

# Introduction to $L^2$ -invariants

Wolfgang Lück

Bonn

Germany

email [wolfgang.lueck@him.uni-bonn.de](mailto:wolfgang.lueck@him.uni-bonn.de)

<http://131.220.77.52/lueck/>

Fort Worth, June, 2015

# Basic motivation

- Given an invariant for finite  $CW$ -complexes, one can get much more sophisticated versions by passing to the universal covering and defining an analogue taking the action of the fundamental group into account.
- Examples:

Classical notion	generalized version
Homology with coefficients in $\mathbb{Z}$	Homology with coefficients in representations
Euler characteristic $\in \mathbb{Z}$	Walls finiteness obstruction in $K_0(\mathbb{Z}\pi)$
Lefschetz numbers $\in \mathbb{Z}$	Generalized Lefschetz invariants in $\mathbb{Z}\pi_\phi$
Signature $\in \mathbb{Z}$	Surgery invariants in $L_*(\mathbb{Z}G)$
—	torsion invariants

# Basic motivation

- Given an invariant for finite  $CW$ -complexes, one can get much more sophisticated versions by passing to the universal covering and defining an analogue taking the action of the fundamental group into account.
- Examples:

Classical notion	generalized version
Homology with coefficients in $\mathbb{Z}$	Homology with coefficients in representations
Euler characteristic $\in \mathbb{Z}$	Walls finiteness obstruction in $K_0(\mathbb{Z}\pi)$
Lefschetz numbers $\in \mathbb{Z}$	Generalized Lefschetz invariants in $\mathbb{Z}\pi_\phi$
Signature $\in \mathbb{Z}$	Surgery invariants in $L_*(\mathbb{Z}G)$
—	torsion invariants

# Basic motivation

- Given an invariant for finite  $CW$ -complexes, one can get much more sophisticated versions by passing to the universal covering and defining an analogue taking the action of the fundamental group into account.
- Examples:

Classical notion	generalized version
Homology with coefficients in $\mathbb{Z}$	Homology with coefficients in representations
Euler characteristic $\in \mathbb{Z}$	Walls finiteness obstruction in $K_0(\mathbb{Z}\pi)$
Lefschetz numbers $\in \mathbb{Z}$	Generalized Lefschetz invariants in $\mathbb{Z}\pi_\phi$
Signature $\in \mathbb{Z}$	Surgery invariants in $L_*(\mathbb{Z}G)$
—	torsion invariants

- We want to apply this principle to (classical) **Betti numbers**

$$b_n(X) := \dim_{\mathbb{C}}(H_n(X; \mathbb{C})).$$

- Here are two naive attempts which fail:
  - $\dim_{\mathbb{C}}(H_n(\tilde{X}; \mathbb{C}))$
  - $\dim_{\mathbb{C}\pi}(H_n(\tilde{X}; \mathbb{C}))$ ,  
where  $\dim_{\mathbb{C}\pi}(M)$  for a  $\mathbb{C}[\pi]$ -module could be chosen for instance as  $\dim_{\mathbb{C}}(\mathbb{C} \otimes_{\mathbb{C}G} M)$ .
- The problem is that  $\mathbb{C}\pi$  is in general not Noetherian and  $\dim_{\mathbb{C}\pi}(M)$  is in general not additive under exact sequences.
- We will use the following successful approach which is essentially due to **Atiyah**.

- We want to apply this principle to (classical) **Betti numbers**

$$b_n(X) := \dim_{\mathbb{C}}(H_n(X; \mathbb{C})).$$

- Here are two naive attempts which fail:
  - $\dim_{\mathbb{C}}(H_n(\tilde{X}; \mathbb{C}))$
  - $\dim_{\mathbb{C}\pi}(H_n(\tilde{X}; \mathbb{C}))$ ,  
where  $\dim_{\mathbb{C}\pi}(M)$  for a  $\mathbb{C}[\pi]$ -module could be chosen for instance as  $\dim_{\mathbb{C}}(\mathbb{C} \otimes_{\mathbb{C}G} M)$ .
- The problem is that  $\mathbb{C}\pi$  is in general not Noetherian and  $\dim_{\mathbb{C}\pi}(M)$  is in general not additive under exact sequences.
- We will use the following successful approach which is essentially due to **Atiyah**.

- We want to apply this principle to (classical) **Betti numbers**

$$b_n(X) := \dim_{\mathbb{C}}(H_n(X; \mathbb{C})).$$

- Here are two naive attempts which fail:
  - $\dim_{\mathbb{C}}(H_n(\tilde{X}; \mathbb{C}))$
  - $\dim_{\mathbb{C}\pi}(H_n(\tilde{X}; \mathbb{C}))$ ,  
where  $\dim_{\mathbb{C}\pi}(M)$  for a  $\mathbb{C}[\pi]$ -module could be chosen for instance as  $\dim_{\mathbb{C}}(\mathbb{C} \otimes_{\mathbb{C}G} M)$ .
- The problem is that  $\mathbb{C}\pi$  is in general not Noetherian and  $\dim_{\mathbb{C}\pi}(M)$  is in general not additive under exact sequences.
- We will use the following successful approach which is essentially due to **Atiyah**.

# Group von Neumann algebras

- Throughout these lectures let  $G$  be a discrete group.
- Given a ring  $R$  and a group  $G$ , denote by  $RG$  or  $R[G]$  the **group ring**.
- Elements are formal sums  $\sum_{g \in G} r_g \cdot g$ , where  $r_g \in R$  and only finitely many of the coefficients  $r_g$  are non-zero.
- Addition is given by adding the coefficients.
- Multiplication is given by the expression  $g \cdot h := g \cdot h$  for  $g, h \in G$  (with two different meanings of  $\cdot$ ).
- In general  $RG$  is a very complicated ring.



- Throughout these lectures let  $G$  be a discrete group.
- Given a ring  $R$  and a group  $G$ , denote by  $RG$  or  $R[G]$  the **group ring**.
- Elements are formal sums  $\sum_{g \in G} r_g \cdot g$ , where  $r_g \in R$  and only finitely many of the coefficients  $r_g$  are non-zero.
- Addition is given by adding the coefficients.
- Multiplication is given by the expression  $g \cdot h := g \cdot h$  for  $g, h \in G$  (with two different meanings of  $\cdot$ ).
- In general  $RG$  is a very complicated ring.

- Throughout these lectures let  $G$  be a discrete group.
- Given a ring  $R$  and a group  $G$ , denote by  $RG$  or  $R[G]$  the **group ring**.
- Elements are formal sums  $\sum_{g \in G} r_g \cdot g$ , where  $r_g \in R$  and only finitely many of the coefficients  $r_g$  are non-zero.
- Addition is given by adding the coefficients.
- Multiplication is given by the expression  $g \cdot h := g \cdot h$  for  $g, h \in G$  (with two different meanings of  $\cdot$ ).
- In general  $RG$  is a very complicated ring.

- Denote by  $L^2(G)$  the Hilbert space of (formal) sums  $\sum_{g \in G} \lambda_g \cdot g$  such that  $\lambda_g \in \mathbb{C}$  and  $\sum_{g \in G} |\lambda_g|^2 < \infty$ .

