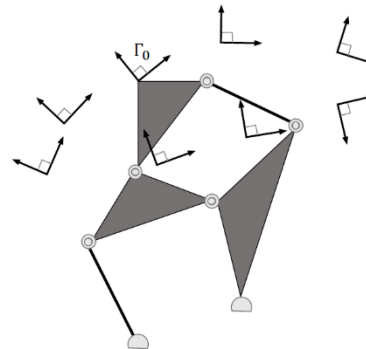
How to utilize sample point(s) to extract data?

- ▶ Vanishing polynomials

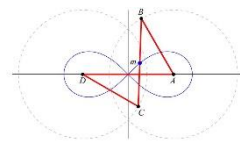


- ▶ Sampling for solving sum of squares (SOS) programs

- ▶ Degree estimation

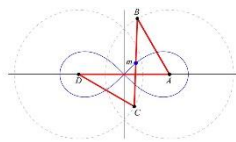


- ▶ Topological properties



# Vanishing polynomials

For many varieties  $A$ , the only known polynomial in  $I(A)$  is  $f \equiv 0$ .

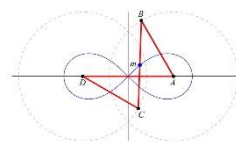
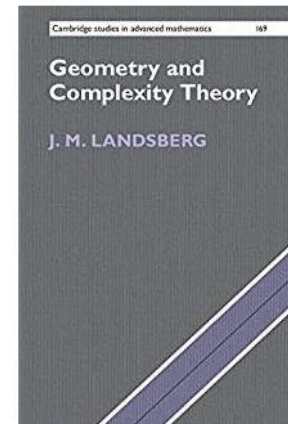


# Vanishing polynomials

For many varieties  $A$ , the only known polynomial in  $I(A)$  is  $f \equiv 0$ .

**Problem:** Compute the exponent  $\omega$  of matrix multiplication.

- ▶ smallest constant such that two  $n \times n$  matrices can be multiplied using  $O(n^{\omega+\epsilon})$  arithmetic operations for every  $\epsilon > 0$
- ▶ Current state of the art:  $2 \leq \omega \leq 2.374$
- ▶ Could be solved by knowing polynomials that vanish on secant varieties – Landsberg (2017).



# Vanishing polynomials

Compute homogeneous polynomials that vanish on

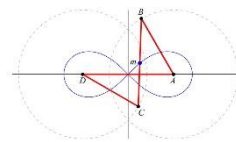
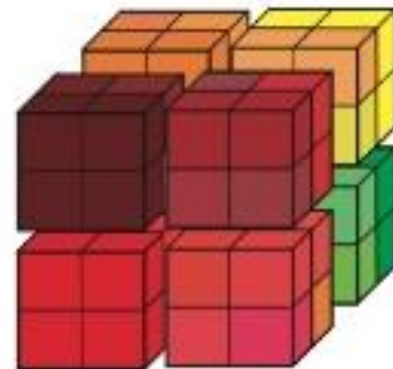
$$\sigma_6(\mathbb{C}^4 \times \mathbb{C}^4 \times \mathbb{C}^4) = \overline{\left\{ \sum_{i=1}^6 a_i \otimes b_i \otimes c_i \mid a_i, b_i, c_i \in \mathbb{C}^4 \right\}} \subset \mathbb{P}^{63}$$

▶ 6<sup>th</sup> secant variety of  $\mathbb{C}^4 \times \mathbb{C}^4 \times \mathbb{C}^4$  in  $\mathbb{P}^{63}$

▶  $\dim = 59$

▶ If  $a, b, c \in \mathbb{C}^4$ , then  $a \otimes b \otimes c \in \mathbb{C}^{4 \times 4 \times 4}$  with

$$(a \otimes b \otimes c)_{ijk} = a_i \cdot b_j \cdot c_k$$

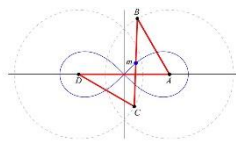


# Vanishing polynomials

Cast as a classical elimination problem:

- ▶ Eliminate  $a$ 's,  $b$ 's,  $c$ 's from

$$\sum_{\ell=1}^6 a_{\ell i} \cdot b_{\ell j} \cdot c_{\ell k} - z_{ijk} = 0 \quad \text{where } i, j, k = 1, \dots, 4.$$



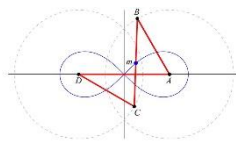
# Vanishing polynomials

Cast as a classical elimination problem:

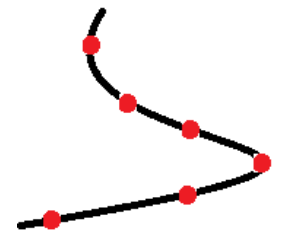
- ▶ Eliminate  $a$ 's,  $b$ 's,  $c$ 's from

$$\sum_{\ell=1}^6 a_{\ell i} \cdot b_{\ell j} \cdot c_{\ell k} - z_{ijk} = 0 \quad \text{where } i, j, k = 1, \dots, 4.$$

Still waiting for Gröbner basis methods to terminate....

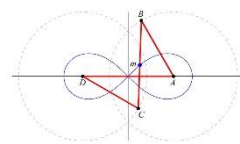


# Vanishing polynomials

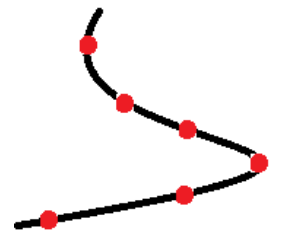


Cast as a classical interpolation problem:

- ▶ For sample points  $a_1, \dots, a_N \in A$ , compute  $f$  where  $f(a_i) = 0$ .