## Definition

Define the **group von Neumann algebra**

$$\mathcal{N}(G) := \mathcal{B}(L^2(G), L^2(G))^G = \overline{\mathbb{C}G}^{\text{weak}}$$

to be the algebra of bounded  $G$ -equivariant operators  $L^2(G) \rightarrow L^2(G)$ . The **von Neumann trace** is defined by

$$\text{tr}_{\mathcal{N}(G)} : \mathcal{N}(G) \rightarrow \mathbb{C}, \quad f \mapsto \langle f(e), e \rangle_{L^2(G)}.$$

## Example (Finite $G$ )

If  $G$  is finite, then  $\mathbb{C}G = L^2(G) = \mathcal{N}(G)$ . The trace  $\text{tr}_{\mathcal{N}(G)}$  assigns to  $\sum_{g \in G} \lambda_g \cdot g$  the coefficient  $\lambda_e$ .

- Denote by  $L^2(G)$  the Hilbert space of (formal) sums  $\sum_{g \in G} \lambda_g \cdot g$  such that  $\lambda_g \in \mathbb{C}$  and  $\sum_{g \in G} |\lambda_g|^2 < \infty$ .

## Definition

Define the **group von Neumann algebra**

$$\mathcal{N}(G) := \mathcal{B}(L^2(G), L^2(G))^G = \overline{\mathbb{C}G}^{\text{weak}}$$

to be the algebra of bounded  $G$ -equivariant operators  $L^2(G) \rightarrow L^2(G)$ .  
The **von Neumann trace** is defined by

$$\text{tr}_{\mathcal{N}(G)} : \mathcal{N}(G) \rightarrow \mathbb{C}, \quad f \mapsto \langle f(\mathbf{e}), \mathbf{e} \rangle_{L^2(G)}.$$

## Example (Finite $G$ )

If  $G$  is finite, then  $\mathbb{C}G = L^2(G) = \mathcal{N}(G)$ . The trace  $\text{tr}_{\mathcal{N}(G)}$  assigns to  $\sum_{g \in G} \lambda_g \cdot g$  the coefficient  $\lambda_e$ .

- Denote by  $L^2(G)$  the Hilbert space of (formal) sums  $\sum_{g \in G} \lambda_g \cdot g$  such that  $\lambda_g \in \mathbb{C}$  and  $\sum_{g \in G} |\lambda_g|^2 < \infty$ .

## Definition

Define the **group von Neumann algebra**

$$\mathcal{N}(G) := \mathcal{B}(L^2(G), L^2(G))^G = \overline{\mathbb{C}G}^{\text{weak}}$$

to be the algebra of bounded  $G$ -equivariant operators  $L^2(G) \rightarrow L^2(G)$ .  
The **von Neumann trace** is defined by

$$\text{tr}_{\mathcal{N}(G)} : \mathcal{N}(G) \rightarrow \mathbb{C}, \quad f \mapsto \langle f(\mathbf{e}), \mathbf{e} \rangle_{L^2(G)}.$$

## Example (Finite $G$ )

If  $G$  is finite, then  $\mathbb{C}G = L^2(G) = \mathcal{N}(G)$ . The trace  $\text{tr}_{\mathcal{N}(G)}$  assigns to  $\sum_{g \in G} \lambda_g \cdot g$  the coefficient  $\lambda_e$ .

## Example ( $G = \mathbb{Z}^n$ )

Let  $G$  be  $\mathbb{Z}^n$ . Let  $L^2(T^n)$  be the Hilbert space of  $L^2$ -integrable functions  $T^n \rightarrow \mathbb{C}$ . Fourier transform yields an isometric  $\mathbb{Z}^n$ -equivariant isomorphism

$$L^2(\mathbb{Z}^n) \xrightarrow{\cong} L^2(T^n).$$

Let  $L^\infty(T^n)$  be the Banach space of essentially bounded measurable functions  $f: T^n \rightarrow \mathbb{C}$ . We obtain an isomorphism

$$L^\infty(T^n) \xrightarrow{\cong} \mathcal{N}(\mathbb{Z}^n), \quad f \mapsto M_f$$

where  $M_f: L^2(T^n) \rightarrow L^2(T^n)$  is the bounded  $\mathbb{Z}^n$ -operator  $g \mapsto g \cdot f$ .

Under this identification the trace becomes

$$\mathrm{tr}_{\mathcal{N}(\mathbb{Z}^n)}: L^\infty(T^n) \rightarrow \mathbb{C}, \quad f \mapsto \int_{T^n} f d\mu.$$

# von Neumann dimension

## Definition (Finitely generated Hilbert module)

A **finitely generated Hilbert  $\mathcal{N}(G)$ -module**  $V$  is a Hilbert space  $V$  together with a linear isometric  $G$ -action such that there exists an isometric linear  $G$ -embedding of  $V$  into  $L^2(G)^n$  for some  $n \geq 0$ .

A **map of finitely generated Hilbert  $\mathcal{N}(G)$ -modules**  $f: V \rightarrow W$  is a bounded  $G$ -equivariant operator.

## Definition (von Neumann dimension)

Let  $V$  be a finitely generated Hilbert  $\mathcal{N}(G)$ -module. Choose a  $G$ -equivariant projection  $p: L^2(G)^n \rightarrow L^2(G)^n$  with  $\text{im}(p) \cong_{\mathcal{N}(G)} V$ . Define the **von Neumann dimension** of  $V$  by

$$\dim_{\mathcal{N}(G)}(V) := \text{tr}_{\mathcal{N}(G)}(p) := \sum_{i=1}^n \text{tr}_{\mathcal{N}(G)}(p_{i,i}) \in [0, \infty).$$

## Definition (Finitely generated Hilbert module)

A **finitely generated Hilbert  $\mathcal{N}(G)$ -module**  $V$  is a Hilbert space  $V$  together with a linear isometric  $G$ -action such that there exists an isometric linear  $G$ -embedding of  $V$  into  $L^2(G)^n$  for some  $n \geq 0$ .

A **map of finitely generated Hilbert  $\mathcal{N}(G)$ -modules**  $f: V \rightarrow W$  is a bounded  $G$ -equivariant operator.

## Definition (von Neumann dimension)

Let  $V$  be a finitely generated Hilbert  $\mathcal{N}(G)$ -module. Choose a  $G$ -equivariant projection  $p: L^2(G)^n \rightarrow L^2(G)^n$  with  $\text{im}(p) \cong_{\mathcal{N}(G)} V$ . Define the **von Neumann dimension** of  $V$  by

$$\dim_{\mathcal{N}(G)}(V) := \text{tr}_{\mathcal{N}(G)}(p) := \sum_{i=1}^n \text{tr}_{\mathcal{N}(G)}(p_{i,i}) \in [0, \infty).$$



## Definition (Finitely generated Hilbert module)

A **finitely generated Hilbert  $\mathcal{N}(G)$ -module**  $V$  is a Hilbert space  $V$  together with a linear isometric  $G$ -action such that there exists an isometric linear  $G$ -embedding of  $V$  into  $L^2(G)^n$  for some  $n \geq 0$ .

A **map of finitely generated Hilbert  $\mathcal{N}(G)$ -modules**  $f: V \rightarrow W$  is a bounded  $G$ -equivariant operator.

## Definition (von Neumann dimension)

Let  $V$  be a finitely generated Hilbert  $\mathcal{N}(G)$ -module. Choose a  $G$ -equivariant projection  $p: L^2(G)^n \rightarrow L^2(G)^n$  with  $\text{im}(p) \cong_{\mathcal{N}(G)} V$ . Define the **von Neumann dimension** of  $V$  by

$$\dim_{\mathcal{N}(G)}(V) := \text{tr}_{\mathcal{N}(G)}(p) := \sum_{i=1}^n \text{tr}_{\mathcal{N}(G)}(p_{i,i}) \in [0, \infty).$$

## Example (Finite $G$ )

For finite  $G$  a finitely generated Hilbert  $\mathcal{N}(G)$ -module  $V$  is the same as a unitary finite dimensional  $G$ -representation and

$$\dim_{\mathcal{N}(G)}(V) = \frac{1}{|G|} \cdot \dim_{\mathbb{C}}(V).$$

## Example ( $G = \mathbb{Z}^n$ )

Let  $G$  be  $\mathbb{Z}^n$ . Let  $X \subset T^n$  be any measurable set with characteristic function  $\chi_X \in L^\infty(T^n)$ . Let  $M_{\chi_X}: L^2(T^n) \rightarrow L^2(T^n)$  be the  $\mathbb{Z}^n$ -equivariant unitary projection given by multiplication with  $\chi_X$ . Its image  $V$  is a Hilbert  $\mathcal{N}(\mathbb{Z}^n)$ -module with

$$\dim_{\mathcal{N}(\mathbb{Z}^n)}(V) = \text{vol}(X).$$

In particular each  $r \in [0, \infty)$  occurs as  $r = \dim_{\mathcal{N}(\mathbb{Z}^n)}(V)$ .

## Example (Finite $G$ )

For finite  $G$  a finitely generated Hilbert  $\mathcal{N}(G)$ -module  $V$  is the same as a unitary finite dimensional  $G$ -representation and

$$\dim_{\mathcal{N}(G)}(V) = \frac{1}{|G|} \cdot \dim_{\mathbb{C}}(V).$$

## Example ( $G = \mathbb{Z}^n$ )

Let  $G$  be  $\mathbb{Z}^n$ . Let  $X \subset T^n$  be any measurable set with characteristic function  $\chi_X \in L^\infty(T^n)$ . Let  $M_{\chi_X}: L^2(T^n) \rightarrow L^2(T^n)$  be the  $\mathbb{Z}^n$ -equivariant unitary projection given by multiplication with  $\chi_X$ . Its image  $V$  is a Hilbert  $\mathcal{N}(\mathbb{Z}^n)$ -module with

$$\dim_{\mathcal{N}(\mathbb{Z}^n)}(V) = \text{vol}(X).$$

In particular each  $r \in [0, \infty)$  occurs as  $r = \dim_{\mathcal{N}(\mathbb{Z}^n)}(V)$ .

## Definition (Weakly exact)

A sequence of Hilbert  $\mathcal{N}(G)$ -modules  $U \xrightarrow{i} V \xrightarrow{p} W$  is **weakly exact** at  $V$  if the kernel  $\ker(p)$  of  $p$  and the closure  $\overline{\operatorname{im}(i)}$  of the image  $\operatorname{im}(i)$  of  $i$  agree.

A map of Hilbert  $\mathcal{N}(G)$ -modules  $f: V \rightarrow W$  is a **weak isomorphism** if it is injective and has dense image.

## Example

The morphism of  $\mathcal{N}(\mathbb{Z})$ -Hilbert modules

$$M_{z-1}: L^2(\mathbb{Z}) = L^2(S^1) \rightarrow L^2(\mathbb{Z}) = L^2(S^1), \quad u(z) \mapsto (z-1) \cdot u$$

is a weak isomorphism, but not an isomorphism.

## Definition (Weakly exact)

A sequence of Hilbert  $\mathcal{N}(G)$ -modules  $U \xrightarrow{i} V \xrightarrow{p} W$  is **weakly exact** at  $V$  if the kernel  $\ker(p)$  of  $p$  and the closure  $\overline{\text{im}(i)}$  of the image  $\text{im}(i)$  of  $i$  agree.

A map of Hilbert  $\mathcal{N}(G)$ -modules  $f: V \rightarrow W$  is a **weak isomorphism** if it is injective and has dense image.

## Example

The morphism of  $\mathcal{N}(\mathbb{Z})$ -Hilbert modules

$$M_{z-1}: L^2(\mathbb{Z}) = L^2(\mathcal{S}^1) \rightarrow L^2(\mathbb{Z}) = L^2(\mathcal{S}^1), \quad u(z) \mapsto (z-1) \cdot u$$

is a weak isomorphism, but not an isomorphism.

## Theorem (Main properties of the von Neumann dimension)

### 1 Faithfulness

We have for a finitely generated Hilbert  $\mathcal{N}(G)$ -module  $V$

$$V = 0 \iff \dim_{\mathcal{N}(G)}(V) = 0;$$

### 2 Additivity

If  $0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0$  is a weakly exact sequence of finitely generated Hilbert  $\mathcal{N}(G)$ -modules, then

$$\dim_{\mathcal{N}(G)}(U) + \dim_{\mathcal{N}(G)}(W) = \dim_{\mathcal{N}(G)}(V);$$

### 3 Cofinality

Let  $\{V_i \mid i \in I\}$  be a directed system of Hilbert  $\mathcal{N}(G)$ -submodules of  $V$ , directed by inclusion. Then

$$\dim_{\mathcal{N}(G)} \left( \overline{\bigcup_{i \in I} V_i} \right) = \sup \{ \dim_{\mathcal{N}(G)}(V_i) \mid i \in I \}.$$

# $L^2$ -homology and $L^2$ -Betti numbers

## Definition ( $L^2$ -homology and $L^2$ -Betti numbers)

Let  $X$  be a connected  $CW$ -complex of finite type. Let  $\tilde{X}$  be its universal covering and  $\pi = \pi_1(M)$ . Denote by  $C_*(\tilde{X})$  its **cellular  $\mathbb{Z}\pi$ -chain complex**.

Define its **cellular  $L^2$ -chain complex** to be the Hilbert  $\mathcal{N}(\pi)$ -chain complex

$$C_*^{(2)}(\tilde{X}) := L^2(\pi) \otimes_{\mathbb{Z}\pi} C_*(\tilde{X}) = \overline{C_*(\tilde{X})}.$$

Define its  $n$ -th  **$L^2$ -homology** to be the finitely generated Hilbert  $\mathcal{N}(G)$ -module

$$H_n^{(2)}(\tilde{X}) := \ker(c_n^{(2)}) / \overline{\operatorname{im}(c_{n+1}^{(2)})}.$$

Define its  $n$ -th  **$L^2$ -Betti number**

$$b_n^{(2)}(\tilde{X}) := \dim_{\mathcal{N}(\pi)} (H_n^{(2)}(\tilde{X})) \in \mathbb{R}^{\geq 0}.$$

# $L^2$ -homology and $L^2$ -Betti numbers

## Definition ( $L^2$ -homology and $L^2$ -Betti numbers)

Let  $X$  be a connected CW-complex of finite type. Let  $\tilde{X}$  be its universal covering and  $\pi = \pi_1(M)$ . Denote by  $C_*(\tilde{X})$  its **cellular  $\mathbb{Z}\pi$ -chain complex**.

Define its **cellular  $L^2$ -chain complex** to be the Hilbert  $\mathcal{N}(\pi)$ -chain complex

$$C_*^{(2)}(\tilde{X}) := L^2(\pi) \otimes_{\mathbb{Z}\pi} C_*(\tilde{X}) = \overline{C_*(\tilde{X})}.$$

Define its  $n$ -th  **$L^2$ -homology** to be the finitely generated Hilbert  $\mathcal{N}(G)$ -module

$$H_n^{(2)}(\tilde{X}) := \ker(c_n^{(2)}) / \overline{\operatorname{im}(c_{n+1}^{(2)})}.$$

Define its  $n$ -th  **$L^2$ -Betti number**

$$b_n^{(2)}(\tilde{X}) := \dim_{\mathcal{N}(\pi)} (H_n^{(2)}(\tilde{X})) \in \mathbb{R}^{\geq 0}.$$



## Theorem (Main properties of Betti numbers)

Let  $X$  and  $Y$  be connected CW-complexes of finite type.