# Vanishing polynomials



Cast as a classical interpolation problem:

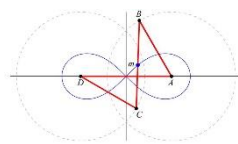
- ▶ For sample points  $a_1, \dots, a_N \in A$ , compute  $f$  where  $f(a_i) = 0$ .

## Example

Find homogeneous quadratic polynomials vanishing on:

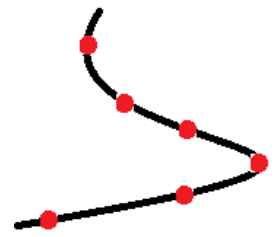
$$[1, 1, 1, 1], [1, -1, 1, -1], [1, 2, 4, 8], [1, -2, 4, -8], [1, 3, 9, 27],$$

$$[1, -3, 9, -27], [1, 4, 16, 64], [1, -4, 16, -64], [1, 5, 25, 125], [1, -5, 25, -125]$$





# Vanishing polynomials



Cast as a classical interpolation problem:

- ▶ For sample points  $a_1, \dots, a_N \in A$ , compute  $f$  where  $f(a_i) = 0$ .

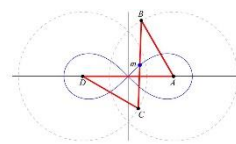
## Example

Find homogeneous quadratic polynomials vanishing on:

$[1, 1, 1, 1], [1, -1, 1, -1], [1, 2, 4, 8], [1, -2, 4, -8], [1, 3, 9, 27],$

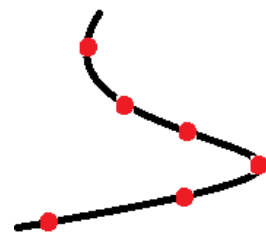
$[1, -3, 9, -27], [1, 4, 16, 64], [1, -4, 16, -64], [1, 5, 25, 125], [1, -5, 25, -125]$

| $x_0^2$ | $x_0x_1$ | $x_0x_2$ | $x_0x_3$ | $x_1^2$ | $x_1x_2$ | $x_1x_3$ | $x_2^2$ | $x_2x_3$ | $x_3^2$ |
|---------|----------|----------|----------|---------|----------|----------|---------|----------|---------|
| 1       | 1        | 1        | 1        | 1       | 1        | 1        | 1       | 1        | 1       |
| 1       | -1       | 1        | -1       | 1       | -1       | 1        | 1       | -1       | 1       |
| 1       | 2        | 4        | 8        | 4       | 8        | 16       | 16      | 32       | 64      |
| 1       | -2       | 4        | -8       | 4       | -8       | 16       | 16      | -32      | 64      |
| 1       | 3        | 9        | 27       | 9       | 27       | 81       | 81      | 243      | 729     |
| 1       | -3       | 9        | -27      | 9       | -27      | 81       | 81      | -243     | 729     |
| 1       | 4        | 16       | 64       | 16      | 64       | 256      | 256     | 1024     | 4096    |
| 1       | -4       | 16       | -64      | 16      | -64      | 256      | 256     | -1024    | 4096    |
| 1       | 5        | 25       | 125      | 25      | 125      | 625      | 625     | 3125     | 15625   |
| 1       | -5       | 25       | -125     | 25      | -125     | 625      | 625     | -3125    | 15625   |



## Example

# Vanishing polynomials



Find homogeneous quadratic polynomials vanishing on:

$[1, 1, 1, 1]$ ,  $[1, -1, 1, -1]$ ,  $[1, 2, 4, 8]$ ,  $[1, -2, 4, -8]$ ,  $[1, 3, 9, 27]$ ,

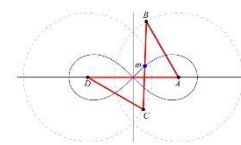
$[1, -3, 9, -27]$ ,  $[1, 4, 16, 64]$ ,  $[1, -4, 16, -64]$ ,  $[1, 5, 25, 125]$ ,  $[1, -5, 25, -125]$

| $x_0^2$ | $x_0x_1$ | $x_0x_2$ | $x_0x_3$ | $x_1^2$ | $x_1x_2$ | $x_1x_3$ | $x_2^2$ | $x_2x_3$ | $x_3^2$ |
|---------|----------|----------|----------|---------|----------|----------|---------|----------|---------|
| 1       | 1        | 1        | 1        | 1       | 1        | 1        | 1       | 1        | 1       |
| 1       | -1       | 1        | -1       | 1       | -1       | 1        | 1       | -1       | 1       |
| 1       | 2        | 4        | 8        | 4       | 8        | 16       | 16      | 32       | 64      |
| 1       | -2       | 4        | -8       | 4       | -8       | 16       | 16      | -32      | 64      |
| 1       | 3        | 9        | 27       | 9       | 27       | 81       | 81      | 243      | 729     |
| 1       | -3       | 9        | -27      | 9       | -27      | 81       | 81      | -243     | 729     |
| 1       | 4        | 16       | 64       | 16      | 64       | 256      | 256     | 1024     | 4096    |
| 1       | -4       | 16       | -64      | 16      | -64      | 256      | 256     | -1024    | 4096    |
| 1       | 5        | 25       | 125      | 25      | 125      | 625      | 625     | 3125     | 15625   |
| 1       | -5       | 25       | -125     | 25      | -125     | 625      | 625     | -3125    | 15625   |

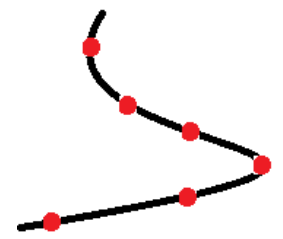


3-dimensional null space is generated by:

$$x_1^2 - x_0x_2, \quad x_1x_2 - x_0x_3, \quad x_2^2 - x_1x_3$$



# Vanishing polynomials



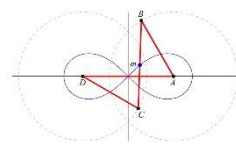
Cast as a classical interpolation problem:

- ▶ For sample points  $a_1, \dots, a_N \in A$ , compute  $f$  where  $f(a_i) = 0$ .