- *Homotopy invariance*

If  $X$  and  $Y$  are homotopy equivalent, then

$$b_n(X) = b_n(Y);$$

- *Euler-Poincaré formula*

We have

$$\chi(X) = \sum_{n \geq 0} (-1)^n \cdot b_n(X);$$

- *Poincaré duality*

Let  $M$  be a closed manifold of dimension  $d$ . Then

$$b_n(M) = b_{d-n}(M);$$

## Theorem (Main properties of $L^2$ -Betti numbers)

Let  $X$  and  $Y$  be connected CW-complexes of finite type.

- *Homotopy invariance*

If  $X$  and  $Y$  are homotopy equivalent, then

$$b_n^{(2)}(\tilde{X}) = b_n^{(2)}(\tilde{Y});$$

- *Euler-Poincaré formula*

We have

$$\chi(X) = \sum_{n \geq 0} (-1)^n \cdot b_n^{(2)}(\tilde{X});$$

- *Poincaré duality*

Let  $M$  be a closed manifold of dimension  $d$ . Then

$$b_n^{(2)}(\tilde{M}) = b_{d-n}^{(2)}(\tilde{M});$$

## Theorem (Continued)

- *Künneth formula*

$$b_n(X \times Y) = \sum_{p+q=n} b_p(X) \cdot b_q(Y);$$

- *Zero-th  $L^2$ -Betti number*

We have

$$b_0(X) = 1;$$

## Theorem (Continued)

- *Künneth formula*

$$b_n^{(2)}(\widetilde{X \times Y}) = \sum_{p+q=n} b_p^{(2)}(\widetilde{X}) \cdot b_q^{(2)}(\widetilde{Y});$$

- *Zero-th  $L^2$ -Betti number*

We have

$$b_0^{(2)}(\widetilde{X}) = \frac{1}{|\pi|};$$

## Theorem (Continued)

- *Künneth formula*

$$b_n^{(2)}(\widetilde{X \times Y}) = \sum_{p+q=n} b_p(X) \cdot b_q(Y);$$

- *Zero-th  $L^2$ -Betti number*

We have

$$b_0^{(2)}(\widetilde{X}) = \frac{1}{|\pi|};$$

- *Finite coverings*

If  $X \rightarrow Y$  is a finite covering with  $d$  sheets, then

$$b_n^{(2)}(\widetilde{X}) = d \cdot b_n^{(2)}(\widetilde{Y}).$$

## Example (Finite $\pi$ )

If  $\pi$  is finite then

$$b_n^{(2)}(\tilde{X}) = \frac{b_n(\tilde{X})}{|\pi|}.$$

## Example ( $S^1$ )

Consider the  $\mathbb{Z}$ -CW-complex  $\tilde{S}^1$ . We get for  $C_*^{(2)}(\tilde{S}^1)$

$$\dots \rightarrow 0 \rightarrow L^2(\mathbb{Z}) \xrightarrow{M_{z-1}} L^2(\mathbb{Z}) \rightarrow 0 \rightarrow \dots$$

and hence  $H_n^{(2)}(\tilde{S}^1) = 0$  and  $b_n^{(2)}(\tilde{S}^1) = 0$  for all  $n \geq 0$ .

## Example (Finite $\pi$ )

If  $\pi$  is finite then

$$b_n^{(2)}(\tilde{X}) = \frac{b_n(\tilde{X})}{|\pi|}.$$

## Example ( $S^1$ )

Consider the  $\mathbb{Z}$ -CW-complex  $\tilde{S}^1$ . We get for  $C_*^{(2)}(\tilde{S}^1)$

$$\dots \rightarrow 0 \rightarrow L^2(\mathbb{Z}) \xrightarrow{M_{z-1}} L^2(\mathbb{Z}) \rightarrow 0 \rightarrow \dots$$

and hence  $H_n^{(2)}(\tilde{S}^1) = 0$  and  $b_n^{(2)}(\tilde{S}^1) = 0$  for all  $n \geq 0$ .

## Example ( $\pi = \mathbb{Z}^d$ )

Let  $X$  be a connected CW-complex of finite type with fundamental group  $\mathbb{Z}^d$ . Let  $\mathbb{C}[\mathbb{Z}^d]^{(0)}$  be the quotient field of the commutative integral domain  $\mathbb{C}[\mathbb{Z}^d]$ . Then

$$b_n^{(2)}(\tilde{X}) = \dim_{\mathbb{C}[\mathbb{Z}^d]^{(0)}} \left( \mathbb{C}[\mathbb{Z}^d]^{(0)} \otimes_{\mathbb{Z}[\mathbb{Z}^d]} H_n(\tilde{X}) \right)$$

Obviously this implies

$$b_n^{(2)}(\tilde{X}) \in \mathbb{Z}.$$



## Example (Finite self coverings)

We get for a connected  $CW$ -complex  $X$  of finite type, for which there is a selfcovering  $X \rightarrow X$  with  $d$ -sheets for some integer  $d \geq 2$ ,

$$b_n^{(2)}(\tilde{X}) = 0 \quad \text{for } n \geq 0.$$

This implies for each connected  $CW$ -complex  $Y$  of finite type

$$b_n^{(2)}(\widetilde{S^1 \times Y}) = 0 \quad \text{for } n \geq 0.$$

## Theorem ( $S^1$ -actions, Lück)

Let  $M$  be a connected compact manifold with  $S^1$ -action. Suppose that for one (and hence all)  $x \in X$  the map  $S^1 \rightarrow X$ ,  $z \mapsto zx$  is  $\pi_1$ -injective. Then we get for all  $n \geq 0$

$$b_n^{(2)}(\tilde{X}) = 0.$$

## Theorem ( $S^1$ -actions on aspherical manifolds, Lück)

Let  $M$  be an aspherical closed manifold with non-trivial  $S^1$ -action. Then

- 1 The action has no fixed points;
- 2 The map  $S^1 \rightarrow X$ ,  $z \mapsto zx$  is  $\pi_1$ -injective for  $x \in X$ ;
- 3  $b_n^{(2)}(\tilde{M}) = 0$  for  $n \geq 0$  and  $\chi(M) = 0$ .

## Theorem ( $S^1$ -actions, Lück)

Let  $M$  be a connected compact manifold with  $S^1$ -action. Suppose that for one (and hence all)  $x \in X$  the map  $S^1 \rightarrow X$ ,  $z \mapsto zx$  is  $\pi_1$ -injective. Then we get for all  $n \geq 0$

$$b_n^{(2)}(\tilde{X}) = 0.$$

## Theorem ( $S^1$ -actions on aspherical manifolds, Lück)

Let  $M$  be an aspherical closed manifold with non-trivial  $S^1$ -action. Then

- 1 The action has no fixed points;
- 2 The map  $S^1 \rightarrow X$ ,  $z \mapsto zx$  is  $\pi_1$ -injective for  $x \in X$ ;
- 3  $b_n^{(2)}(\tilde{M}) = 0$  for  $n \geq 0$  and  $\chi(M) = 0$ .

## Example ( $L^2$ -Betti number of surfaces)

- Let  $F_g$  be the orientable closed surface of genus  $g \geq 1$ .
- Then  $|\pi_1(F_g)| = \infty$  and hence  $b_0^{(2)}(\widetilde{F}_g) = 0$ .
- By Poincaré duality  $b_2^{(2)}(\widetilde{F}_g) = 0$ .
- $\dim(F_g) = 2$ , we get  $b_n^{(2)}(\widetilde{F}_g) = 0$  for  $n \geq 3$ .
- The Euler-Poincaré formula shows

$$b_1^{(2)}(\widetilde{F}_g) = -\chi(F_g) = 2g - 2;$$

$$b_n^{(2)}(\widetilde{F}_g) = 0 \quad \text{for } n \neq 1.$$

## Theorem (Hodge - de Rham Theorem)

Let  $M$  be a closed Riemannian manifold. Put

$$\mathcal{H}^n(M) = \{\omega \in \Omega^n(M) \mid \Delta_n(\omega) = 0\}$$

Then integration defines an isomorphism of real vector spaces

$$\mathcal{H}^n(M) \xrightarrow{\cong} H^n(M; \mathbb{R}).$$

## Corollary (Betti numbers and heat kernels)

$$b_n(M) = \lim_{t \rightarrow \infty} \int_M \operatorname{tr}_{\mathbb{R}}(e^{-t\Delta_n}(x, x)) \, d\operatorname{vol}.$$

where  $e^{-t\Delta_n}(x, y)$  is the heat kernel on  $M$ .

## Theorem ( $L^2$ -Hodge - de Rham Theorem, Dodziuk)

Let  $M$  be a closed Riemannian manifold. Put

$$\mathcal{H}_{(2)}^n(\tilde{M}) = \{\tilde{\omega} \in \Omega^n(\tilde{M}) \mid \tilde{\Delta}_n(\tilde{\omega}) = 0, \|\tilde{\omega}\|_{L^2} < \infty\}$$

Then integration defines an isomorphism of finitely generated Hilbert  $\mathcal{N}(\pi)$ -modules

$$\mathcal{H}_{(2)}^n(\tilde{M}) \xrightarrow{\cong} H_{(2)}^n(\tilde{M}).$$

## Corollary ( $L^2$ -Betti numbers and heat kernels)

$$b_n^{(2)}(\tilde{M}) = \lim_{t \rightarrow \infty} \int_{\mathcal{F}} \operatorname{tr}_{\mathbb{R}}(e^{-t\tilde{\Delta}_n}(\tilde{x}, \tilde{x})) \, d\operatorname{vol}.$$

where  $e^{-t\tilde{\Delta}_n}(\tilde{x}, \tilde{y})$  is the heat kernel on  $\tilde{M}$  and  $\mathcal{F}$  is a fundamental domain for the  $\pi$ -action.

## Theorem (hyperbolic manifolds, Dodziuk)

Let  $M$  be a hyperbolic closed Riemannian manifold of dimension  $d$ .  
Then:

$$b_n^{(2)}(\tilde{M}) = \begin{cases} = 0 & , \text{ if } 2n \neq d; \\ > 0 & , \text{ if } 2n = d. \end{cases}$$

### Proof.

A direct computation shows that  $\mathcal{H}_{(2)}^p(\mathbb{H}^d)$  is not zero if and only if  $2n = d$ . Notice that  $M$  is hyperbolic if and only if  $\tilde{M}$  is isometrically diffeomorphic to the standard hyperbolic space  $\mathbb{H}^d$ . □

## Theorem (hyperbolic manifolds, Dodziuk)

Let  $M$  be a hyperbolic closed Riemannian manifold of dimension  $d$ .  
Then:

$$b_n^{(2)}(\tilde{M}) = \begin{cases} = 0 & , \text{ if } 2n \neq d; \\ > 0 & , \text{ if } 2n = d. \end{cases}$$

## Proof.

A direct computation shows that  $\mathcal{H}_{(2)}^p(\mathbb{H}^d)$  is not zero if and only if  $2n = d$ . Notice that  $M$  is hyperbolic if and only if  $\tilde{M}$  is isometrically diffeomorphic to the standard hyperbolic space  $\mathbb{H}^d$ . □



## Corollary

Let  $M$  be a hyperbolic closed manifold of dimension  $d$ . Then

- 1 If  $d = 2m$  is even, then

$$(-1)^m \cdot \chi(M) > 0;$$

- 2  $M$  carries no non-trivial  $S^1$ -action.

## Proof.

(1) We get from the Euler-Poincaré formula and the last result

$$(-1)^m \cdot \chi(M) = b_m^{(2)}(\tilde{M}) > 0.$$

(2) We give the proof only for  $d = 2m$  even. Then  $b_m^{(2)}(\tilde{M}) > 0$ . Since  $\tilde{M} = \mathbb{H}^d$  is contractible,  $M$  is aspherical. Now apply a previous result about  $S^1$ -actions. □

## Corollary

Let  $M$  be a hyperbolic closed manifold of dimension  $d$ . Then

- 1 If  $d = 2m$  is even, then

$$(-1)^m \cdot \chi(M) > 0;$$

- 2  $M$  carries no non-trivial  $S^1$ -action.

## Proof.

(1) We get from the Euler-Poincaré formula and the last result

$$(-1)^m \cdot \chi(M) = b_m^{(2)}(\tilde{M}) > 0.$$

(2) We give the proof only for  $d = 2m$  even. Then  $b_m^{(2)}(\tilde{M}) > 0$ . Since  $\tilde{M} = \mathbb{H}^d$  is contractible,  $M$  is aspherical. Now apply a previous result about  $S^1$ -actions. □

## Theorem (3-manifolds, Lott-Lück)

Let the 3-manifold  $M$  be the connected sum  $M_1 \# \dots \# M_r$  of (compact connected orientable) prime 3-manifolds  $M_j$ . Assume that  $\pi_1(M)$  is infinite. Then

$$b_1^{(2)}(\tilde{M}) = (r-1) - \sum_{j=1}^r \frac{1}{|\pi_1(M_j)|} - \chi(M) + \left| \{C \in \pi_0(\partial M) \mid C \cong S^2\} \right|;$$

$$b_2^{(2)}(\tilde{M}) = (r-1) - \sum_{j=1}^r \frac{1}{|\pi_1(M_j)|} + \left| \{C \in \pi_0(\partial M) \mid C \cong S^2\} \right|;$$

$$b_n^{(2)}(\tilde{M}) = 0 \quad \text{for } n \neq 1, 2.$$

## Theorem (mapping tori, Lück)

Let  $f: X \rightarrow X$  be a cellular selfhomotopy equivalence of a connected CW-complex  $X$  of finite type. Let  $T_f$  be the mapping torus. Then

$$b_n^{(2)}(\tilde{T}_f) = 0 \quad \text{for } n \geq 0.$$

Proof:

- As  $T_{fd} \rightarrow T_f$  is a  $d$ -sheeted covering, we get

$$b_n^{(2)}(\tilde{T}_f) = \frac{b_n^{(2)}(\tilde{T}_{fd})}{d}.$$

- If  $\beta_n(X)$  is the number of  $n$ -cells, then there is up to homotopy equivalence a CW-structure on  $T_{fd}$  with  $\beta_n(T_{fd}) = \beta_n(X) + \beta_{n-1}(X)$ . We have

$$\begin{aligned} b_n^{(2)}(\tilde{T}_{fd}) &= \dim_{\mathcal{N}(G)} \left( H_n^{(2)}(C_n^{(2)}(\tilde{T}_{fd})) \right) \\ &\leq \dim_{\mathcal{N}(G)} \left( C_n^{(2)}(\tilde{T}_{fd}) \right) = \beta_n(T_{fd}). \end{aligned}$$

- This implies for all  $d \geq 1$

$$b_n^{(2)}(\tilde{T}_f) \leq \frac{\beta_n(X) + \beta_{n-1}(X)}{d}.$$

- Taking the limit for  $d \rightarrow \infty$  yields the claim.