Problem is the number of sample points needed:

- ▶ To show no nonconstant polynomials of degree 18 vanish on  $\sigma_6(\mathbb{C}^4 \times \mathbb{C}^4 \times \mathbb{C}^4) \subset \mathbb{P}^{63}$ , need

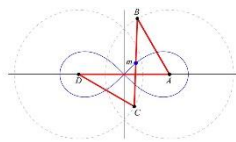
$$N \geq \binom{63 + 18}{18} \approx 4.567 \cdot 10^{17}$$



# Vanishing polynomials

When all else fails, solve a different problem.

- ▶ partial information is better than no information



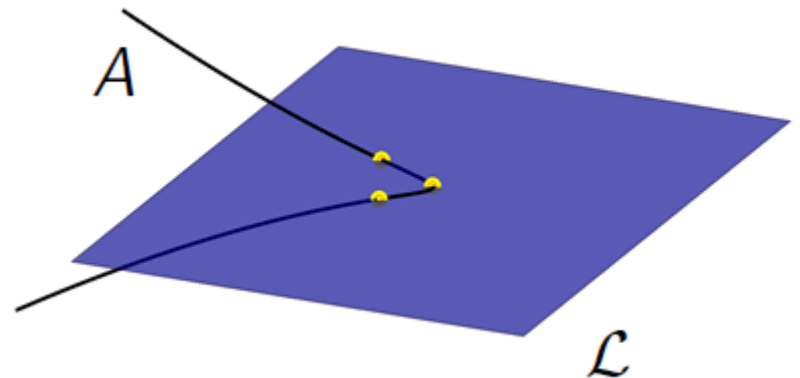
# Vanishing polynomials

When all else fails, solve a different problem.

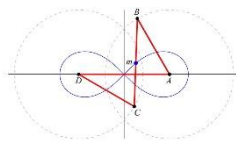
- ▶ partial information is better than no information

What polynomials vanish on the set of witness points  $A \cap \mathcal{L}$ ?

- ▶ If  $f$  vanishes on  $A$ , then  $f|_{\mathcal{L}}$  vanishes on  $A \cap \mathcal{L}$ .



- ▶ Exact correspondence when arithmetically Cohen-Macaulay
- ▶ Upper bounds in general



## Example

# Vanishing polynomials

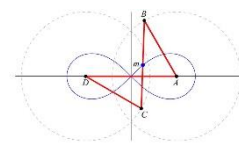
$$\sigma_6(\mathbb{C}^4 \times \mathbb{C}^4 \times \mathbb{C}^4) = \overline{\left\{ \sum_{i=1}^6 a_i \otimes b_i \otimes c_i \mid a_i, b_i, c_i \in \mathbb{C}^4 \right\}} \subset \mathbb{P}^{63}$$

- ▶  $\dim = 59$
- ▶  $\deg = 15,456$

Restricting to dim 4 linear space  $\mathcal{L}$

- ▶ To show no nonconstant polynomials of degree 18 vanish:

$$N \geq \binom{4 + 18}{18} = 7315$$



## Example

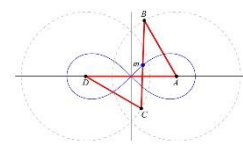
# Vanishing polynomials

$$\sigma_6(\mathbb{C}^4 \times \mathbb{C}^4 \times \mathbb{C}^4) = \overline{\left\{ \sum_{i=1}^6 a_i \otimes b_i \otimes c_i \mid a_i, b_i, c_i \in \mathbb{C}^4 \right\}} \subset \mathbb{P}^{63}$$

- ▶  $\dim = 59$
- ▶  $\deg = 15,456$

Interpolating witness point set shows

- ▶ No nonconstant polynomials of degree  $\leq 18$  vanish
- ▶ 64 polynomials of degree 19 restricted to  $\mathcal{L}$  vanish
  - ▶ Go search for polynomials of degree 19!



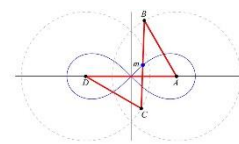
## Example

# Vanishing polynomials

$$\sigma_6(\mathbb{C}^4 \times \mathbb{C}^4 \times \mathbb{C}^4) = \overline{\left\{ \sum_{i=1}^6 a_i \otimes b_i \otimes c_i \mid a_i, b_i, c_i \in \mathbb{C}^4 \right\}} \subset \mathbb{P}^{63}$$

Representation theory proves existence of 64 polynomials of degree 19 that vanish.

- ▶ Used to prove that  $2 \times 2$  matrix multiplication tensor is not contained in  $\sigma_6(\mathbb{C}^4 \times \mathbb{C}^4 \times \mathbb{C}^4)$ .
- ▶ Rank and border rank of  $2 \times 2$  matrix multiplication tensor is 7





# SOS programs

It is possible to interpolate over other families of polynomials

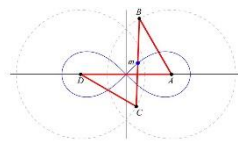
- ▶ Cifuentes-Parrilo (2017) interpolate sums of squares modulo an ideal without knowing the ideal using sample points

Given polynomial  $p$ , compute  $g_1, \dots, g_k$  such that

$$p \equiv \sum_{i=1}^k g_i^2 \pmod{I(A)}$$

assuming such a decomposition exists.

- ▶ Certificate that  $p \geq 0$  on  $A \cap \mathbb{R}^n$ .



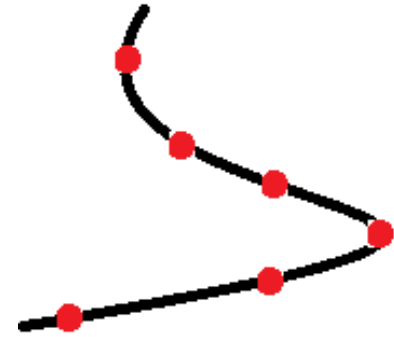
# SOS programs

A necessary condition for

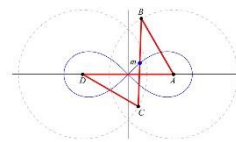
$$p \equiv \sum_{i=1}^k g_i^2 \pmod{I(A)}$$

is, for samples  $a_1, \dots, a_N \in A$ ,

$$p(a_j) = \sum_{i=1}^k g_i(a_j)^2$$



- ▶ Computation performed using semidefinite program



# SOS programs

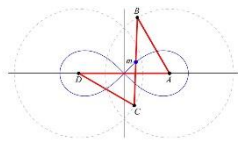
## Example (Trace ratio)

$$A_{n,k} = \{X \in \mathbb{R}^{n \times n} \mid X^T = X, X^2 = X, \text{trace}(X) = k\}$$

Given symmetric matrix  $X, Y, Z \in \mathbb{R}^{n \times n}$  where  $Y \succ 0$ , solve

$$\begin{aligned} \max \quad & \gamma \\ \text{s.t.} \quad & \text{trace}(Y\alpha)(\gamma - \text{trace}(Z\alpha)) - \text{trace}(X\alpha) \equiv F(\alpha) \pmod{I(A_{n,k})}, \\ & F \text{ is SOS,} \\ & \deg F = 2. \end{aligned}$$

| $n$ | $k$ | Equations SDP |             |         | Sampling SDP |             |         | Gröbner bases |
|-----|-----|---------------|-------------|---------|--------------|-------------|---------|---------------|
|     |     | variables     | constraints | time(s) | variables    | constraints | time(s) | time(s)       |
| 4   | 2   | 342           | 188         | 0.47    | 56           | 45          | 0.10    | 0.00          |
| 5   | 3   | 897           | 393         | 0.71    | 121          | 105         | 0.11    | 0.02          |
| 6   | 4   | 2062          | 738         | 1.34    | 232          | 210         | 0.15    | 0.20          |
| 7   | 5   | 4265          | 1277        | 3.62    | 407          | 378         | 0.19    | 6.04          |
| 8   | 6   | 8106          | 2073        | 9.06    | 667          | 630         | 0.34    | 488.17        |
| 9   | 7   | 14387         | 3198        | 23.83   | 1036         | 990         | 0.61    | out of memory |
| 10  | 8   | 24142         | 4733        | 58.17   | 1541         | 1485        | 1.18    | out of memory |

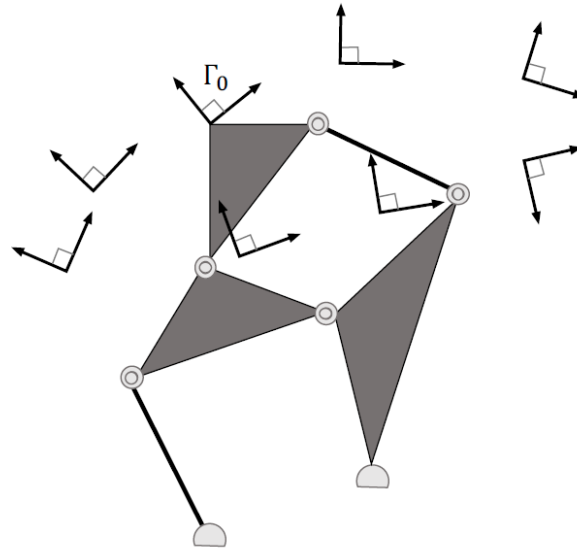


# Degree estimation

Can we estimate the degree of a variety by sampling?

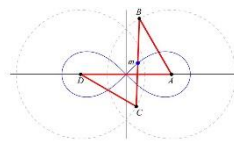
## Example

How many 6-bar Watt I linkages obtain 8 given poses?



Multihomogeneous Bézout bound:  $3.43 \cdot 10^{10}$

J.D. Hauenstein and S.N. Sherman,  
Statistically estimating the number of solutions to motion generation problems.  
In preparation.

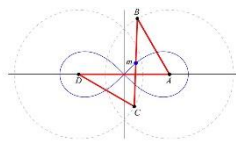
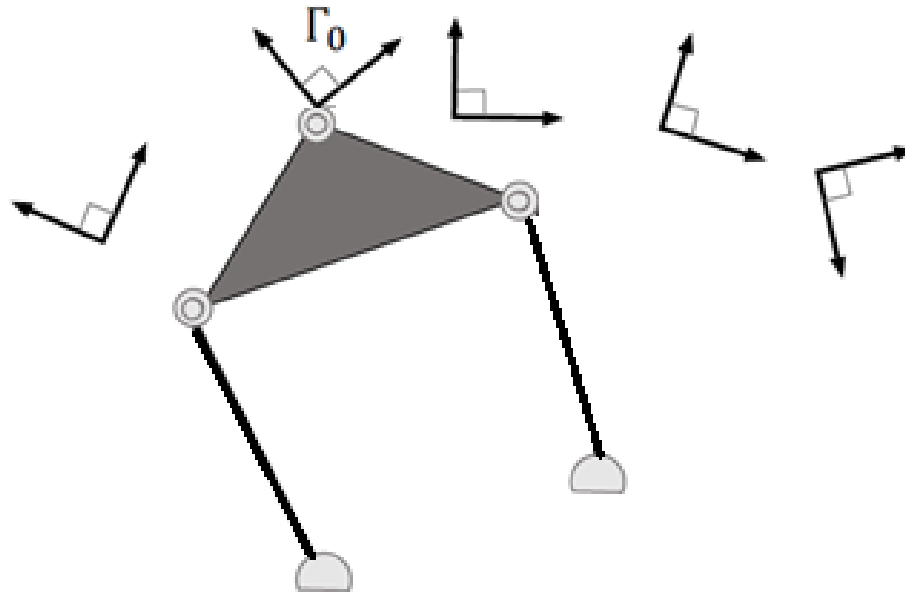


# Degree estimation

How many 6-bar Watt I linkages obtain 8 given poses?