# The fundamental square and the Atiyah Conjecture

Conjecture (Atiyah Conjecture for torsionfree finitely presented groups)

Let  $G$  be a torsionfree finitely presented group. We say that  $G$  satisfies the *Atiyah Conjecture* if for any closed Riemannian manifold  $M$  with  $\pi_1(M) \cong G$  we have for every  $n \geq 0$

$$b_n^{(2)}(\tilde{M}) \in \mathbb{Z}.$$

- All computations presented above support the Atiyah Conjecture.

# The fundamental square and the Atiyah Conjecture

## Conjecture (Atiyah Conjecture for torsionfree finitely presented groups)

Let  $G$  be a torsionfree finitely presented group. We say that  $G$  satisfies the *Atiyah Conjecture* if for any closed Riemannian manifold  $M$  with  $\pi_1(M) \cong G$  we have for every  $n \geq 0$

$$b_n^{(2)}(\tilde{M}) \in \mathbb{Z}.$$

- All computations presented above support the Atiyah Conjecture.

# The fundamental square and the Atiyah Conjecture

## Conjecture (Atiyah Conjecture for torsionfree finitely presented groups)

Let  $G$  be a torsionfree finitely presented group. We say that  $G$  satisfies the *Atiyah Conjecture* if for any closed Riemannian manifold  $M$  with  $\pi_1(M) \cong G$  we have for every  $n \geq 0$

$$b_n^{(2)}(\tilde{M}) \in \mathbb{Z}.$$

- All computations presented above support the Atiyah Conjecture.



- The **fundamental square** is given by the following inclusions of rings

$$\begin{array}{ccc} \mathbb{Z}G & \longrightarrow & \mathcal{N}(G) \\ \downarrow & & \downarrow \\ \mathcal{D}(G) & \longrightarrow & \mathcal{U}(G) \end{array}$$

- $\mathcal{U}(G)$  is the **algebra of affiliated operators**. Algebraically it is just the **Ore localization** of  $\mathcal{N}(G)$  with respect to the multiplicatively closed subset of non-zero divisors.
- $\mathcal{D}(G)$  is the **division closure** of  $\mathbb{Z}G$  in  $\mathcal{U}(G)$ , i.e., the smallest subring of  $\mathcal{U}(G)$  containing  $\mathbb{Z}G$  such that every element in  $\mathcal{D}(G)$ , which is a unit in  $\mathcal{U}(G)$ , is already a unit in  $\mathcal{D}(G)$  itself.

- The **fundamental square** is given by the following inclusions of rings

$$\begin{array}{ccc}
 \mathbb{Z}G & \longrightarrow & \mathcal{N}(G) \\
 \downarrow & & \downarrow \\
 \mathcal{D}(G) & \longrightarrow & \mathcal{U}(G)
 \end{array}$$

- $\mathcal{U}(G)$  is the **algebra of affiliated operators**. Algebraically it is just the **Ore localization** of  $\mathcal{N}(G)$  with respect to the multiplicatively closed subset of non-zero divisors.
- $\mathcal{D}(G)$  is the **division closure** of  $\mathbb{Z}G$  in  $\mathcal{U}(G)$ , i.e., the smallest subring of  $\mathcal{U}(G)$  containing  $\mathbb{Z}G$  such that every element in  $\mathcal{D}(G)$ , which is a unit in  $\mathcal{U}(G)$ , is already a unit in  $\mathcal{D}(G)$  itself.

- If  $G$  is finite, its is given by

$$\begin{array}{ccc}
 \mathbb{Z}G & \longrightarrow & \mathbb{C}G \\
 \downarrow & & \downarrow \text{id} \\
 \mathbb{Q}G & \longrightarrow & \mathbb{C}G
 \end{array}$$

- If  $G = \mathbb{Z}$ , it is given by

$$\begin{array}{ccc}
 \mathbb{Z}[\mathbb{Z}] & \longrightarrow & L^\infty(S^1) \\
 \downarrow & & \downarrow \\
 \mathbb{Q}[\mathbb{Z}]^{(0)} & \longrightarrow & L(S^1)
 \end{array}$$

- If  $G$  is finite, its is given by

$$\begin{array}{ccc}
 \mathbb{Z}G & \longrightarrow & \mathbb{C}G \\
 \downarrow & & \downarrow \text{id} \\
 \mathbb{Q}G & \longrightarrow & \mathbb{C}G
 \end{array}$$

- If  $G = \mathbb{Z}$ , it is given by

$$\begin{array}{ccc}
 \mathbb{Z}[\mathbb{Z}] & \longrightarrow & L^\infty(S^1) \\
 \downarrow & & \downarrow \\
 \mathbb{Q}[\mathbb{Z}]^{(0)} & \longrightarrow & L(S^1)
 \end{array}$$

- If  $G$  is elementary amenable torsionfree, then  $\mathcal{D}(G)$  can be identified with the Ore localization of  $\mathbb{Z}G$  with respect to the multiplicatively closed subset of non-zero elements.
- In general the Ore localization does not exist and in these cases  $\mathcal{D}(G)$  is the right replacement.

- If  $G$  is elementary amenable torsionfree, then  $\mathcal{D}(G)$  can be identified with the Ore localization of  $\mathbb{Z}G$  with respect to the multiplicatively closed subset of non-zero elements.
- In general the Ore localization does not exist and in these cases  $\mathcal{D}(G)$  is the right replacement.

## Conjecture (Atiyah Conjecture for torsionfree groups)

Let  $G$  be a torsionfree group. It satisfies the *Atiyah Conjecture* if  $\mathcal{D}(G)$  is a skew-field.

- A torsionfree group  $G$  satisfies the Atiyah Conjecture if and only if for any matrix  $A \in M_{m,n}(\mathbb{Z}G)$  the von Neumann dimension

$$\dim_{\mathcal{N}(G)}(\ker(r_A: \mathcal{N}(G)^m \rightarrow \mathcal{N}(G)^n))$$

is an integer. In this case this dimension agrees with

$$\dim_{\mathcal{D}(G)}(r_A: \mathcal{D}(G)^m \rightarrow \mathcal{D}(G)^n).$$

- The general version above is equivalent to the one stated before if  $G$  is finitely presented.

## Conjecture (Atiyah Conjecture for torsionfree groups)

Let  $G$  be a torsionfree group. It satisfies the *Atiyah Conjecture* if  $\mathcal{D}(G)$  is a skew-field.

- A torsionfree group  $G$  satisfies the Atiyah Conjecture if and only if for any matrix  $A \in M_{m,n}(\mathbb{Z}G)$  the von Neumann dimension

$$\dim_{\mathcal{N}(G)}(\ker(r_A: \mathcal{N}(G)^m \rightarrow \mathcal{N}(G)^n))$$

is an integer. In this case this dimension agrees with

$$\dim_{\mathcal{D}(G)}(r_A: \mathcal{D}(G)^m \rightarrow \mathcal{D}(G)^n).$$

- The general version above is equivalent to the one stated before if  $G$  is finitely presented.