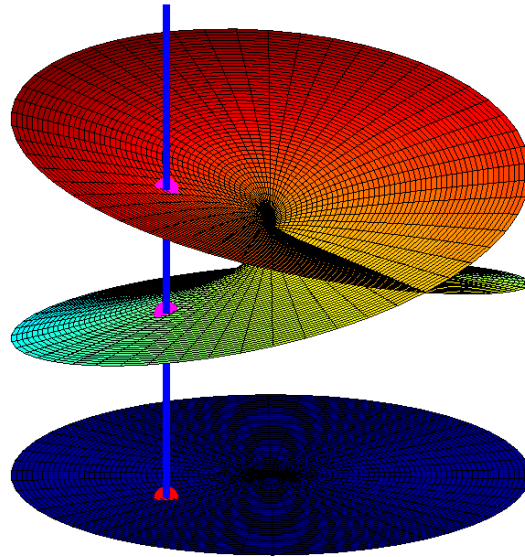
Corresponding problem for 4-bar linkages – Burmester (1886)

- ▶ 4 solutions for 5 poses



# Degree estimation

Given one point in a witness point set  $W = A \cap \mathcal{L}$ , generate another point (possibly same point) by using a monodromy loop.

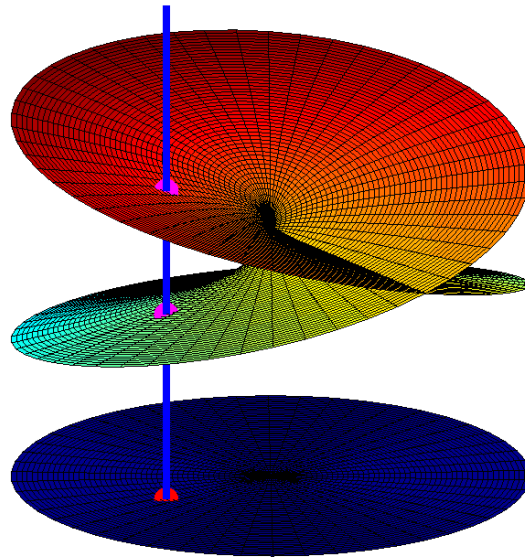


MonodromySolver

- ▶ Duff-Hill-Jensen-Lee-Leykin-Sommars (2018)
- ▶ Bliss-Duff-Leykin-Sommars (2018)

# Degree estimation

Given one point in a witness point set  $W = A \cap \mathcal{L}$ , generate another point (possibly same point) by using a monodromy loop.



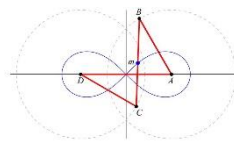
- ▶ **IF** we assume that we can generate random subsets of  $W$ , we can estimate  $\#W$ .

# Degree estimation

## Example (German tank problem)

WWII: Estimate # of tanks Germany was producing per month.

- ▶ use serial numbers on parts recovered
  - ▶ assume uniformly distributed to generate statistical estimate





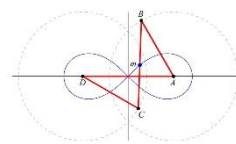
# Degree estimation

## Example (German tank problem)

WWII: Estimate # of tanks Germany was producing per month.

- ▶ use serial numbers on parts recovered
  - ▶ assume uniformly distributed to generate statistical estimate

| month       | statistical est. | intelligence est. | German records |
|-------------|------------------|-------------------|----------------|
| June 1940   | 169              | 1000              | 122            |
| June 1941   | 244              | 1550              | 271            |
| August 1942 | 327              | 1550              | 342            |

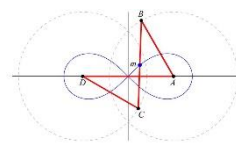
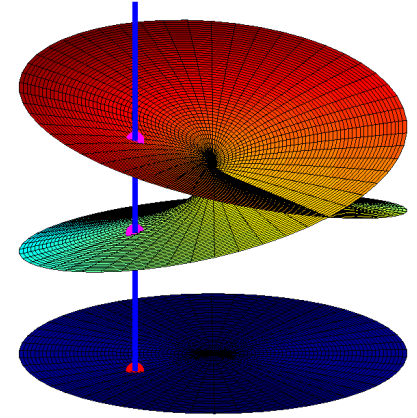


# Degree estimation

Hypergeometric estimate of  $\deg A = \#W$ :

$$\deg A = \#W \approx \frac{n}{p}$$

- ▶  $n$  = number of points already known in  $W$
- ▶  $p$  = ratio of repeats in sample

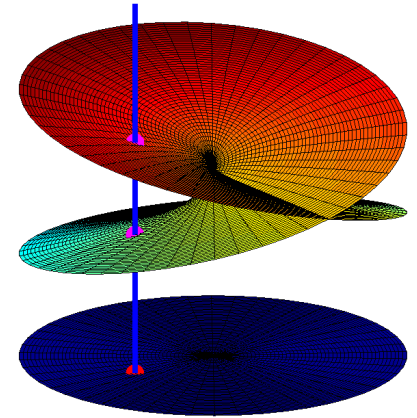


# Degree estimation

Hypergeometric estimate of  $\deg A = \#W$ :

$$\deg A = \#W \approx \frac{n}{p}$$

- ▶  $n$  = number of points already known in  $W$
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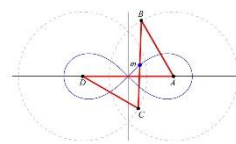


## Example

Assume that  $n = 10$  points are already known in  $W$ .

- ▶ Monodromy loop provides 8 new points and 2 repeats:

$$\#W \approx \frac{10}{2/10} = 50$$

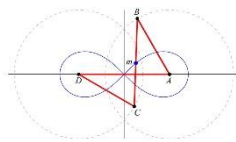
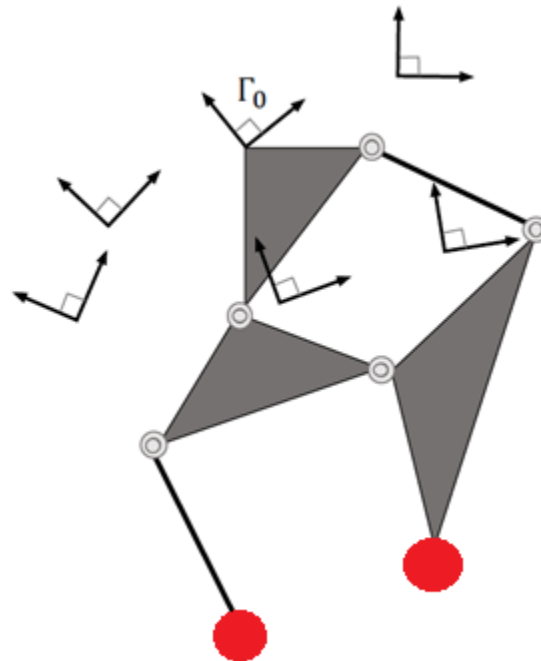


## Example

# Degree estimation

Validate statistical model:

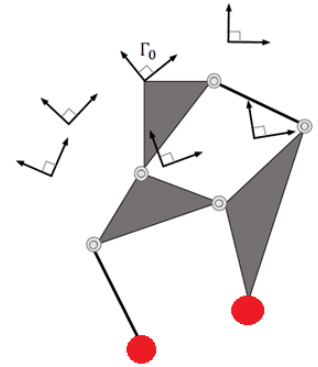
- ▶ Fix the two ground pivots and find 6-bar Watt I linkages that obtain 6 given poses.
- ▶ Problem was studied by Plecnik-McCarthy-Wampler (2014)



## Example

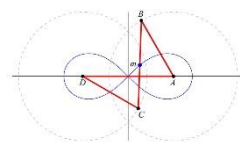
# Degree estimation

- ▶ Fix the two ground pivots and find 6-bar Watt I linkages that obtain 6 given poses.



Perform monodromy loops starting from  $n = 1000$  known solutions.

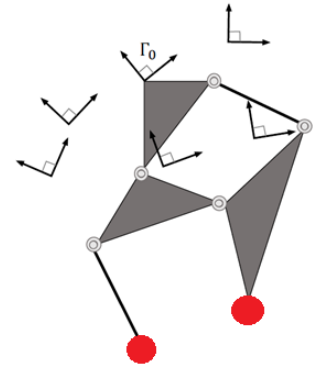
- ▶ Mean from 10 monodromy loops:
  - ▶ Ratio of repeats: 17.51%
  - ▶ Estimated number of solutions: 5750.5



## Example

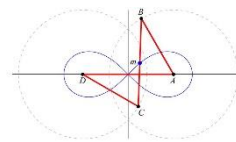
# Degree estimation

- ▶ Fix the two ground pivots and find 6-bar Watt I linkages that obtain 6 given poses.

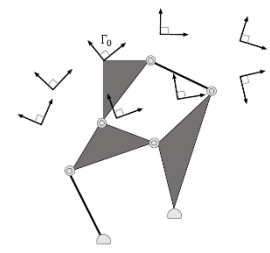


Perform monodromy loops starting from  $n = 1000$  known solutions.