## Conjecture (Atiyah Conjecture for torsionfree groups)

Let  $G$  be a torsionfree group. It satisfies the *Atiyah Conjecture* if  $\mathcal{D}(G)$  is a skew-field.

- A torsionfree group  $G$  satisfies the Atiyah Conjecture if and only if for any matrix  $A \in M_{m,n}(\mathbb{Z}G)$  the von Neumann dimension

$$\dim_{\mathcal{N}(G)}(\ker(r_A: \mathcal{N}(G)^m \rightarrow \mathcal{N}(G)^n))$$

is an integer. In this case this dimension agrees with

$$\dim_{\mathcal{D}(G)}(r_A: \mathcal{D}(G)^m \rightarrow \mathcal{D}(G)^n).$$

- The general version above is equivalent to the one stated before if  $G$  is finitely presented.

- The Atiyah Conjecture implies the **Zero-divisor Conjecture** due to **Kaplansky** saying that for any torsionfree group and field of characteristic zero  $F$  the group ring  $FG$  has no non-trivial zero-divisors.
- There is also a version of the Atiyah Conjecture for groups with a bound on the order of its finite subgroups.
- However, there exist closed Riemannian manifolds whose universal coverings have an  $L^2$ -Betti number which is irrational, see **Austin, Grabowski**.

- The Atiyah Conjecture implies the **Zero-divisor Conjecture** due to **Kaplansky** saying that for any torsionfree group and field of characteristic zero  $F$  the group ring  $FG$  has no non-trivial zero-divisors.
- There is also a version of the Atiyah Conjecture for groups with a bound on the order of its finite subgroups.
- However, there exist closed Riemannian manifolds whose universal coverings have an  $L^2$ -Betti number which is irrational, see **Austin, Grabowski**.

- The Atiyah Conjecture implies the **Zero-divisor Conjecture** due to **Kaplansky** saying that for any torsionfree group and field of characteristic zero  $F$  the group ring  $FG$  has no non-trivial zero-divisors.
- There is also a version of the Atiyah Conjecture for groups with a bound on the order of its finite subgroups.
- However, there exist closed Riemannian manifolds whose universal coverings have an  $L^2$ -Betti number which is irrational, see **Austin, Grabowski**.

## Theorem (Linnell, Schick)

- 1 *Let  $\mathcal{C}$  be the smallest class of groups which contains all free groups, is closed under extensions with elementary amenable groups as quotients and directed unions. Then every torsionfree group  $G$  which belongs to  $\mathcal{C}$  satisfies the Atiyah Conjecture.*
- 2 *If  $G$  is residually torsionfree elementary amenable, then it satisfies the Atiyah Conjecture.*

## Theorem (Linnell, Schick)

- 1 *Let  $\mathcal{C}$  be the smallest class of groups which contains all free groups, is closed under extensions with elementary amenable groups as quotients and directed unions. Then every torsionfree group  $G$  which belongs to  $\mathcal{C}$  satisfies the Atiyah Conjecture.*
- 2 *If  $G$  is residually torsionfree elementary amenable, then it satisfies the Atiyah Conjecture.*

## Strategy to prove the Atiyah Conjecture

- 1 Show that  $K_0(\mathbb{C}) \rightarrow K_0(\mathbb{C}G)$  is surjective  
(This is implied by the **Farrell-Jones Conjecture**)
- 2 Show that  $K_0(\mathbb{C}G) \rightarrow K_0(\mathcal{D}(G))$  is surjective.
- 3 Show that  $\mathcal{D}(G)$  is semisimple.

- In general there are no relations between the Betti numbers  $b_n(X)$  and the  $L^2$ -Betti numbers  $b_n^{(2)}(\tilde{X})$  for a connected CW-complex  $X$  of finite type except for the Euler Poincaré formula

$$\chi(X) = \sum_{n \geq 0} (-1)^n \cdot b_n^{(2)}(\tilde{X}) = \sum_{n \geq 0} (-1)^n \cdot b_n(X).$$



- Given an integer  $l \geq 1$  and a sequence  $r_1, r_2, \dots, r_l$  of non-negative rational numbers, we can construct a group  $G$  such that  $BG$  is of finite type and

$$\begin{aligned} b_n^{(2)}(BG) &= r_n && \text{for } 1 \leq n \leq l; \\ b_n^{(2)}(BG) &= 0 && \text{for } l+1 \leq n; \\ b_n(BG) &= 0 && \text{for } n \geq 1. \end{aligned}$$

- For any sequence  $s_1, s_2, \dots$  of non-negative integers there is a CW-complex  $X$  of finite type such that for  $n \geq 1$

$$\begin{aligned} b_n(X) &= s_n; \\ b_n^{(2)}(\tilde{X}) &= 0. \end{aligned}$$

## Theorem (Approximation Theorem, Lück)

Let  $X$  be a connected CW-complex of finite type. Suppose that  $\pi$  is residually finite, i.e., there is a nested sequence

$$\pi = G_0 \supset G_1 \supset G_2 \supset \dots$$

of normal subgroups of finite index with  $\bigcap_{i \geq 1} G_i = \{1\}$ . Let  $X_i$  be the finite  $[\pi : G_i]$ -sheeted covering of  $X$  associated to  $G_i$ .

Then for any such sequence  $(G_i)_{i \geq 1}$

$$b_n^{(2)}(\tilde{X}) = \lim_{i \rightarrow \infty} \frac{b_n(X_i)}{[G : G_i]}.$$

- Ordinary Betti numbers are not multiplicative under finite coverings, whereas the  $L^2$ -Betti numbers are. With the expression

$$\lim_{i \rightarrow \infty} \frac{b_n(X_i)}{[G : G_i]},$$

we try to force the Betti numbers to be multiplicative by a limit process.

- The theorem above says that  $L^2$ -Betti numbers are **asymptotic Betti numbers**. It was conjectured by **Gromov**.

# Applications to deficiency and signature

## Definition (Deficiency)

Let  $G$  be a finitely presented group. Define its **deficiency**

$$\text{defi}(G) := \max\{g(P) - r(P)\}$$

where  $P$  runs over all presentations  $P$  of  $G$  and  $g(P)$  is the number of generators and  $r(P)$  is the number of relations of a presentation  $P$ .

## Definition (Deficiency)

Let  $G$  be a finitely presented group. Define its **deficiency**

$$\text{defi}(G) := \max\{g(P) - r(P)\}$$

where  $P$  runs over all presentations  $P$  of  $G$  and  $g(P)$  is the number of generators and  $r(P)$  is the number of relations of a presentation  $P$ .

## Example

- The free group  $F_g$  has the obvious presentation  $\langle s_1, s_2, \dots, s_g \mid \emptyset \rangle$  and its deficiency is realized by this presentation, namely  $\text{defi}(F_g) = g$ .
- If  $G$  is a finite group,  $\text{defi}(G) \leq 0$ .
- The deficiency of a cyclic group  $\mathbb{Z}/n$  is 0, the obvious presentation  $\langle s \mid s^n \rangle$  realizes the deficiency.
- The deficiency of  $\mathbb{Z}/n \times \mathbb{Z}/n$  is  $-1$ , the obvious presentation  $\langle s, t \mid s^n, t^n, [s, t] \rangle$  realizes the deficiency.