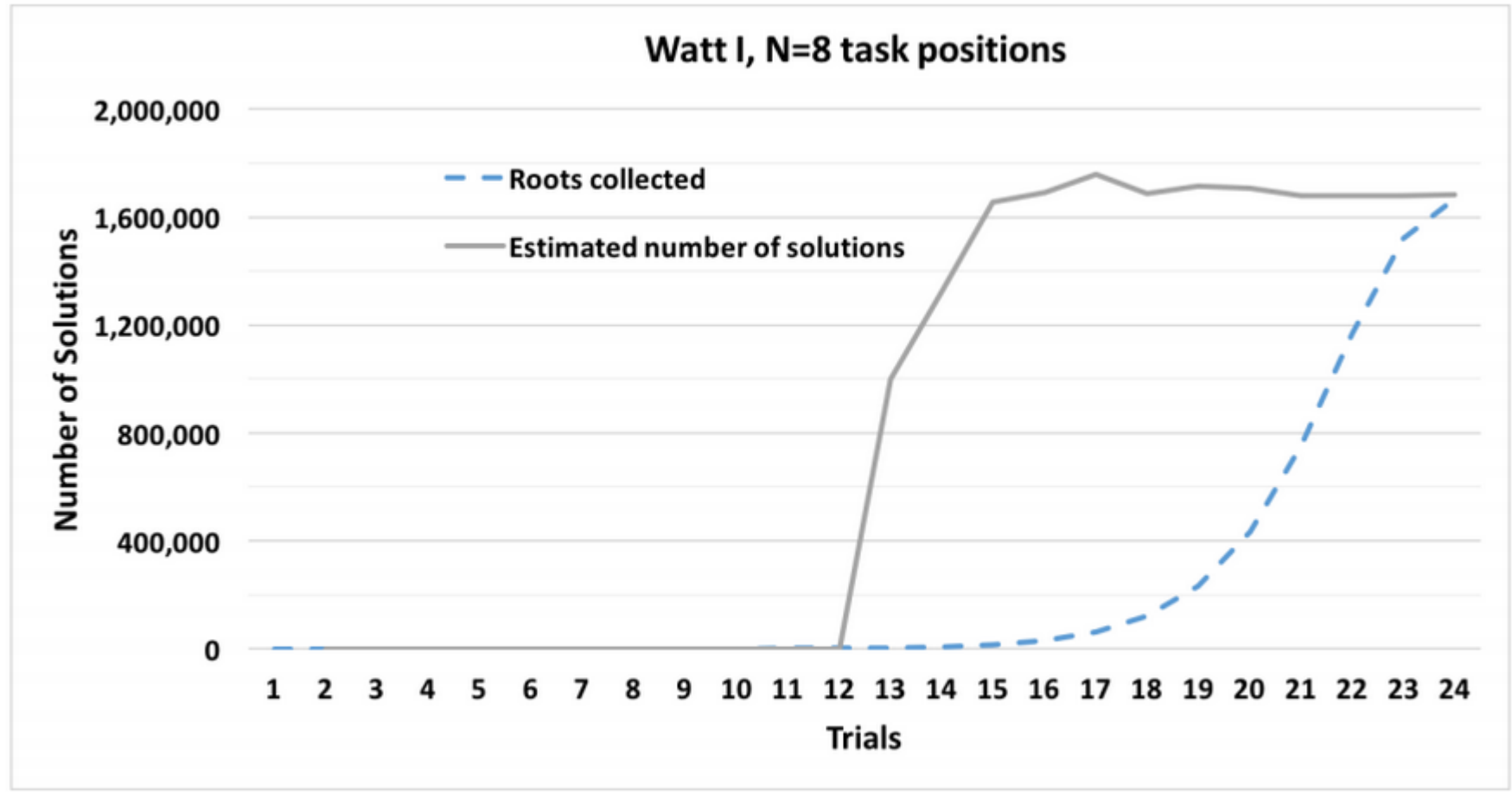
- ▶ Mean from 10 monodromy loops:
  - ▶ Ratio of repeats: 17.51%
  - ▶ Estimated number of solutions: 5750.5
- ▶ Theoretical values:
  - ▶ Ratio of repeats: 17.38%
  - ▶ Number of solutions: 5754



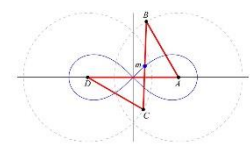
# Degree estimation



How many 6-bar Watt I linkages obtain 8 given poses?

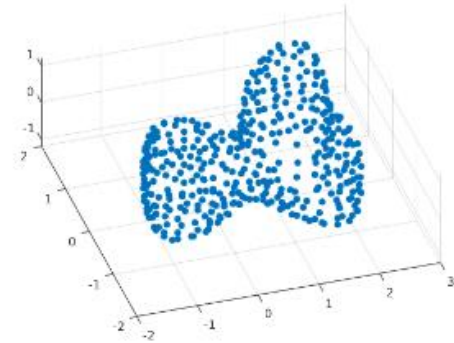
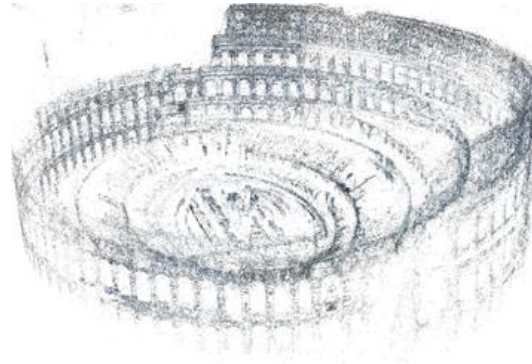
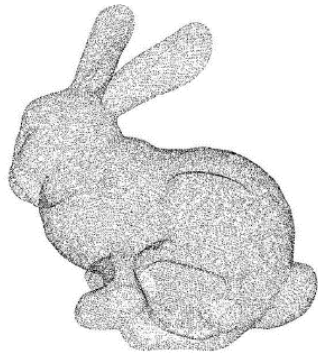


J.D. Hauenstein and S.N. Sherman,  
Statistically estimating the number of solutions to motion generation problems.  
In preparation.



# Topological properties

Objects can be identified from point clouds (set of sample points):



$$\beta_0 = 1, \beta_1 = 0, \beta_2 = 1$$

Topology of real algebraic surfaces from point clouds:

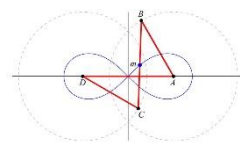
Niyogi-Smale-Weinberger (2008)

Cucker-Krick-Shub (2016)

Dufresne-Edwards-Harrington-H (2018)

Breiding-Kalisnik Verovsek-Sturmfels-Weinstein (2018)

...

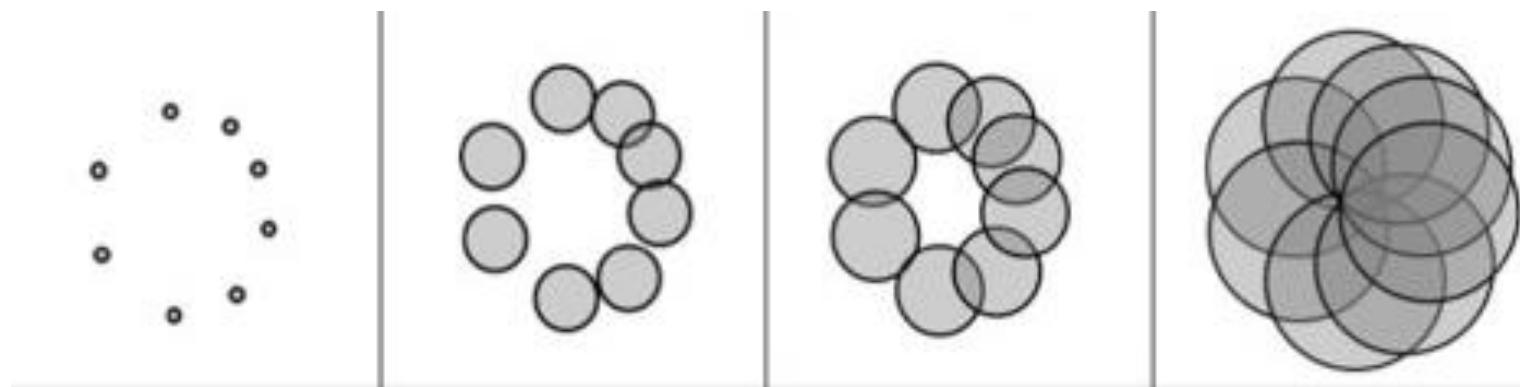




# Topological properties

## Persistent homology

- ▶ treat each point as center of a ball where radius changes
- ▶ determine features which persist over wide range of radii

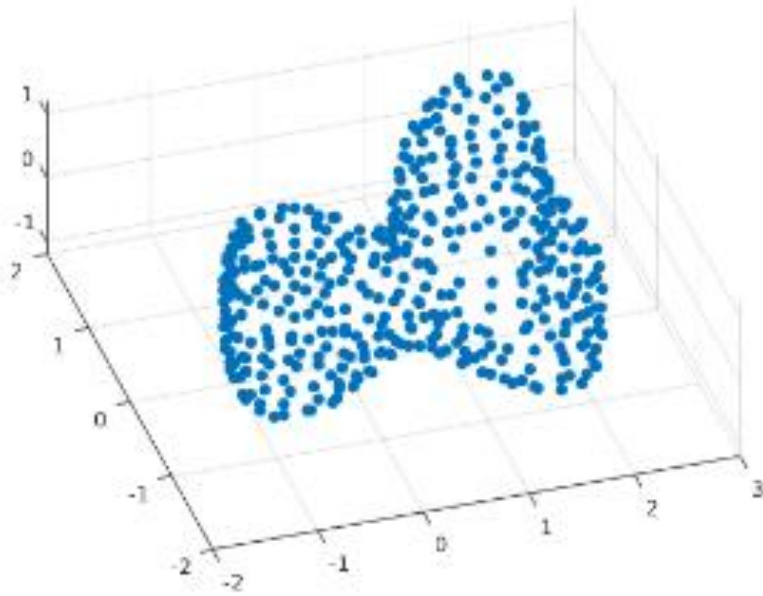


With provably dense samples, we can prove theorems about when topological features must be present in the point cloud.

- ▶ Generate provably dense samples using num. alg. geom.

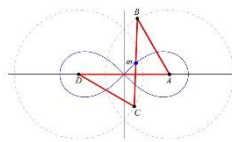
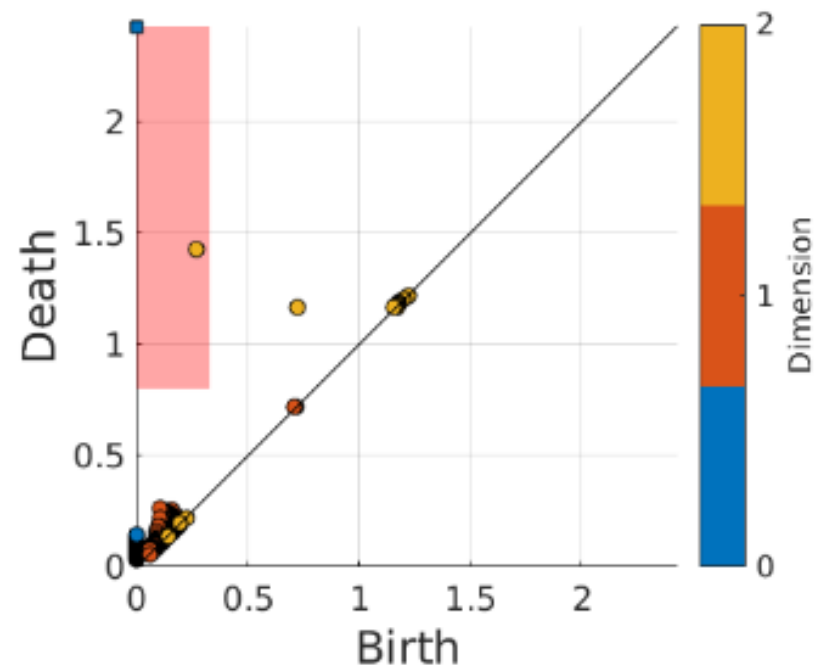
# Topological properties

$$4x^4 + 7y^4 + 3z^4 - 3 - 8x^3 + 2x^2y - 4x^2 - 8xy^2 - 5xy + 8x - 6y^3 + 8y^2 + 4y$$

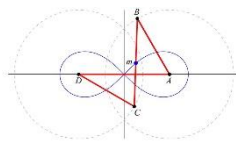


$$\beta_0 = 1, \beta_1 = 0, \beta_2 = 1$$

## Persistence diagram



- ▶ Shed some light on what makes some computations difficult
  - ▶ Solve well-posed, well-conditioned, and num. stable problems!
- ▶ Explain witness sets and some applications of sampling
  - ▶ With many omissions (sorry)
- ▶ See software “in action” during software demonstration with
  - ▶ Jose Rodriguez
  - ▶ Danielle Brake
  - ▶ Maggie Regan



Thank You!