## Example (deficiency and free products)

The deficiency is not additive under free products by the following example due to Hog-Lustig-Metzler. The group

$$(\mathbb{Z}/2 \times \mathbb{Z}/2) * (\mathbb{Z}/3 \times \mathbb{Z}/3)$$

has the obvious presentation

$$\langle s_0, t_0, s_1, t_1 \mid s_0^2 = t_0^2 = [s_0, t_0] = s_1^3 = t_1^3 = [s_1, t_1] = 1 \rangle$$

One may think that its deficiency is  $-2$ . However, it turns out that its deficiency is  $-1$  realized by the following presentation

$$\langle s_0, t_0, s_1, t_1 \mid s_0^2 = 1, [s_0, t_0] = t_0^2, s_1^3 = 1, [s_1, t_1] = t_1^3, t_0^2 = t_1^3 \rangle.$$

## Lemma

Let  $G$  be a finitely presented group. Then

$$\text{defi}(G) \leq 1 - |G|^{-1} + b_1^{(2)}(G) - b_2^{(2)}(G).$$

Proof.

We have to show for any presentation  $P$  that

$$g(P) - r(P) \leq 1 - b_0^{(2)}(G) + b_1^{(2)}(G) - b_2^{(2)}(G).$$

Let  $X$  be a CW-complex realizing  $P$ . Then

$$\chi(X) = 1 - g(P) + r(P) = b_0^{(2)}(\tilde{X}) + b_1^{(2)}(\tilde{X}) - b_2^{(2)}(\tilde{X}).$$

Since the classifying map  $X \rightarrow BG$  is 2-connected, we get

$$\begin{aligned} b_n^{(2)}(\tilde{X}) &= b_n^{(2)}(G) \quad \text{for } n = 0, 1; \\ b_2^{(2)}(\tilde{X}) &\geq b_2^{(2)}(G). \end{aligned}$$



## Lemma

Let  $G$  be a finitely presented group. Then

$$\text{defi}(G) \leq 1 - |G|^{-1} + b_1^{(2)}(G) - b_2^{(2)}(G).$$

## Proof.

We have to show for any presentation  $P$  that

$$g(P) - r(P) \leq 1 - b_0^{(2)}(G) + b_1^{(2)}(G) - b_2^{(2)}(G).$$

Let  $X$  be a  $CW$ -complex realizing  $P$ . Then

$$\chi(X) = 1 - g(P) + r(P) = b_0^{(2)}(\tilde{X}) + b_1^{(2)}(\tilde{X}) - b_2^{(2)}(\tilde{X}).$$

Since the classifying map  $X \rightarrow BG$  is 2-connected, we get

$$\begin{aligned} b_n^{(2)}(\tilde{X}) &= b_n^{(2)}(G) \quad \text{for } n = 0, 1; \\ b_2^{(2)}(\tilde{X}) &\geq b_2^{(2)}(G). \end{aligned}$$

## Theorem (Deficiency and extensions, Lück)

Let  $1 \rightarrow H \xrightarrow{i} G \xrightarrow{q} K \rightarrow 1$  be an exact sequence of infinite groups. Suppose that  $G$  is finitely presented  $H$  is finitely generated. Then:

- 1  $b_1^{(2)}(G) = 0$ ;
- 2  $\text{defi}(G) \leq 1$ ;
- 3 Let  $M$  be a closed oriented 4-manifold with  $G$  as fundamental group. Then

$$|\text{sign}(M)| \leq \chi(M).$$

# The Singer Conjecture

## Conjecture (Singer Conjecture)

If  $M$  is an aspherical closed manifold, then

$$b_n^{(2)}(\tilde{M}) = 0 \quad \text{if } 2n \neq \dim(M).$$

If  $M$  is a closed Riemannian manifold with negative sectional curvature, then

$$b_n^{(2)}(\tilde{M}) \begin{cases} = 0 & \text{if } 2n \neq \dim(M); \\ > 0 & \text{if } 2n = \dim(M). \end{cases}$$

- The computations presented above do support the Singer Conjecture.
- Under certain negative pinching conditions the Singer Conjecture has been proved by [Ballmann-Brüning](#), [Donnelly-Xavier](#), [Jost-Xin](#).

# The Singer Conjecture

## Conjecture (Singer Conjecture)

*If  $M$  is an aspherical closed manifold, then*

$$b_n^{(2)}(\tilde{M}) = 0 \quad \text{if } 2n \neq \dim(M).$$

*If  $M$  is a closed Riemannian manifold with negative sectional curvature, then*

$$b_n^{(2)}(\tilde{M}) \begin{cases} = 0 & \text{if } 2n \neq \dim(M); \\ > 0 & \text{if } 2n = \dim(M). \end{cases}$$

- The computations presented above do support the Singer Conjecture.
- Under certain negative pinching conditions the Singer Conjecture has been proved by [Ballmann-Brüning](#), [Donnelly-Xavier](#), [Jost-Xin](#).

# The Singer Conjecture

## Conjecture (Singer Conjecture)

*If  $M$  is an aspherical closed manifold, then*

$$b_n^{(2)}(\tilde{M}) = 0 \quad \text{if } 2n \neq \dim(M).$$

*If  $M$  is a closed Riemannian manifold with negative sectional curvature, then*

$$b_n^{(2)}(\tilde{M}) \begin{cases} = 0 & \text{if } 2n \neq \dim(M); \\ > 0 & \text{if } 2n = \dim(M). \end{cases}$$

- The computations presented above do support the Singer Conjecture.
- Under certain negative pinching conditions the Singer Conjecture has been proved by [Ballmann-Brüning](#), [Donnelly-Xavier](#), [Jost-Xin](#).

- Because of the Euler-Poincaré formula

$$\chi(M) = \sum_{n \geq 0} (-1)^n \cdot b_n^{(2)}(\tilde{M})$$

the Singer Conjecture implies the following conjecture provided that  $M$  has non-positive sectional curvature.

### Conjecture (Hopf Conjecture)

*If  $M$  is a closed Riemannian manifold of even dimension with sectional curvature  $\sec(M)$ , then*

$$\begin{array}{llll} (-1)^{\dim(M)/2} \cdot \chi(M) > 0 & \text{if} & \sec(M) < 0; \\ (-1)^{\dim(M)/2} \cdot \chi(M) \geq 0 & \text{if} & \sec(M) \leq 0; \\ \chi(M) = 0 & \text{if} & \sec(M) = 0; \\ \chi(M) \geq 0 & \text{if} & \sec(M) \geq 0; \\ \chi(M) > 0 & \text{if} & \sec(M) > 0. \end{array}$$

## Definition (Kähler hyperbolic manifold)

A **Kähler hyperbolic manifold** is a closed connected Kähler manifold  $M$  whose fundamental form  $\omega$  is  $\tilde{d}$ (bounded), i.e. its lift  $\tilde{\omega} \in \Omega^2(\tilde{M})$  to the universal covering can be written as  $d(\eta)$  holds for some bounded 1-form  $\eta \in \Omega^1(\tilde{M})$ .

## Theorem (Gromov)

*Let  $M$  be a closed Kähler hyperbolic manifold of complex dimension  $c$ . Then*

$$\begin{aligned} b_n^{(2)}(\tilde{M}) &= 0 && \text{if } n \neq c; \\ b_n^{(2)}(\tilde{M}) &> 0; \\ (-1)^m \cdot \chi(M) &> 0; \end{aligned}$$

- Let  $M$  be a closed Kähler manifold. It is Kähler hyperbolic if it admits some Riemannian metric with negative sectional curvature, or, if, generally  $\pi_1(M)$  is word-hyperbolic and  $\pi_2(M)$  is trivial.
- A consequence of the theorem above is that any Kähler hyperbolic manifold is a projective algebraic variety.